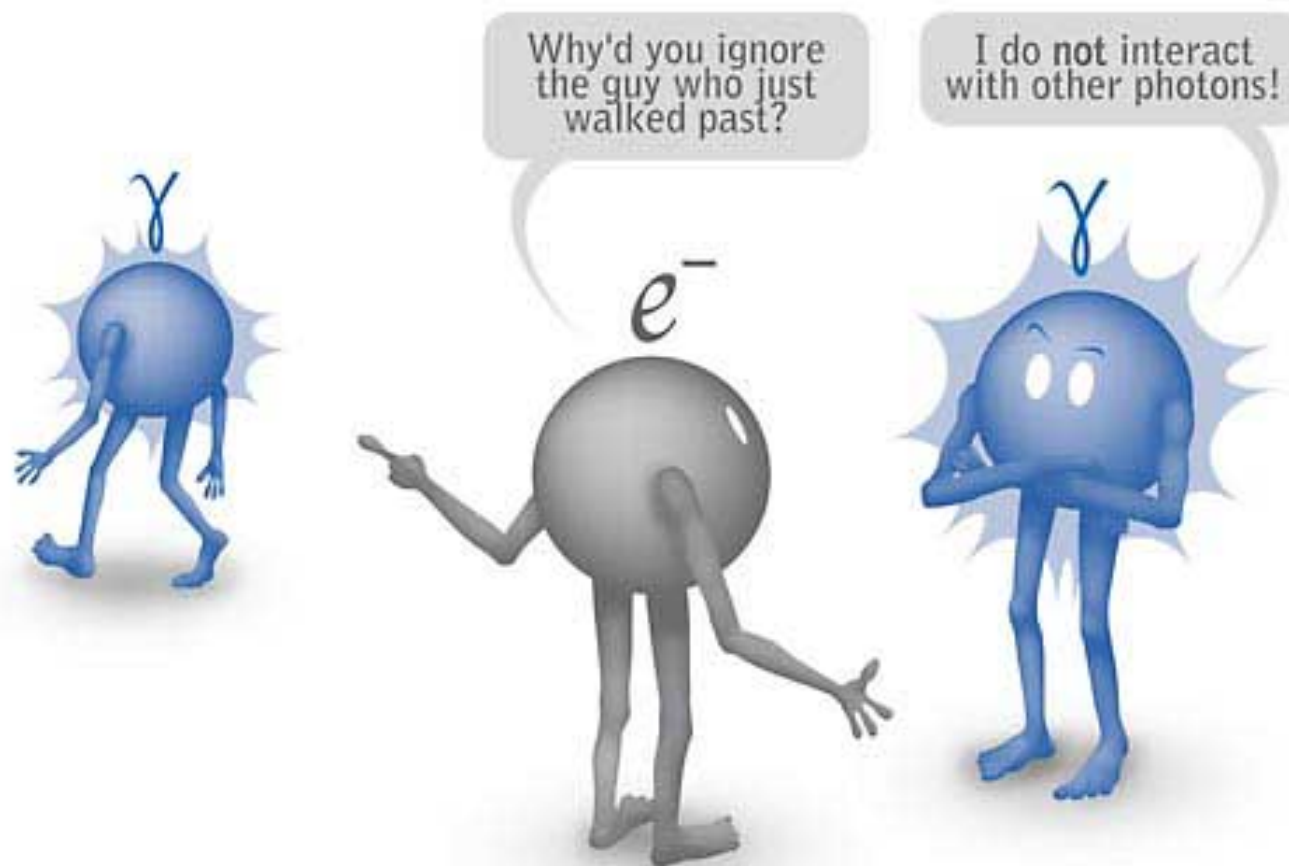


## Assumptions made in building electronic configuration of molecules

- Orbitals derived from hydrogen atom will work for all atoms and molecules
- All MOs could be built based on LCAO which is based on hydrogen atom orbitals
- To begin with e-e repulsion is ignored
- Molecule is stationary at its equilibrium geometry (Born-Oppenheimer approximation)
- The molecule could be built assuming there are no interactions between electronic, vibrational and spin motions of electrons and nuclei.

# Interaction between a Photon and an Electron



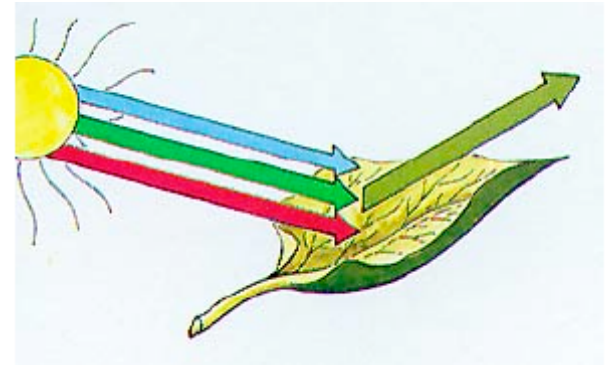
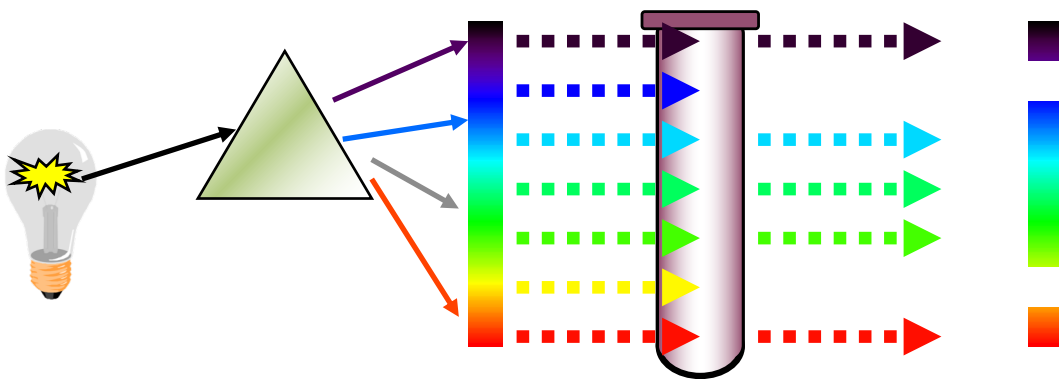


# Manifestations of Light-Molecule (Material) Interaction

- Reflection
- Refraction
- Scatter
- **Absorption process**

# Absorption and Emission: Chromophore and Lumophore

A chromophore (“color bearer”) is defined as an atom or group of atoms that behave as a unit in light absorption.



A green object, such as a leaf, reflects only those wavelengths that create the visual effect of green. Other colors in the incident light are absorbed by the leaf. Leaf consists of chlorophylls and carotenoids. Red light is absorbed by the chlorophylls, blue light is absorbed mostly by the carotenoids. Green gets reflected and that is what we see.

# The Basic Laws of Photochemistry

## *Grotthuss-Draper law*

The First Law of Photochemistry: light must be absorbed for photochemistry to occur.



*Theodor v. Grotthuss*

Grotthuss



John William Draper (1811-1882)

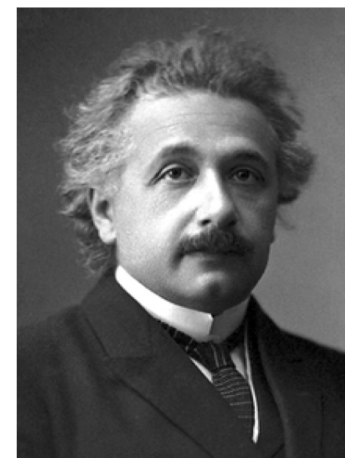
Draper

## *Stark-Einstein law*

The Second Law of Photochemistry: for each photon of light absorbed by a chemical system, only one molecule is activated for a photochemical reaction.



Stark

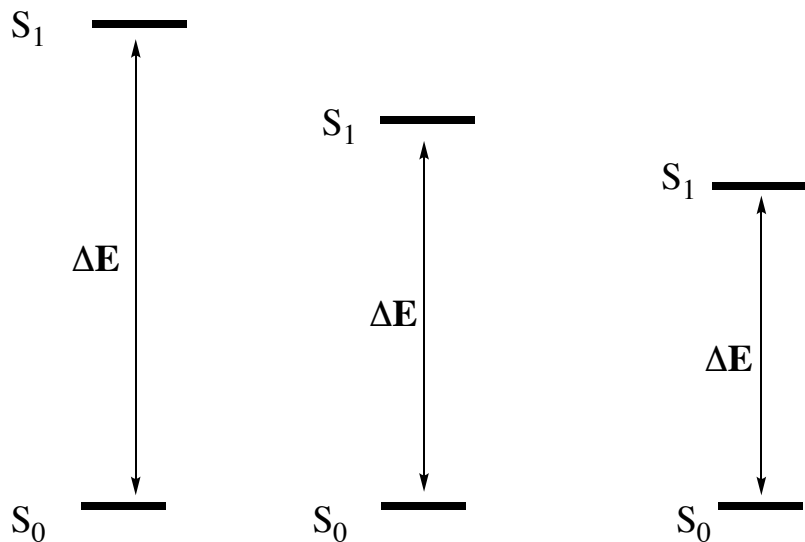


Einstein

# Third law of photochemistry

Probability of light absorption is related to the energy gap and wavelength of light

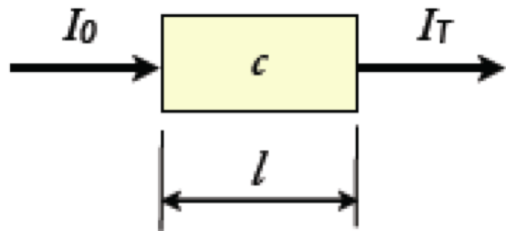
The *energy conservation rule* (Eq. 4.8): There must be an exact matching of the energy difference that corresponds to the energy required for the transition ( $\Delta E$ ) between orbitals and the energy of the photon ( $h\nu$ ); that is,  $\Delta E$  must exactly equal  $h\nu$  (Eq. 4.8).



$$\Delta E \text{ (kcal mol}^{-1}\text{)} = [2.86 \times 10^4 \text{ kcal mol}^{-1} \text{ nm}] / \lambda \text{ nm}$$

# Absorption

## Beer-Lambert Law



$$A = -\log \frac{I_T}{I_0} = -\log T = \varepsilon \cdot c \cdot l \quad [-]$$

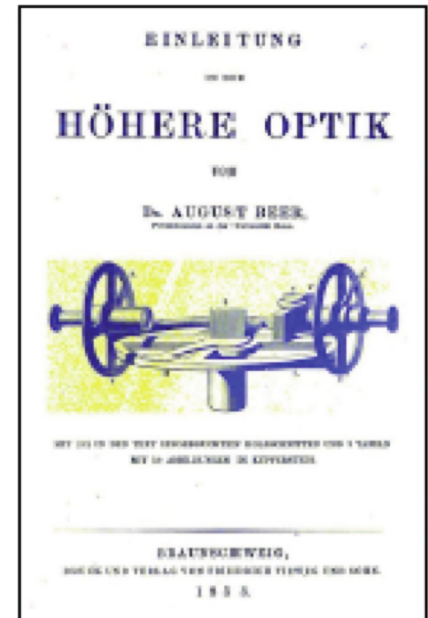
$c$  molar concentration [ $\text{mol l}^{-1}$ ]

$l$  optical pathlength [cm]

$\varepsilon$  molar decadic extinction coefficient

Example:  $c = 10^{-3} \text{ M}$ ,  $\varepsilon = 10^4 \text{ mol}^{-1} \cdot \text{l} \cdot \text{cm}^{-1}$

$\Rightarrow T = 0.01$ ,  $A = 2 \Rightarrow$  99% of the light is  
absorbed within the first 2 mm of the solution



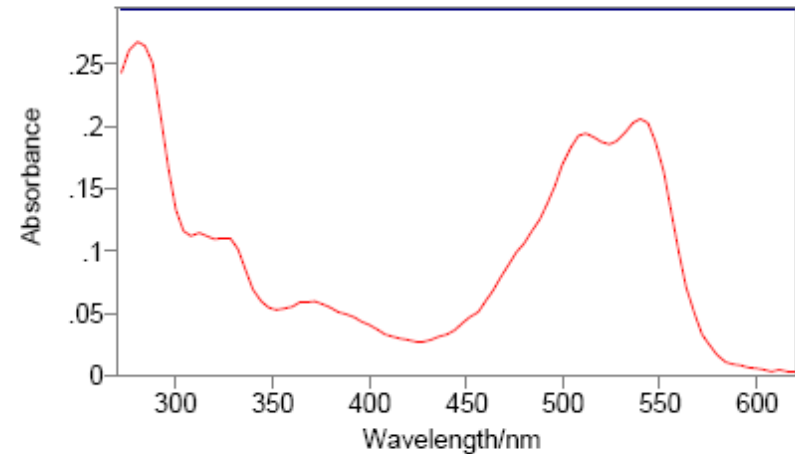
August Beer  
(1825-1863)

OD ~ 2: 1% transmission  
OD ~ 1: 10% transmission  
OD ~ 0.01: 98% transmission

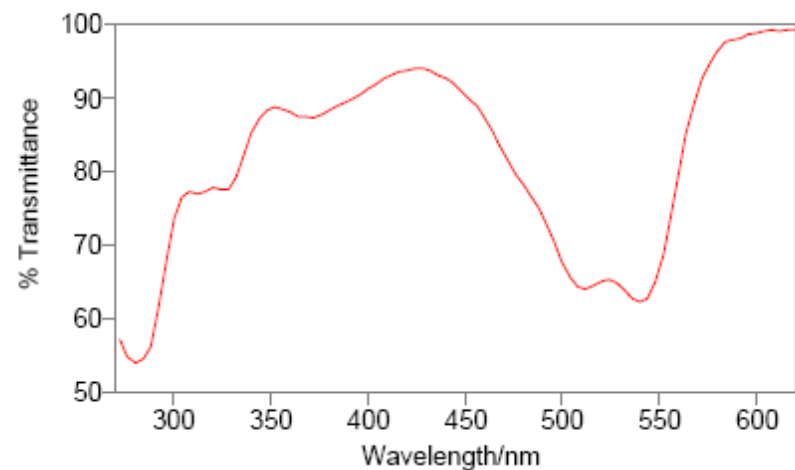
OD can be adjusted  
with concentration

# ABSORPTION & TRANSMISSION SPECTRA

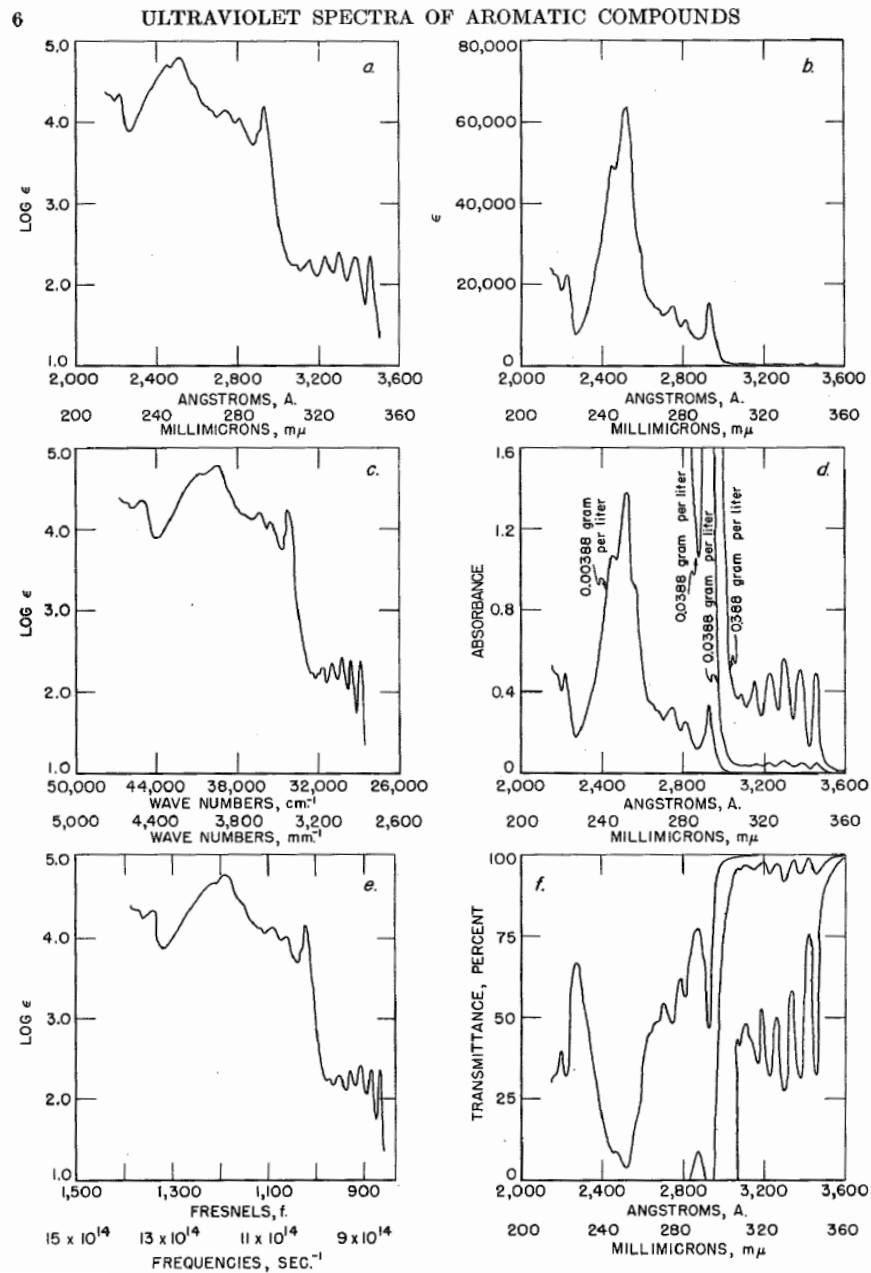
- Absorption spectrum of a red textile



- Transmission spectrum of the same

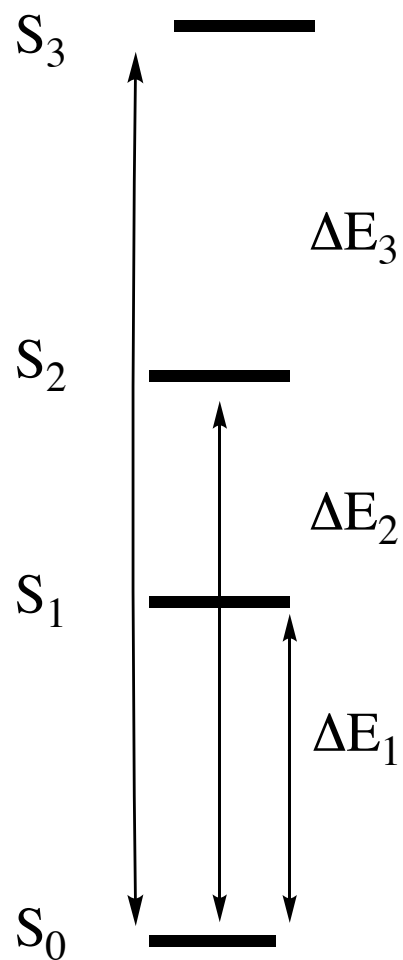


## Be Watchful of the Units Used

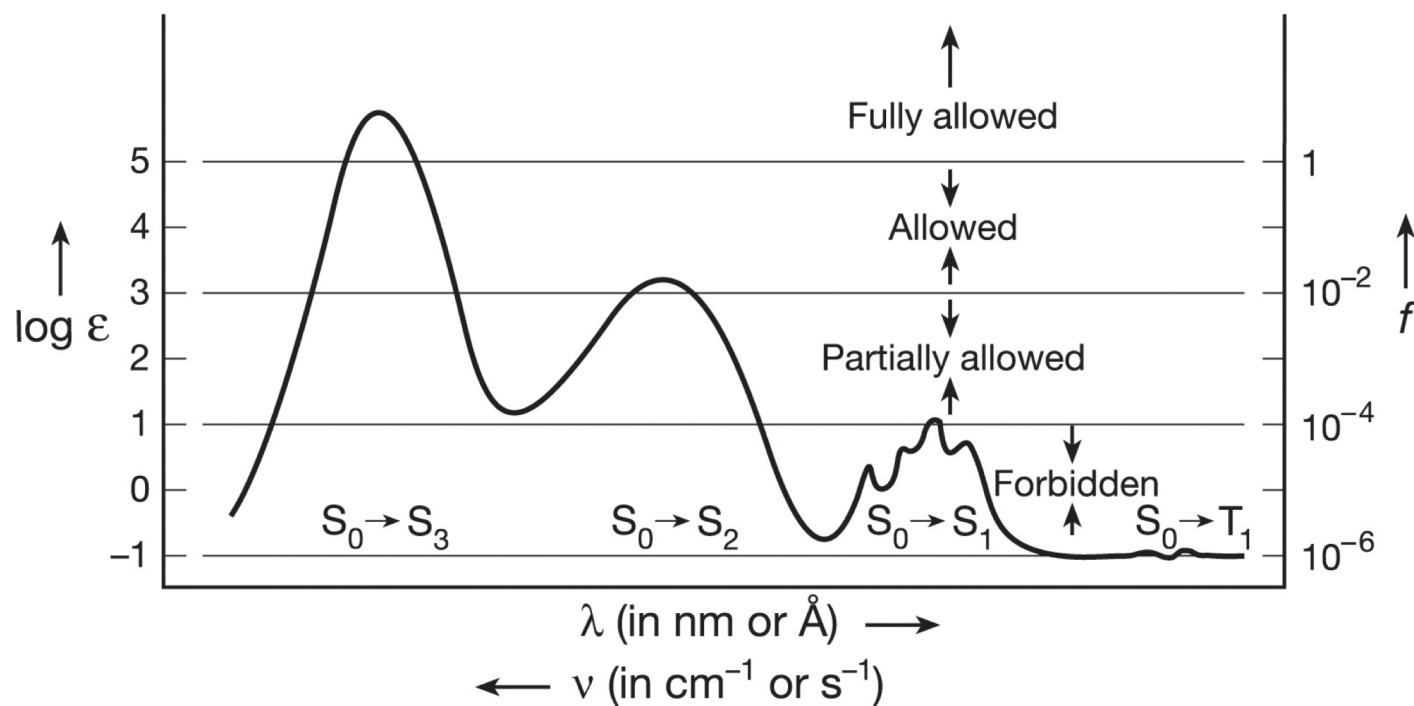


# Absorption Spectra

- What does it mean?
- Why so many bands?
- Why the intensities of the bands vary?



## Electronic transitions

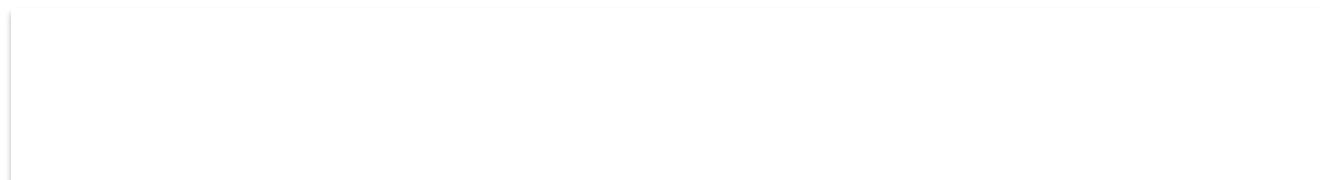




## Long-Wavelength Absorption Bands (Corresponding to HO → LU Transitions) of Some Typical Organic Chromophores

Chromophore	$\lambda_{\text{max}}$ (nm)	$\epsilon_{\text{max}}$	Transition type
C-C	<180	1000	$\sigma, \sigma^*$
C=C	180	10,000	$\pi, \pi^*$
C=C-C=C	220	20,000	$\pi, \pi^*$
C=C-C=C-C=C	260	40,000	$\pi, \pi^*$
C=O	280	20	$n, \pi^*$
C=C-C=O	350	30	$n, \pi^*$
C=C-C=O	280	10,000	$\pi, \pi^*$
Benzene	260	200	$\pi, \pi^*$
Pyrene	350	510	$\pi, \pi^*$
Anthracene	380	10,000	$\pi, \pi^*$

Electronic structures for various states are generated assuming the molecule is stationary and it is in its lowest energy state



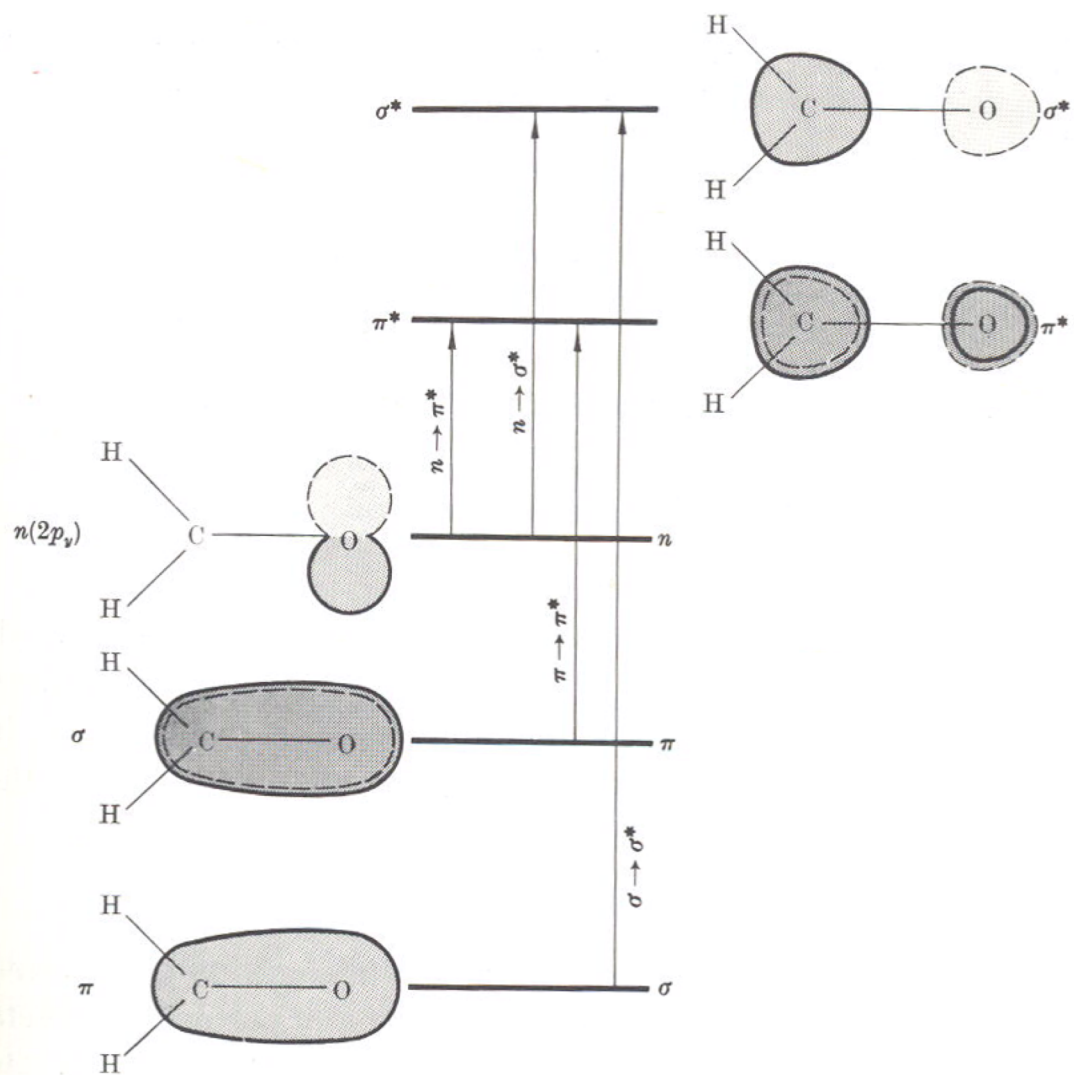
R       $\Psi_1$        $\Phi_1 \cdot \chi_1 \cdot S_1$

**Electron** jump between orbitals generally takes  $\sim 10^{-15}$  to  $10^{-16}$  s

**Nuclear** vibrations take  $\sim 10^{-13}$  to  $10^{-14}$  s

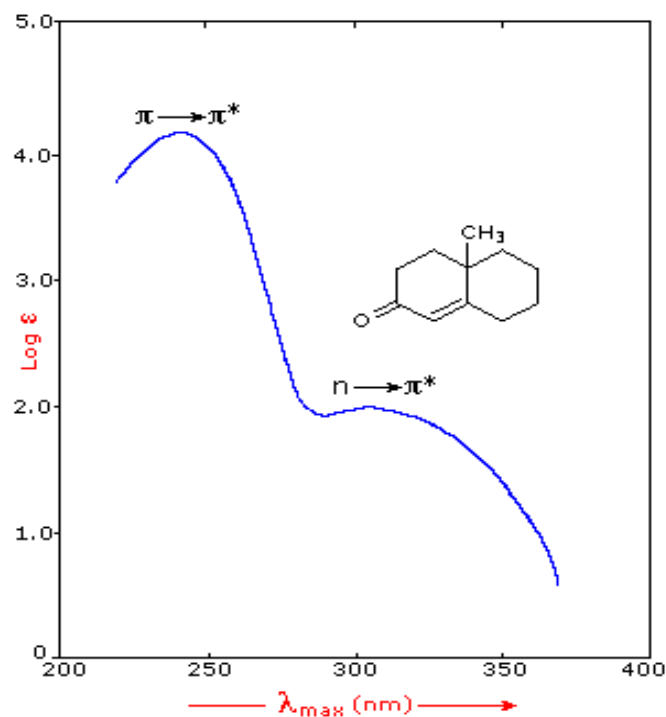
**Spin** change even at very high magnetic field occurs in  $\sim 10^{-12}$  s

# Criteria for electronic transition: Orbital overlap



**No** orbital overlap  $n$  and  $\pi^*$

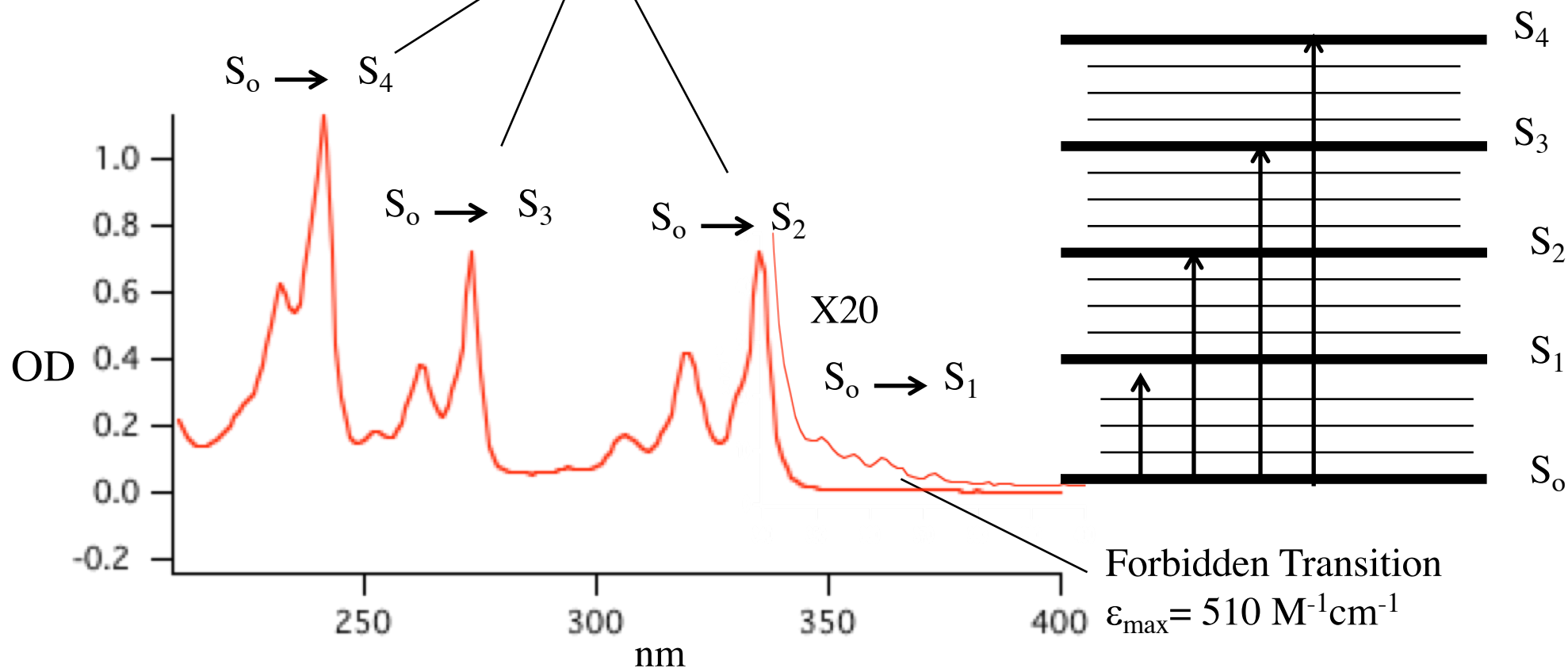
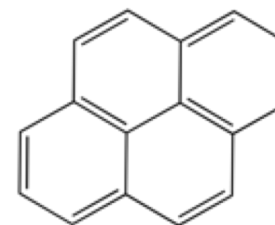
Orbital overlap  $\pi$  and  $\pi^*$



# Criteria for electronic transitions: Symmetry of the involved orbitals

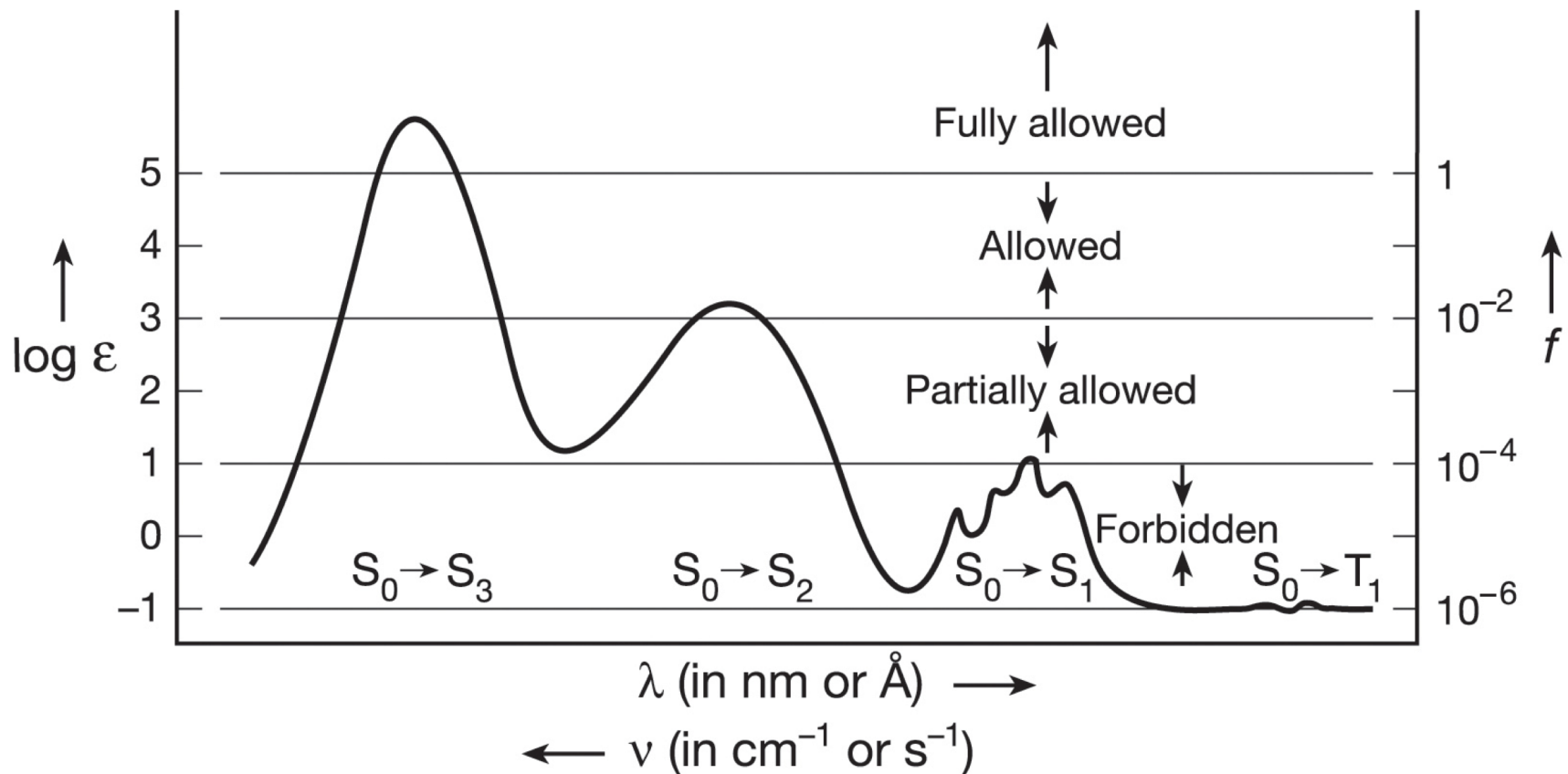
Allowed Transitions

$$\epsilon_{\max} = 54000\text{--}88000 \text{ M}^{-1}\text{cm}^{-1}$$



What controls the intensities of the bands?

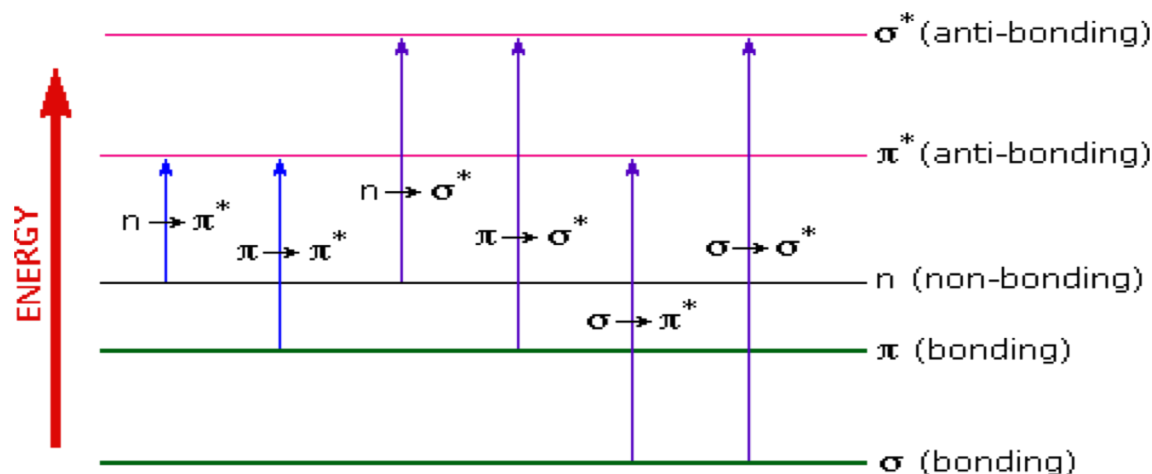
Why the  $\epsilon$  and  $f$  vary with the band?



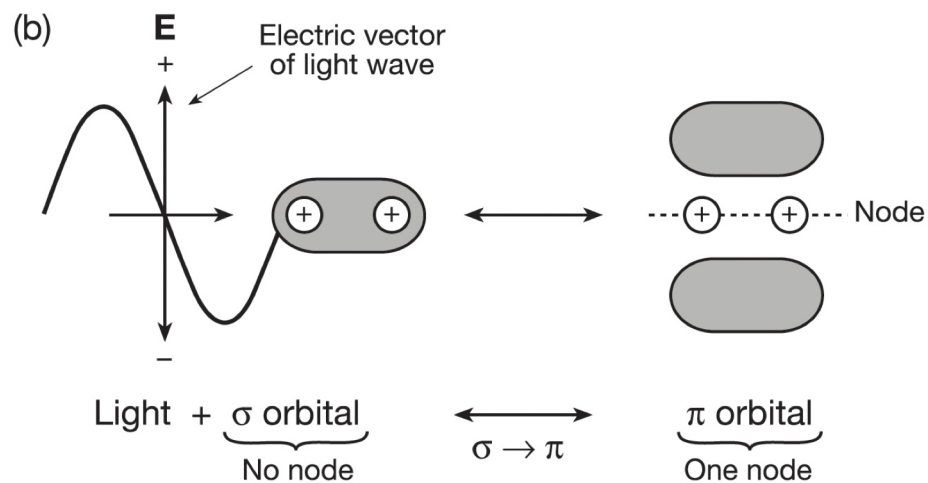
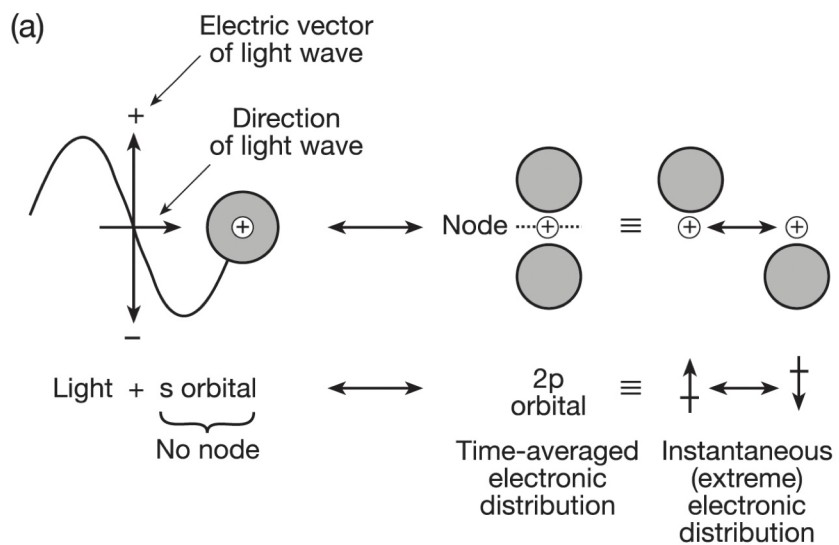
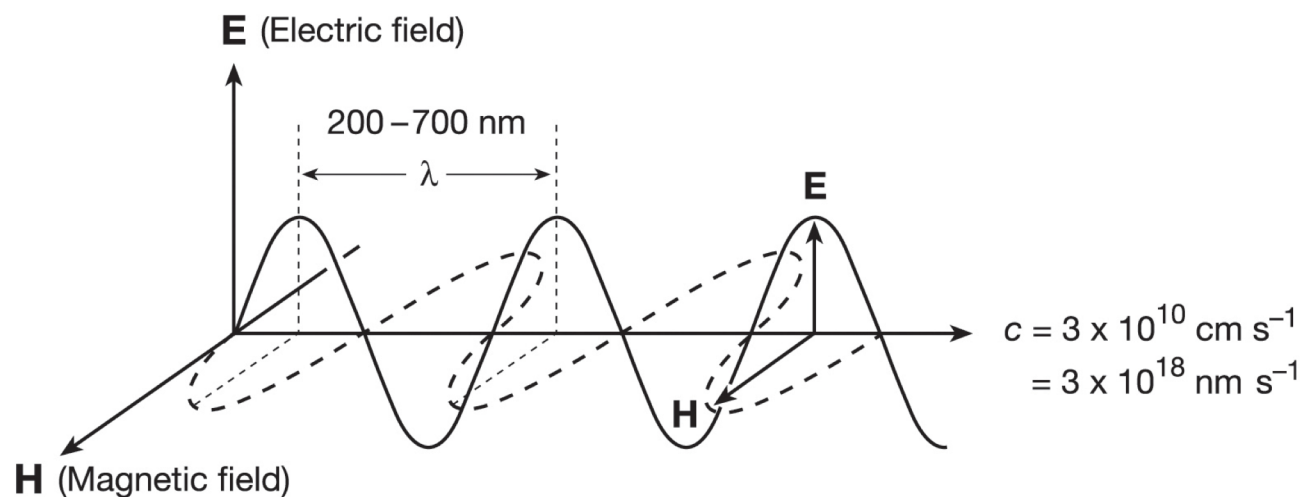
# Electronic transitions: Orbital part

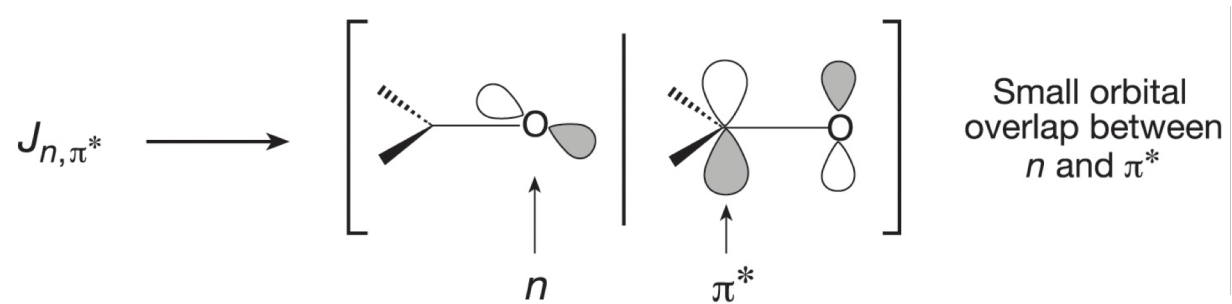
The electronic factor  $f_e$  may be subclassified in terms of different kinds of forbiddenness:

- (1) **Overlap overlap forbiddenness**, which results from **poor spatial overlap** of the orbitals involved in the electronic transition, example, the  $n, \pi^*$  transition in ketones, for which the HO and LU are orthogonal to one another and the overlap integral  $\langle n | \pi^* \rangle$  is close to zero.
- (2) **Orbital symmetry forbiddenness**, which results from orbital wavefunctions (involved in the transition) that overlap in space but have their overlap integral canceled because of the **symmetry of the wave functions**. Examples transitions in benzene, naphthalene, and pyrene.

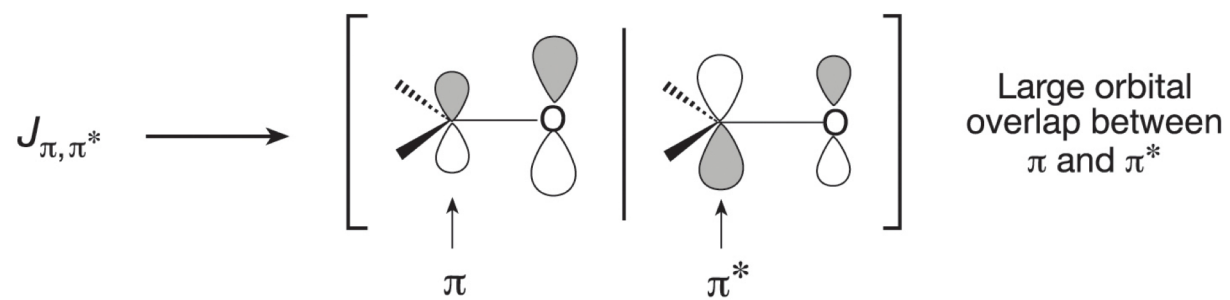
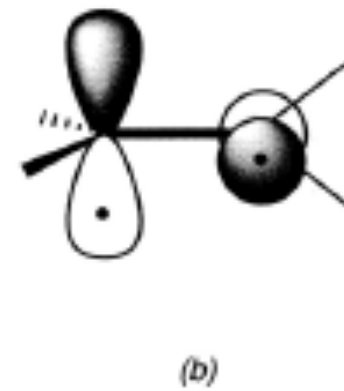
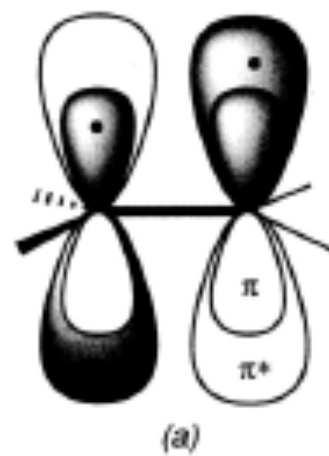


# Light as electromagnetic wave





$$\langle n | \pi^* \rangle \text{ Small}$$



$$\langle \pi | \pi^* \rangle \text{ Large}$$



# Electronic transitions

**Electronic transition involves changes in**

- **Orbital**
- **Positions of nuclei**
- **Spin of the electron**

**If everything is perfect (favored) the transition is allowed,  
if not the transition is forbidden**

**A perfectly allowed transition has an oscillator strength of  
ONE and forbidden transition an oscillator strength of ZERO**

# Oscillator Strength-Absorption

$$I_t(\lambda_x) = I_0(\lambda_x) e^{-\alpha L}$$

Probability of light absorption is related to the oscillator strength ' $f$ '

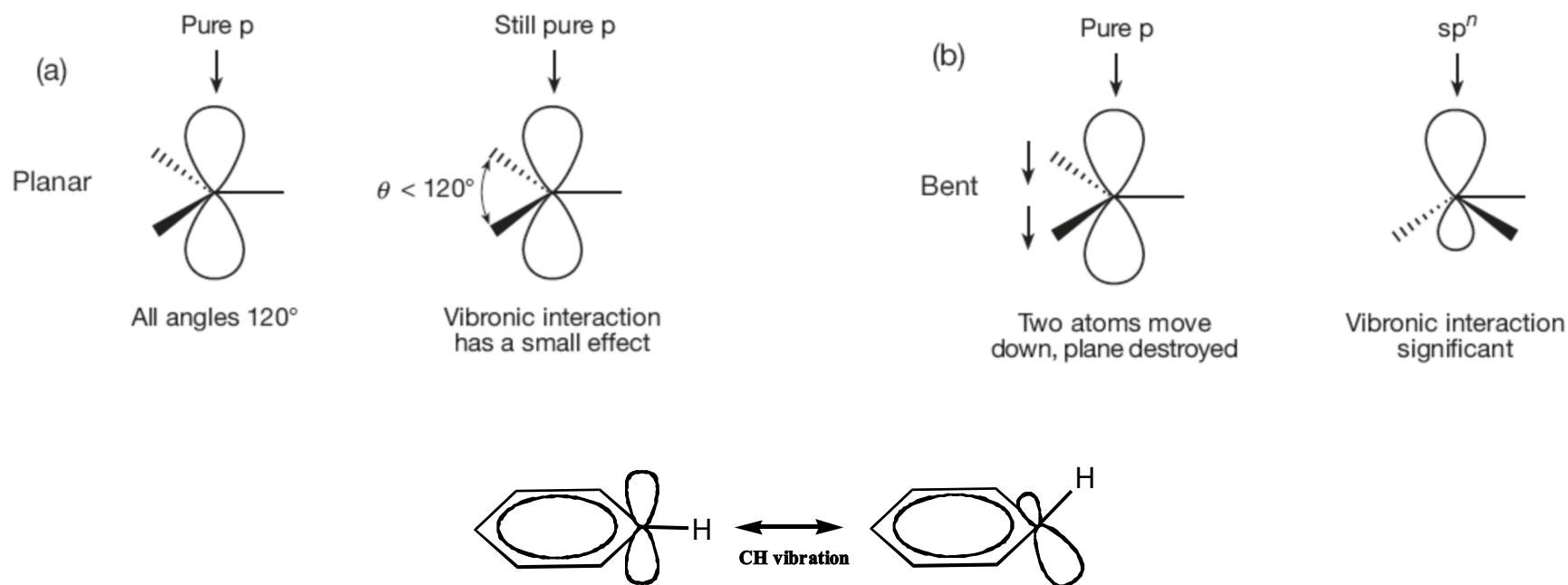
Electronic	Orbital Symmetry	$f_e$
	Orbital Overlap	$f_e$
Vibronic	Nuclear position	$f_v$
Spin	Electron Spin	$f_s$

A perfectly allowed transition has  $f = 1$

A non-allowed allowed transition may also occur with  $f < 1$

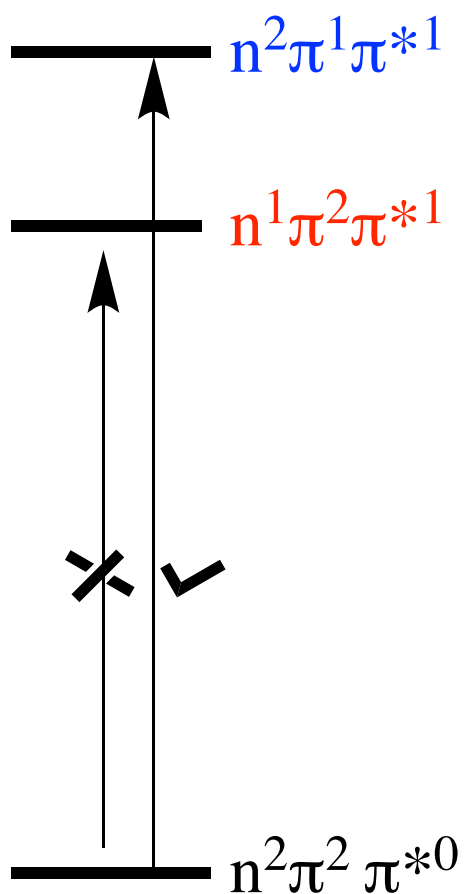
# Zero Order to First Order Through Vibronic Coupling

$$\langle n | H | \pi \rangle = 0 \quad \text{when } n \text{ and } \pi \text{ are orthogonal}$$

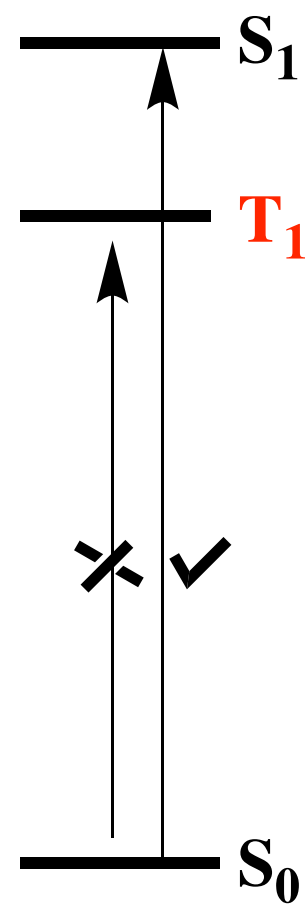


Vibrational mixing could change the shape of the zero order orbital and lead to slight overlap between 'n' and ' $\pi$ ' (and ' $\pi^*$ ' )

# Forbidden Transitions

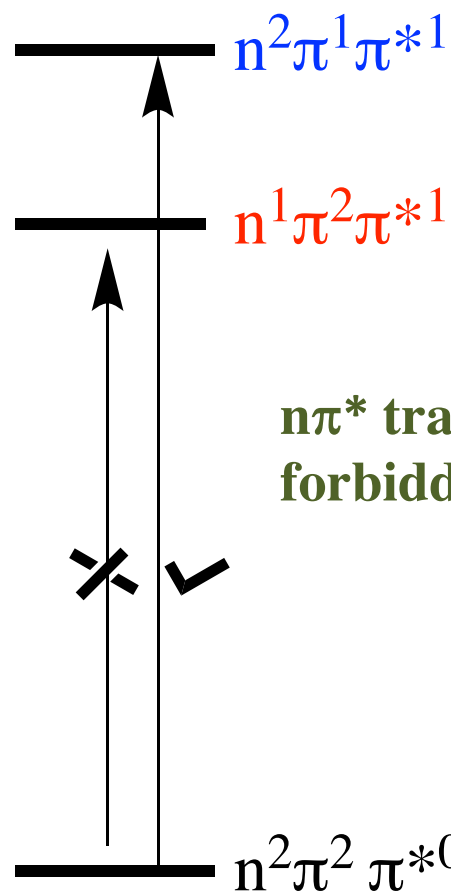


**Orbital change**

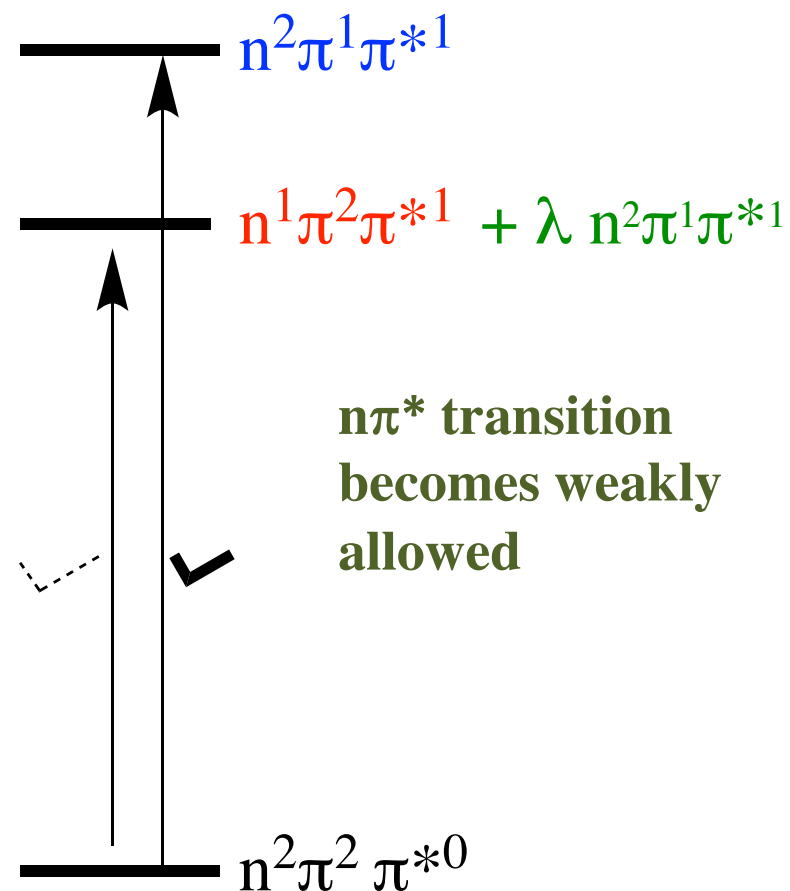


**Spin change**

## Result of vibrational - electronic mixing



$n\pi^*$  transition  
forbidden



$n\pi^*$  transition  
becomes weakly  
allowed

Vibration mixes the states,  
no longer pure states

## Orbital shape change could lead to state mixing

Due to vibration an  $n, \pi^* S_1$  state is no longer pure but contains a finite amount of  $\pi, \pi^*$  character mixed in so the zero order wavefunction is not valid and the first order wavefunction may in fact be:

first order  $\longrightarrow$   $\psi(S_1) = \psi(n, \pi^*) + \lambda \psi(\pi, \pi^*)$  Mixing coefficient

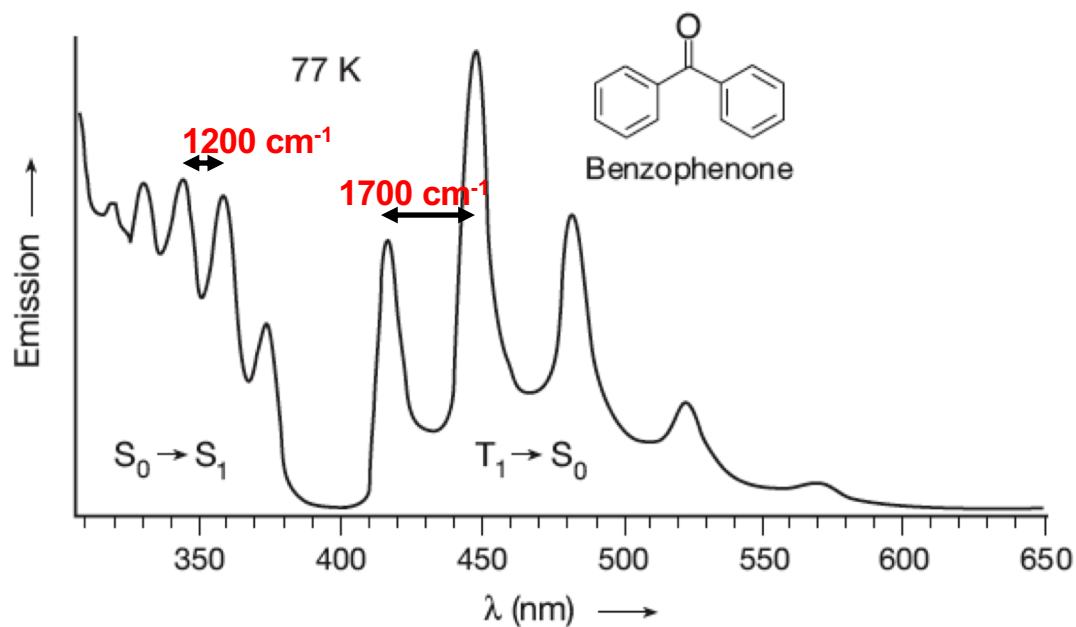
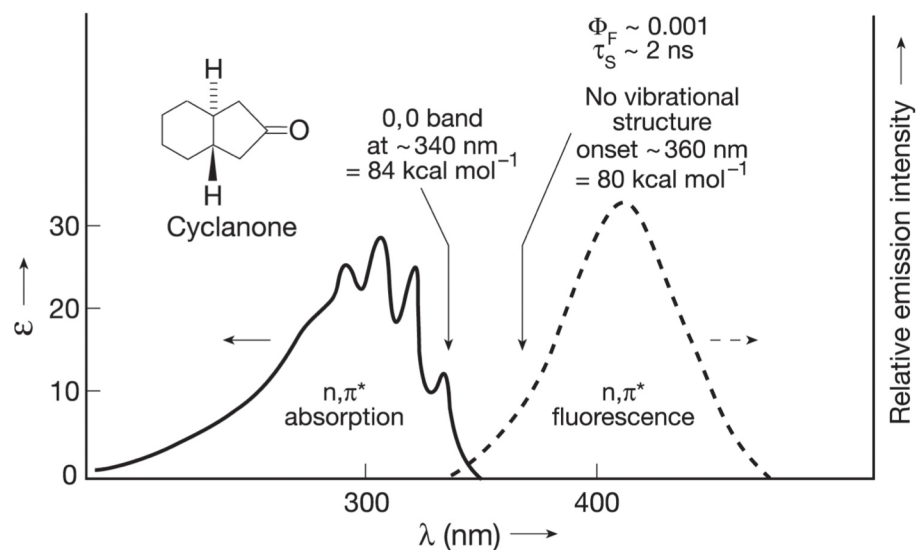
$n, \pi^*$   $\uparrow$  zero order  $\uparrow$  zero order  $\pi, \pi^*$

$$\lambda = \left| \frac{\langle \psi_a | H | \psi_b \rangle}{E_a - E_b} \right|$$

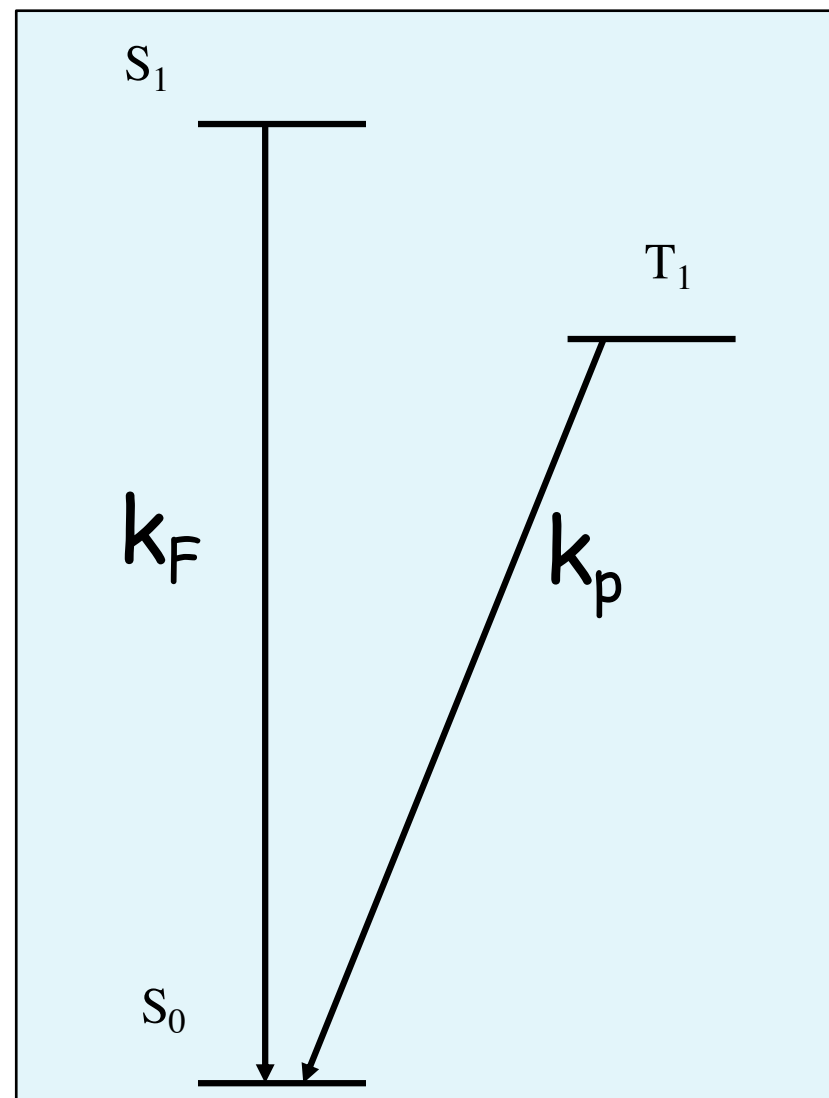
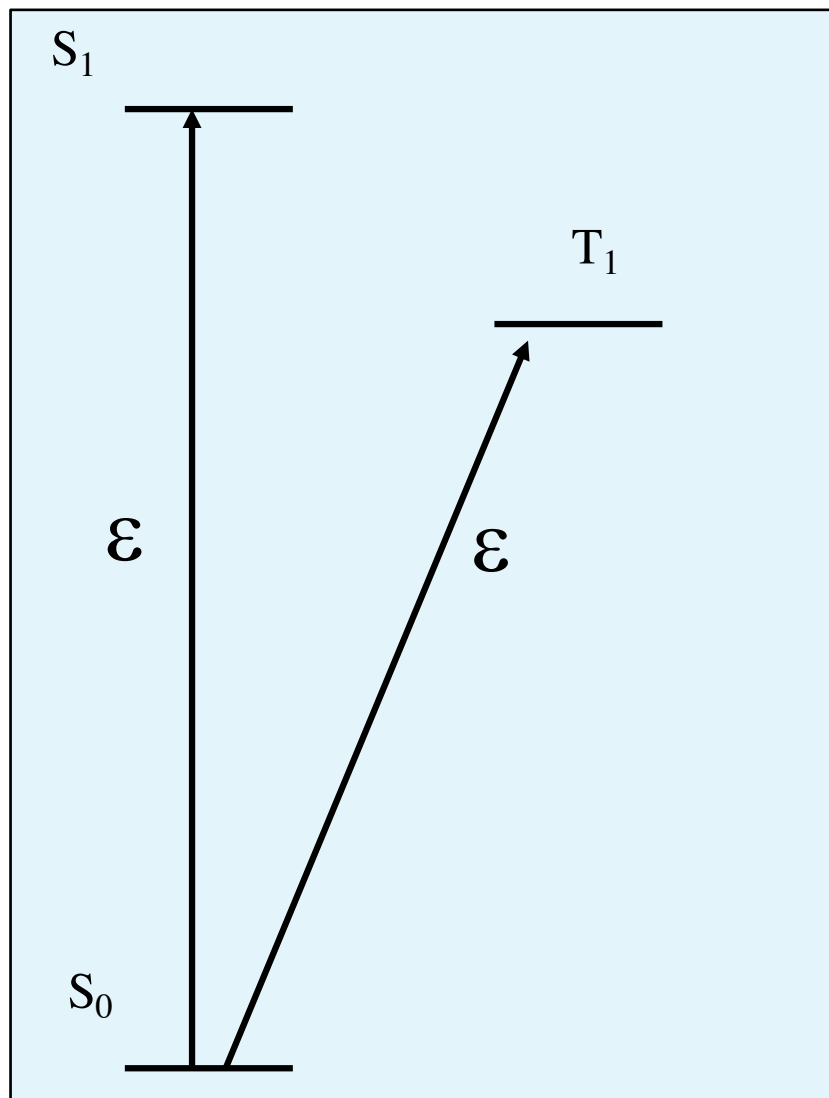
In general  $\lambda$  is the result of **vibrational mixing** (break down of Born-Oppenheimer approximation)

# Absorption spectra

## Vibrational structure due to vibrational mixing



# Same Rules for Excitation & De-excitation





# Probability of Absorption and Emission

Probability of light **absorption** is related to the oscillator strength  $f$

$$\begin{array}{ccc} \text{Theoretical} & & \text{Experimental} \\ \text{oscillator strength} & f \sim 4.3 \times 10^{-9} \int \epsilon \, d\nu & \text{absorption} \end{array}$$

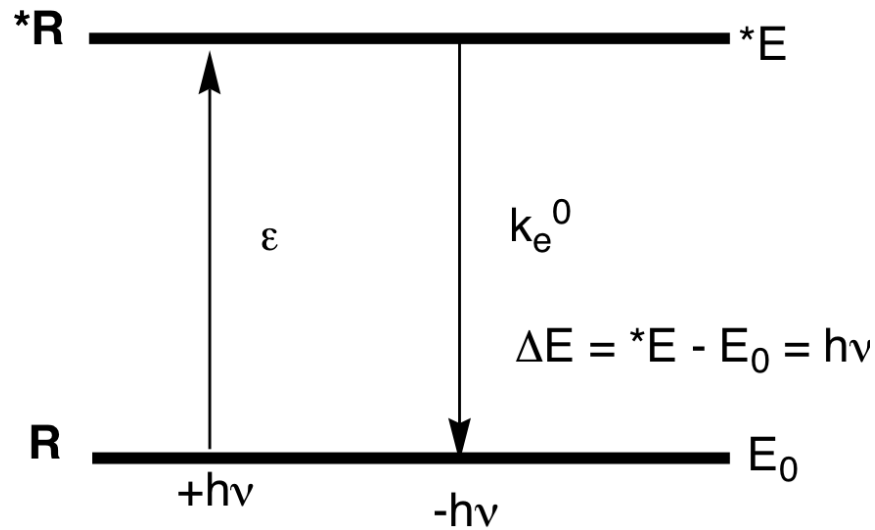
↓

Area under  $\epsilon$  vs. wavenumber plot

**Emission follows the same rules as absorption**

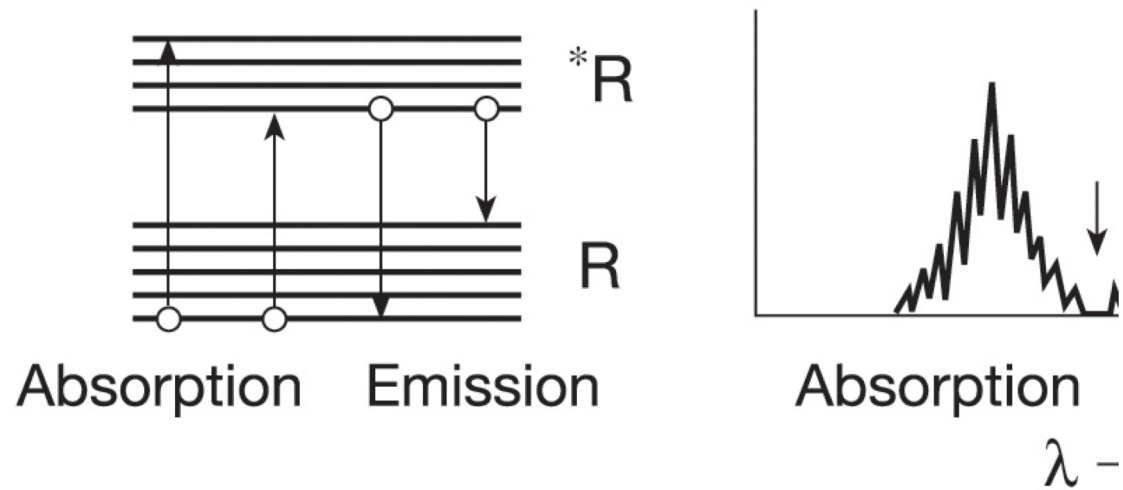
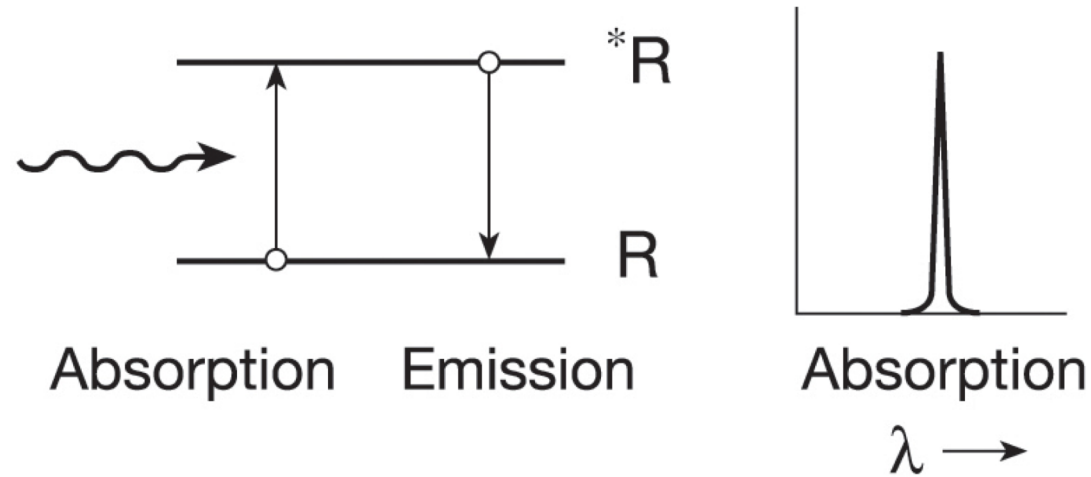
Rate constant for **emission**  $k_e^0$  is related to  $\epsilon$  by:

$$k_e^0 \sim 4.3 \times 10^{-9} \nu_0^{-2} \int \epsilon \, d\nu \sim \nu_0^{-2} f$$



$k_e(s^{-1})$	Example	Transition type	$\epsilon_{\max}$	$f$
$10^9$	<i>p</i> -Terphenyl	$S_1(\pi, \pi^*) \rightarrow S_0$	$3 \times 10^4$	1
$10^8$	Perylene	$S_1(\pi, \pi^*) \rightarrow S_0$	$4 \times 10^4$	$10^{-1}$
$10^7$	1,4-Dimethyl-benzene	$S_1(\pi, \pi^*) \rightarrow S_0$	$7 \times 10^2$	$10^{-2}$
$10^6$	Pyrene	$S_1(\pi, \pi^*) \rightarrow S_0$	$5 \times 10^2$	$10^{-3}$
$10^5$	Acetone	$S_1(n, \pi^*) \rightarrow S_0$	10	$10^{-4}$

# Shapes of Absorption Spectra



# Vibrational Part Limits the Electronic Transition

Electron jump between orbitals generally takes  $\sim 10^{-15}$  to  $10^{-16}$  s

Nuclear vibrations take  $\sim 10^{-13}$  to  $10^{-14}$  s

In the time scale of electronic transition nuclei will remain stationary



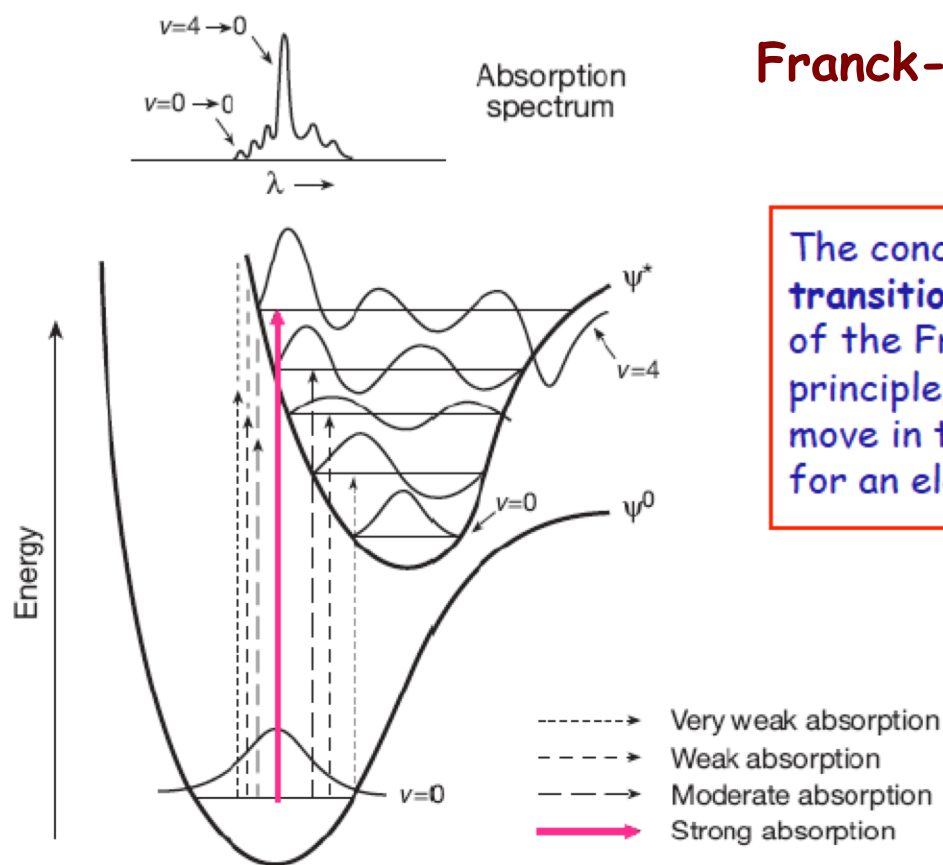
Franck



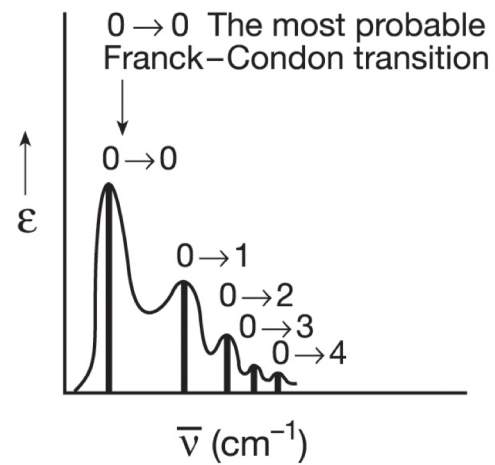
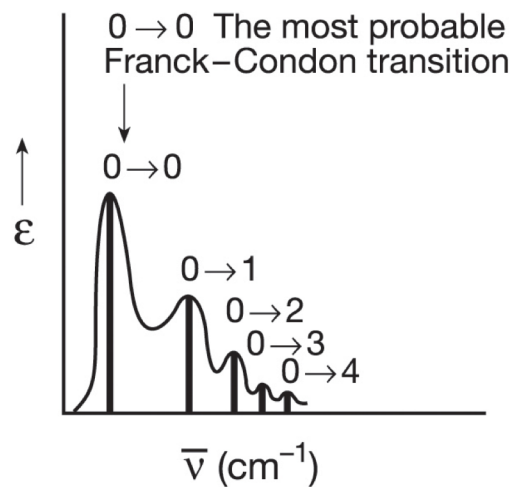
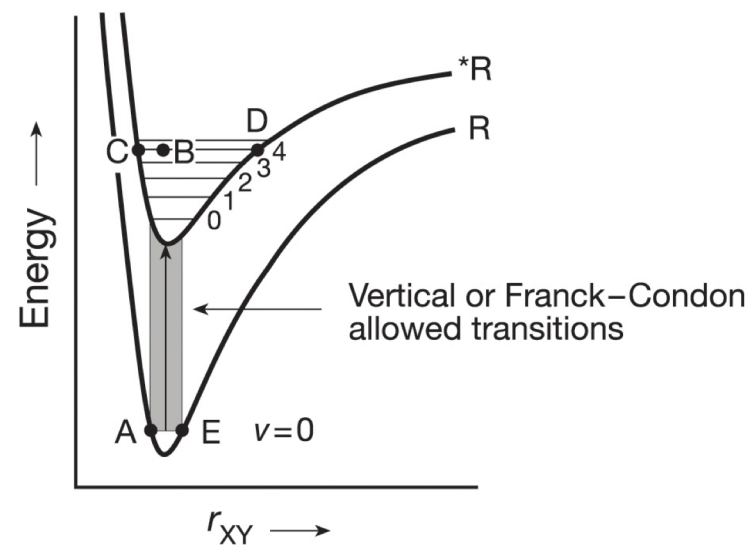
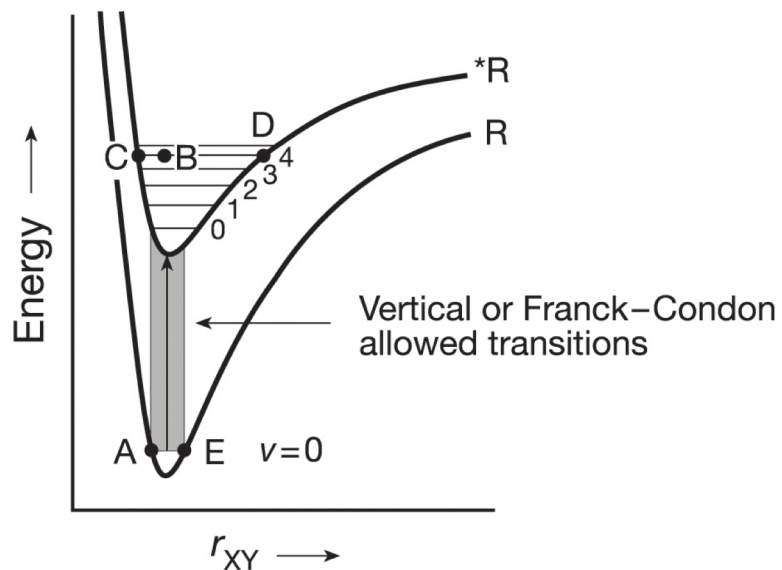
Condon

## Franck-Condon Principle

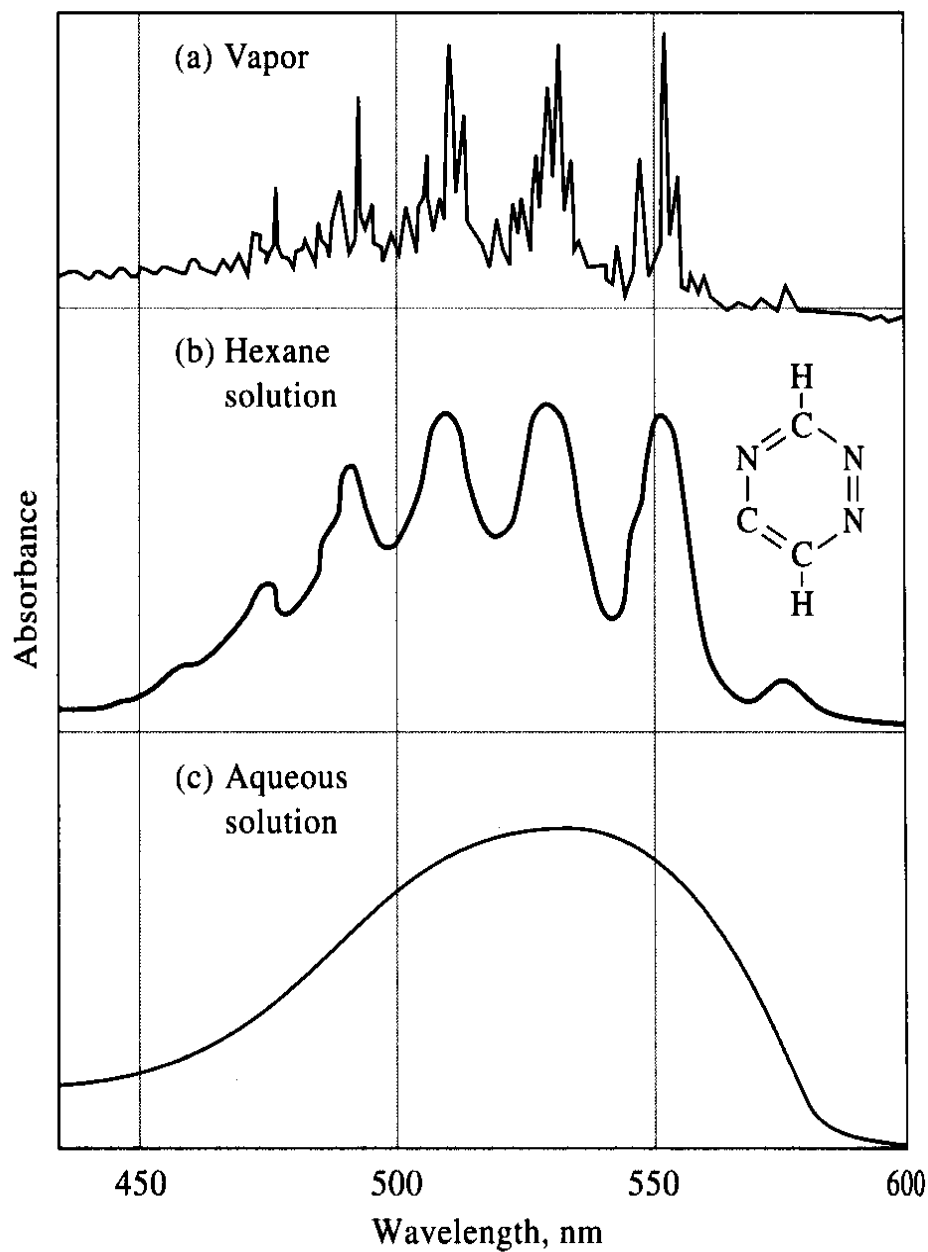
The concept of **vertical transitions** is a key aspect of the Frank-Condon principle; i.e., nuclei do not move in the time required for an electron transition.



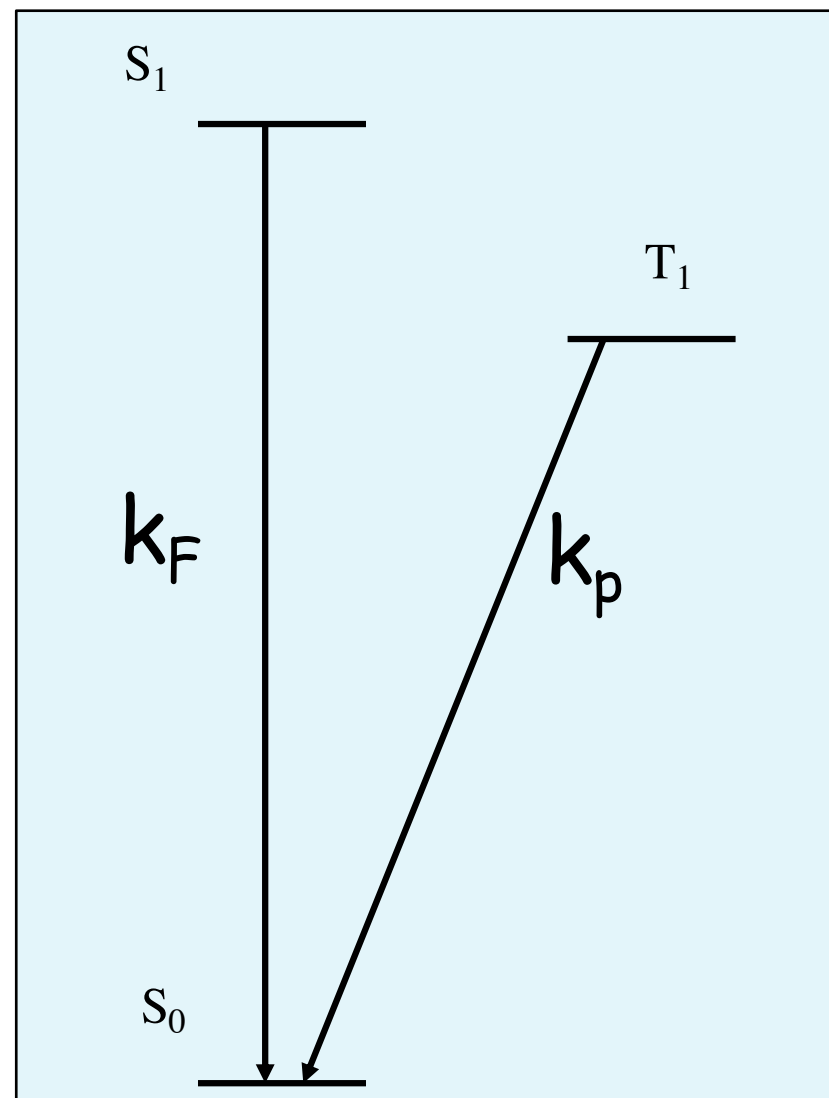
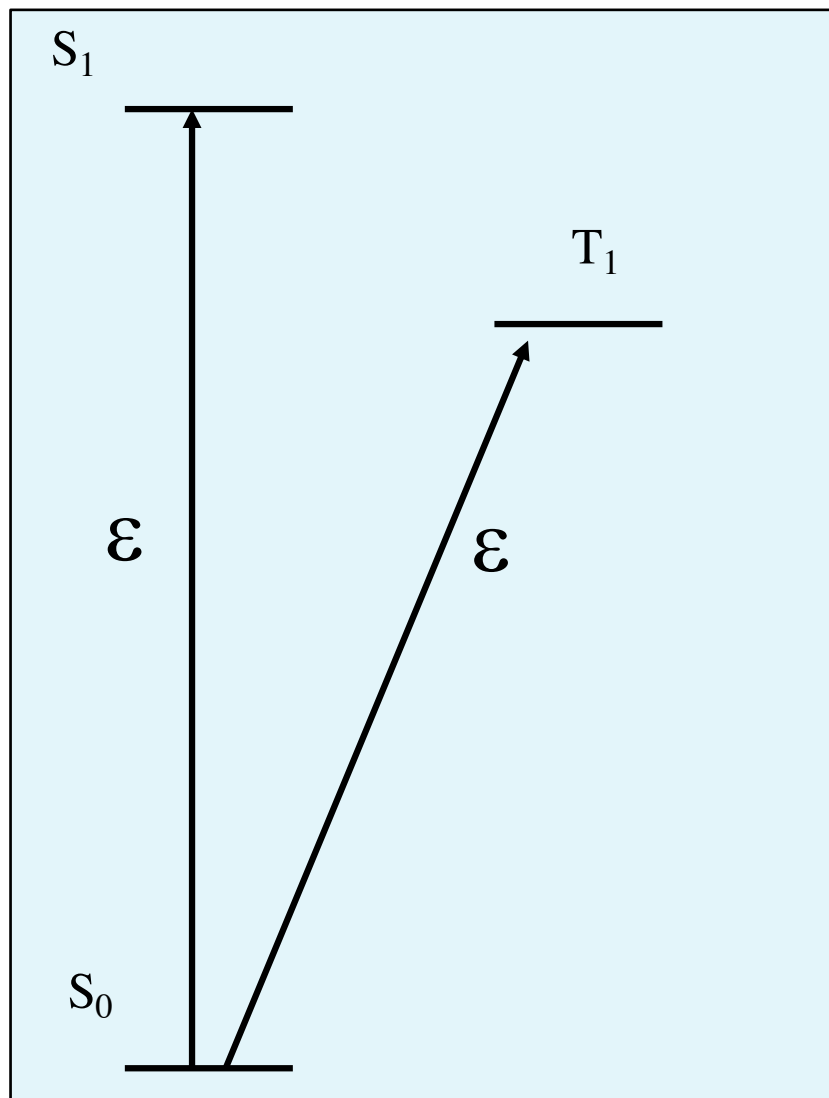
# Franck-Condon Principle



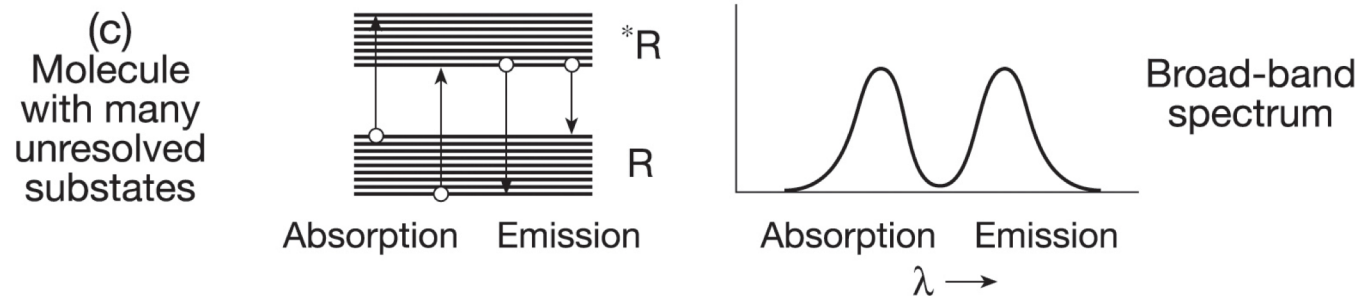
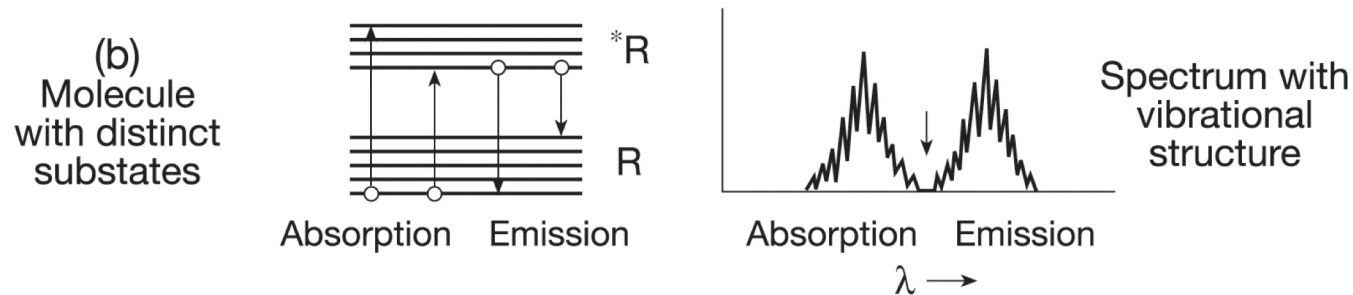
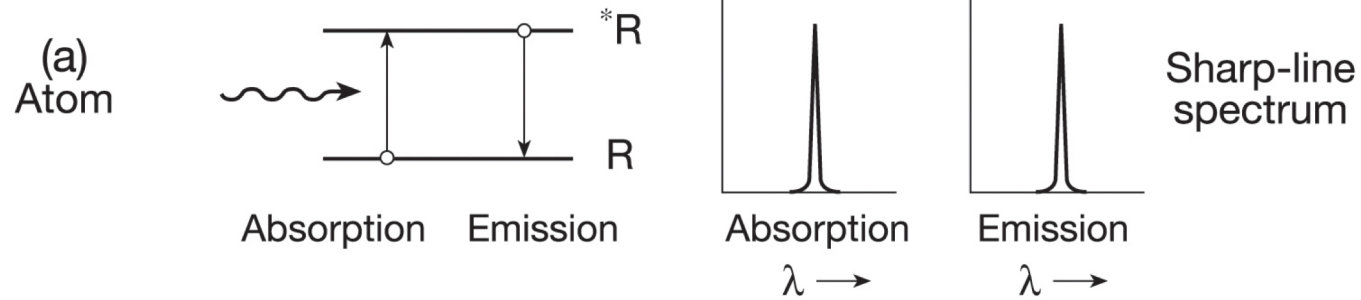
## Shapes of Absorption Spectra: medium dependent



# Same Rules for Excitation & De-excitation

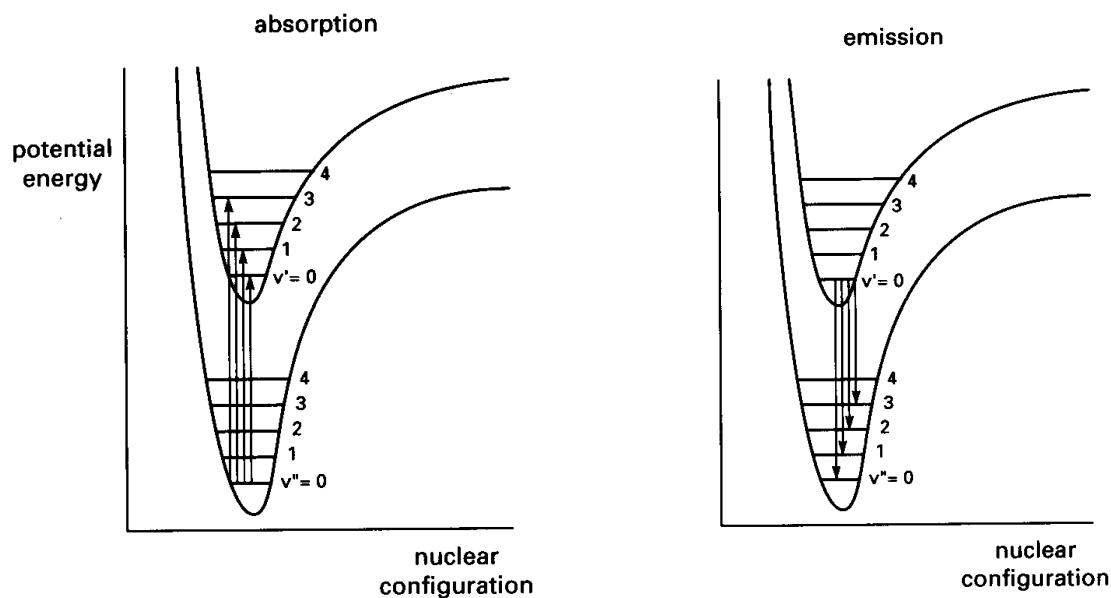


# Shapes of Absorption and Emission Spectra

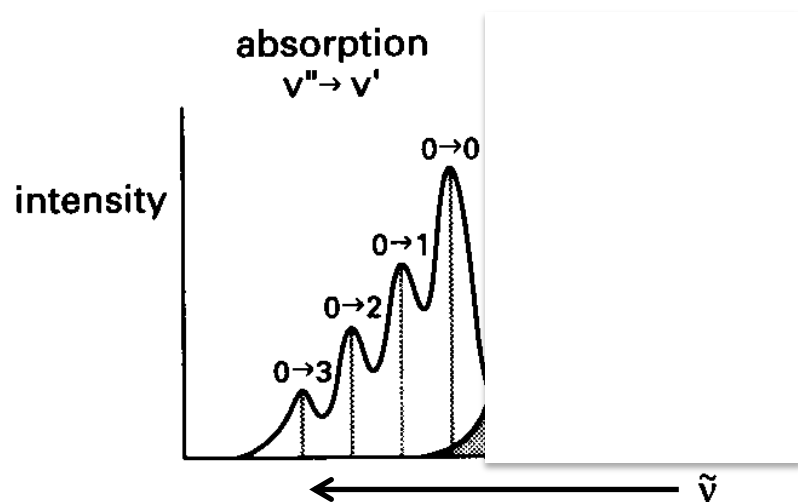




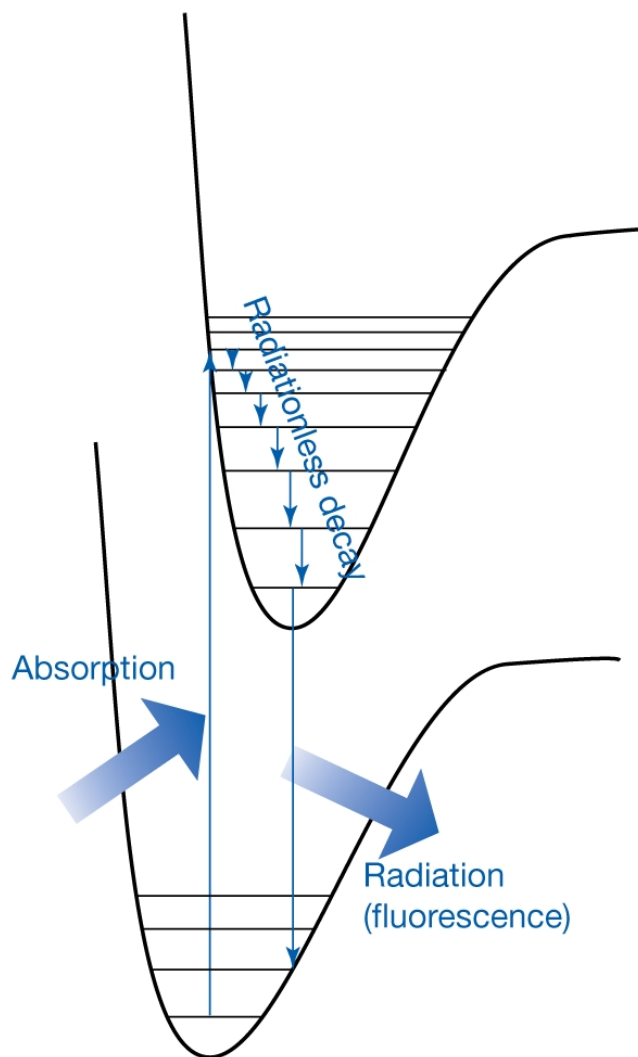
# Mirror Image Rule and Stoke's Shift



G.G. Stokes (1819-1903)



Owing to a decrease in bonding of the molecule in its excited state compared to that of the ground state, the energy difference between  $S_0$  and  $S_1$  is lowered prior to fluorescence emission (in about 0.1 to 100 ps). This is called Stokes' shift.

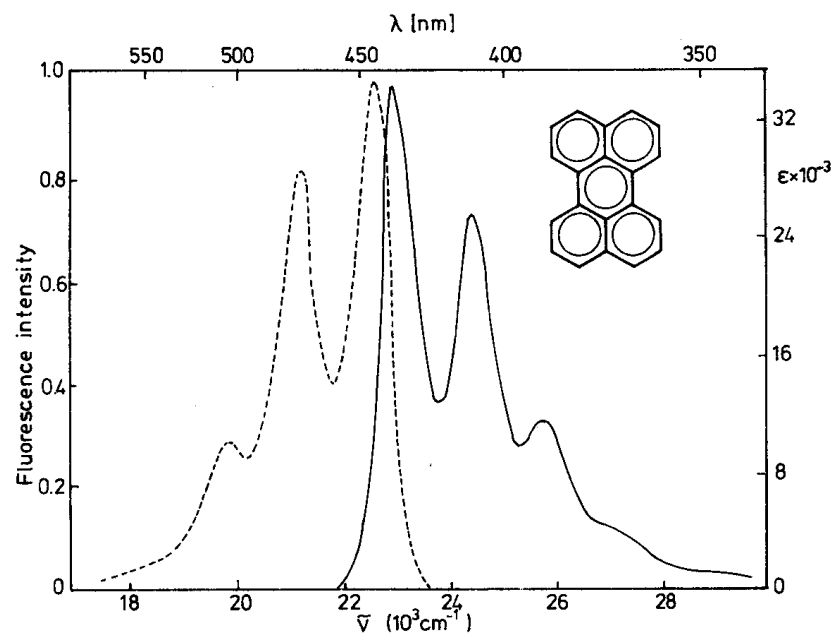
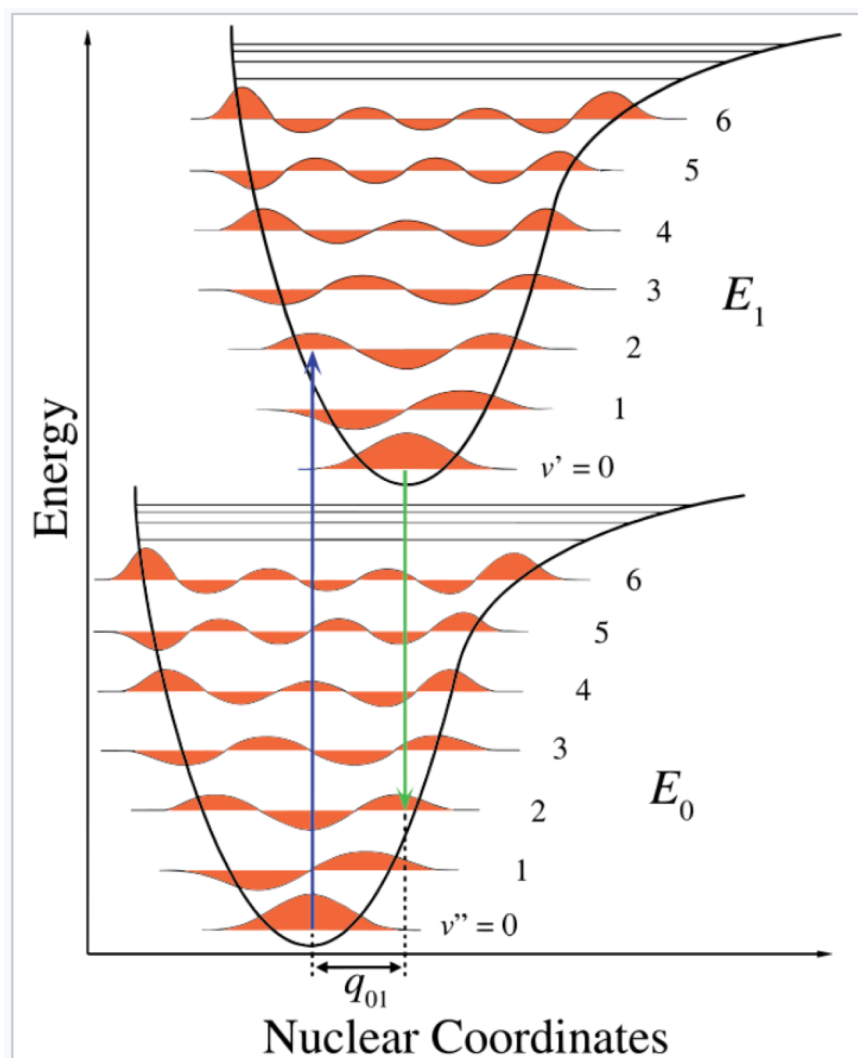


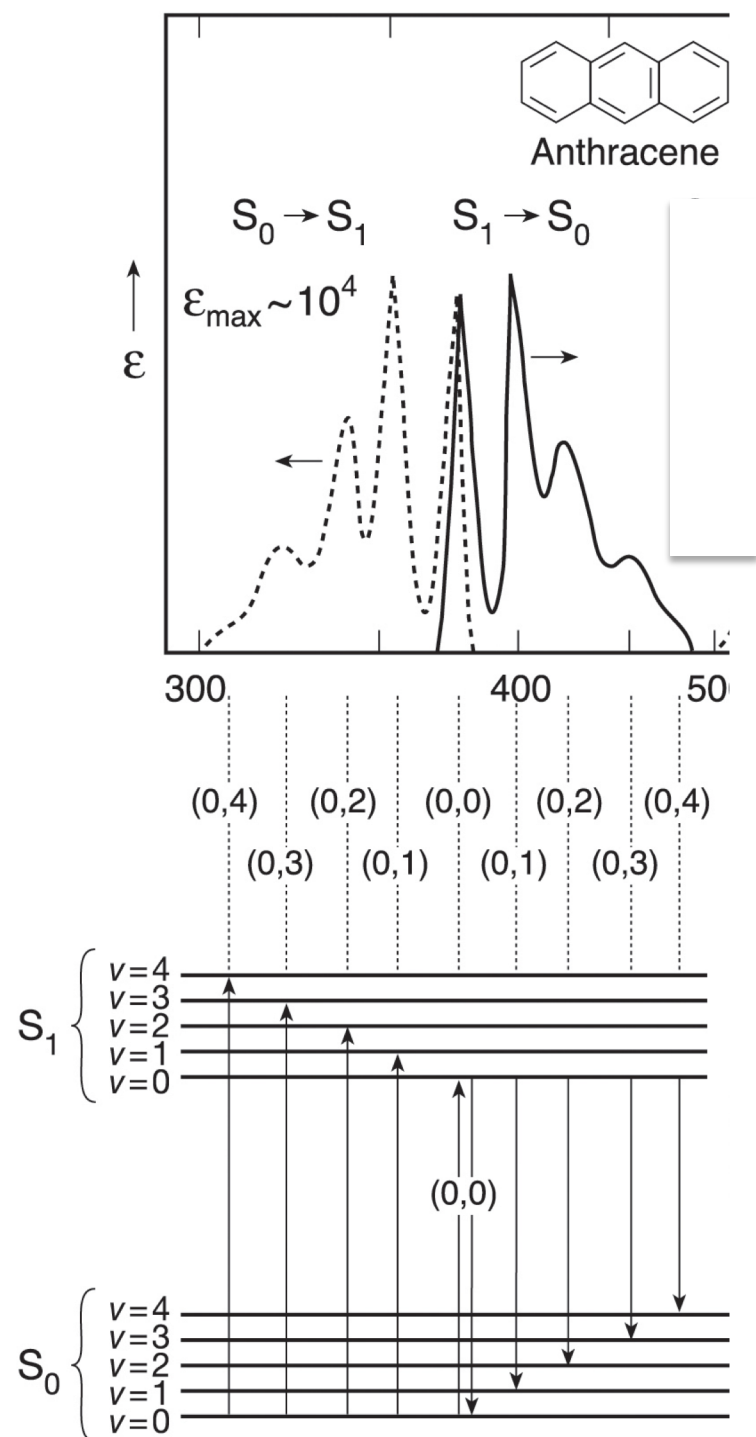
Absorption spectrum determined by (a) vibronic selection rules and (b) Franck-Condon overlap

Emission (fluorescence) or other processes follow relaxation to lowest vibrational level of  $S_1$

# Shapes of Absorption and Emission Spectra

Mirror Image Rule, Franck-Condon Principle, and Stoke's shift

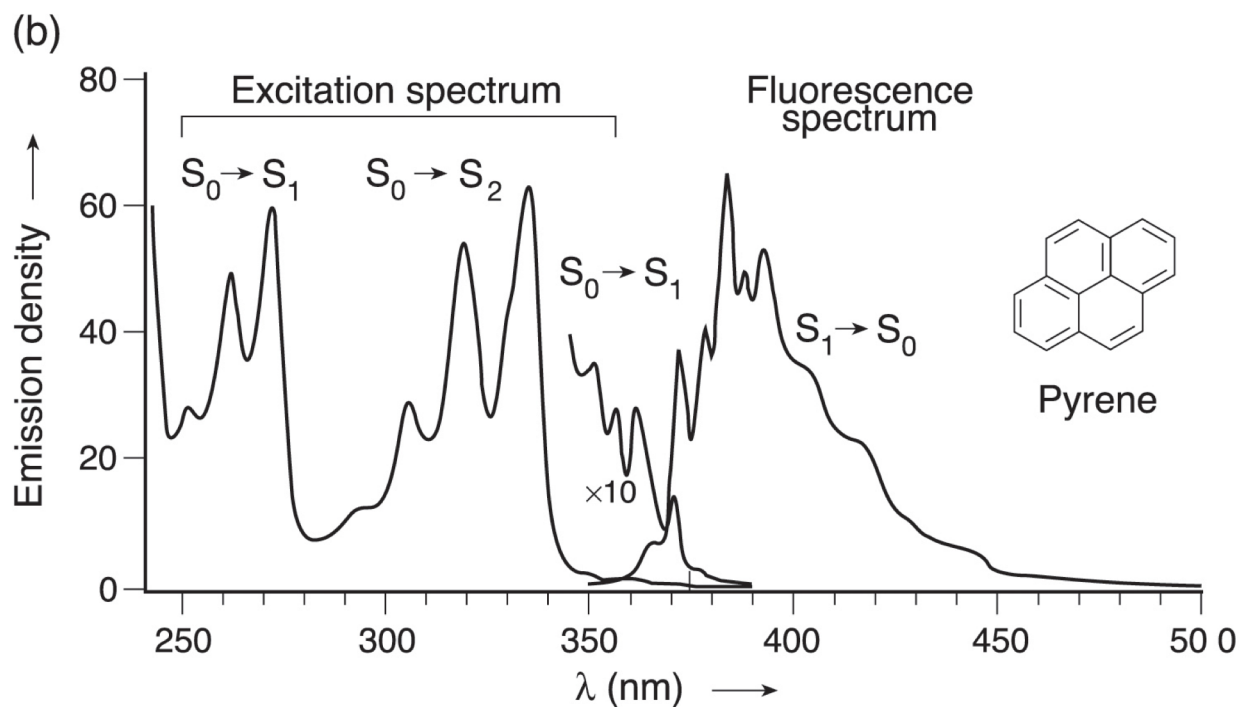
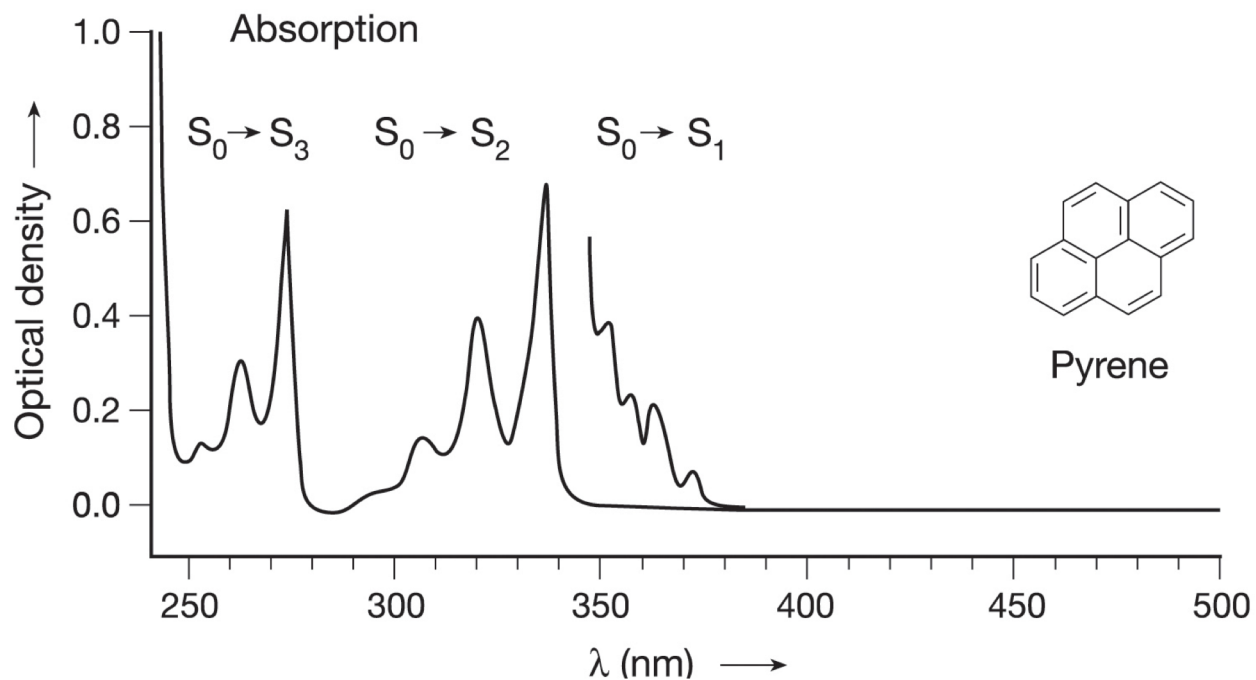




Absorption

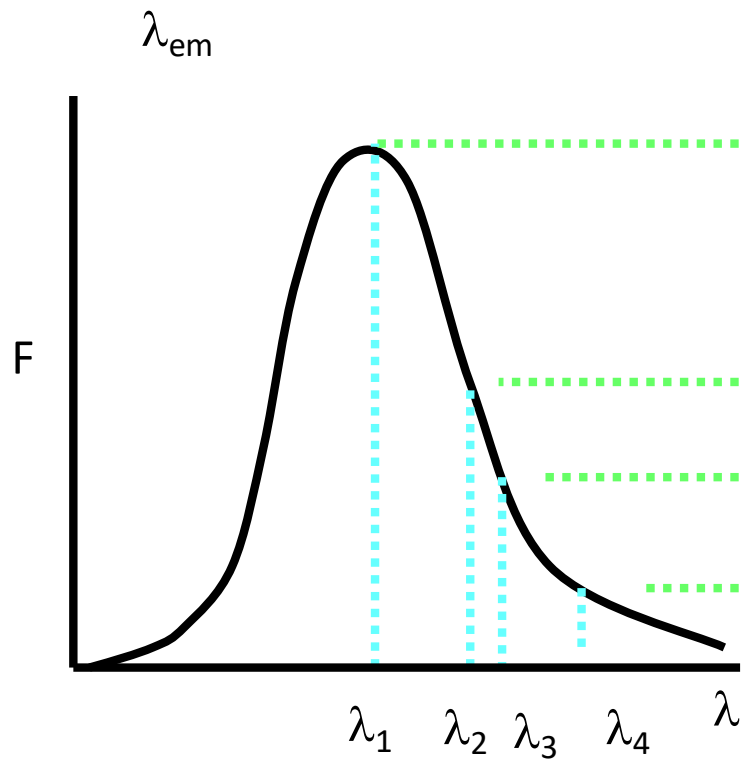
Emission

Excitation

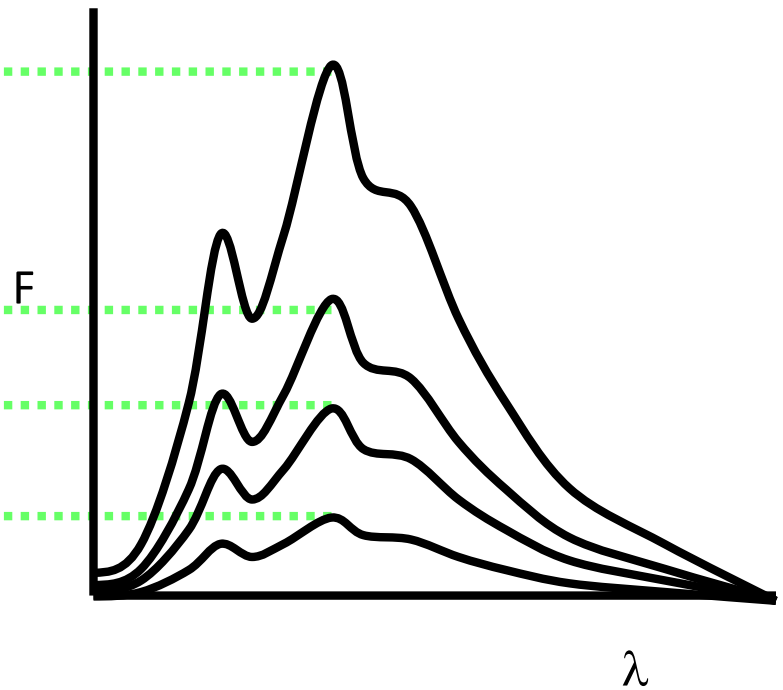


# Excitation and emission spectra

Excitation spectrum

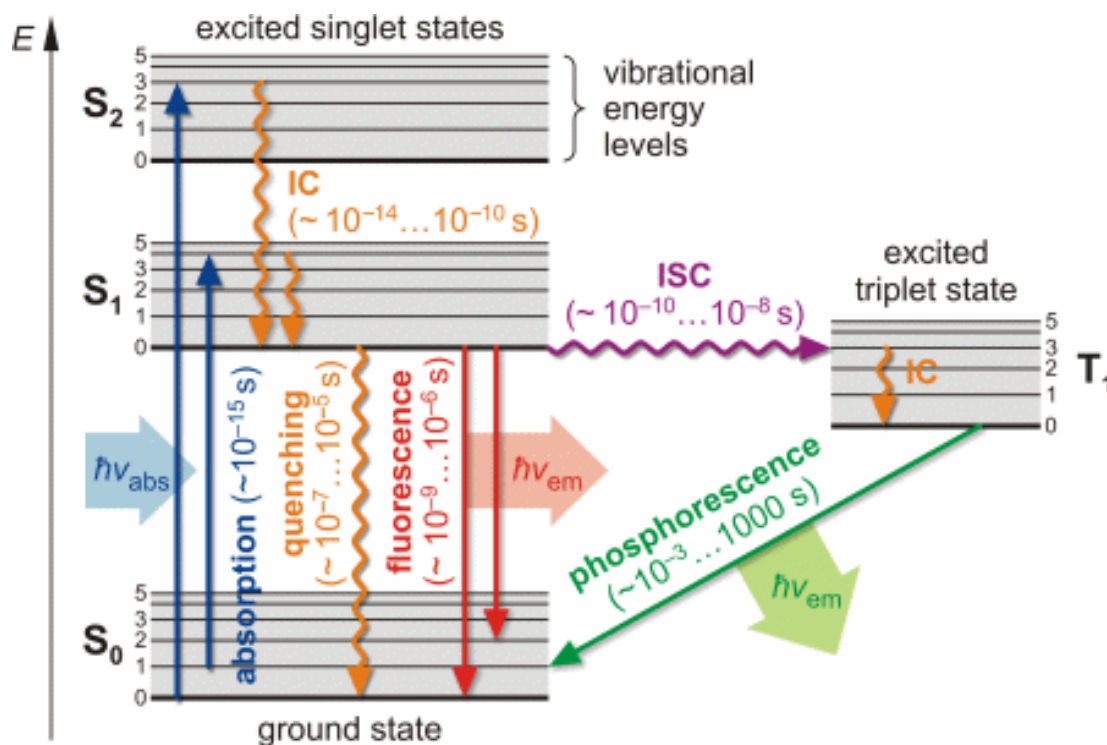


Emission spectra



# Vavilov's rule

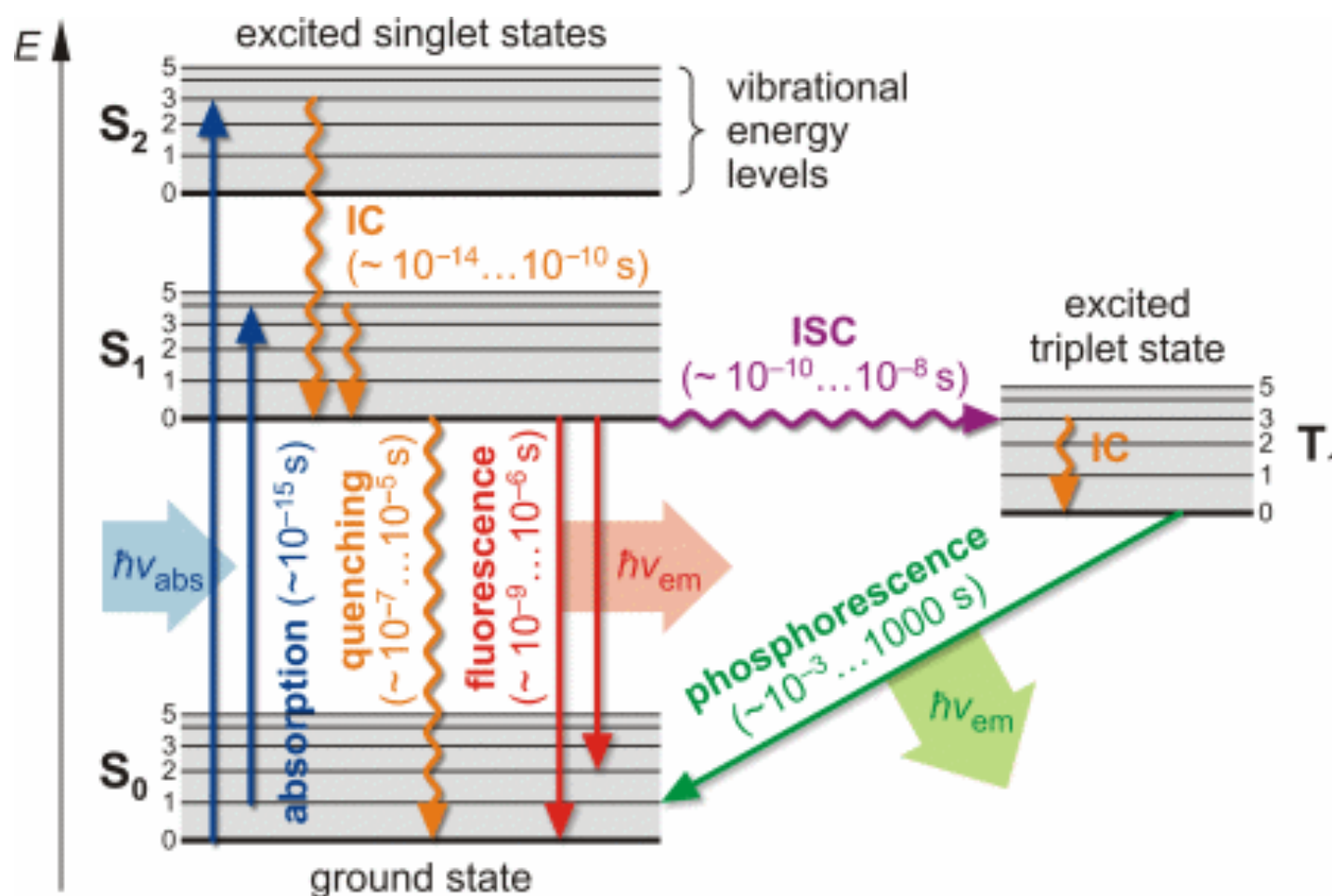
The quantum yield of fluorescence and the quantum yield of phosphorescence are independent of initial excitation energy.



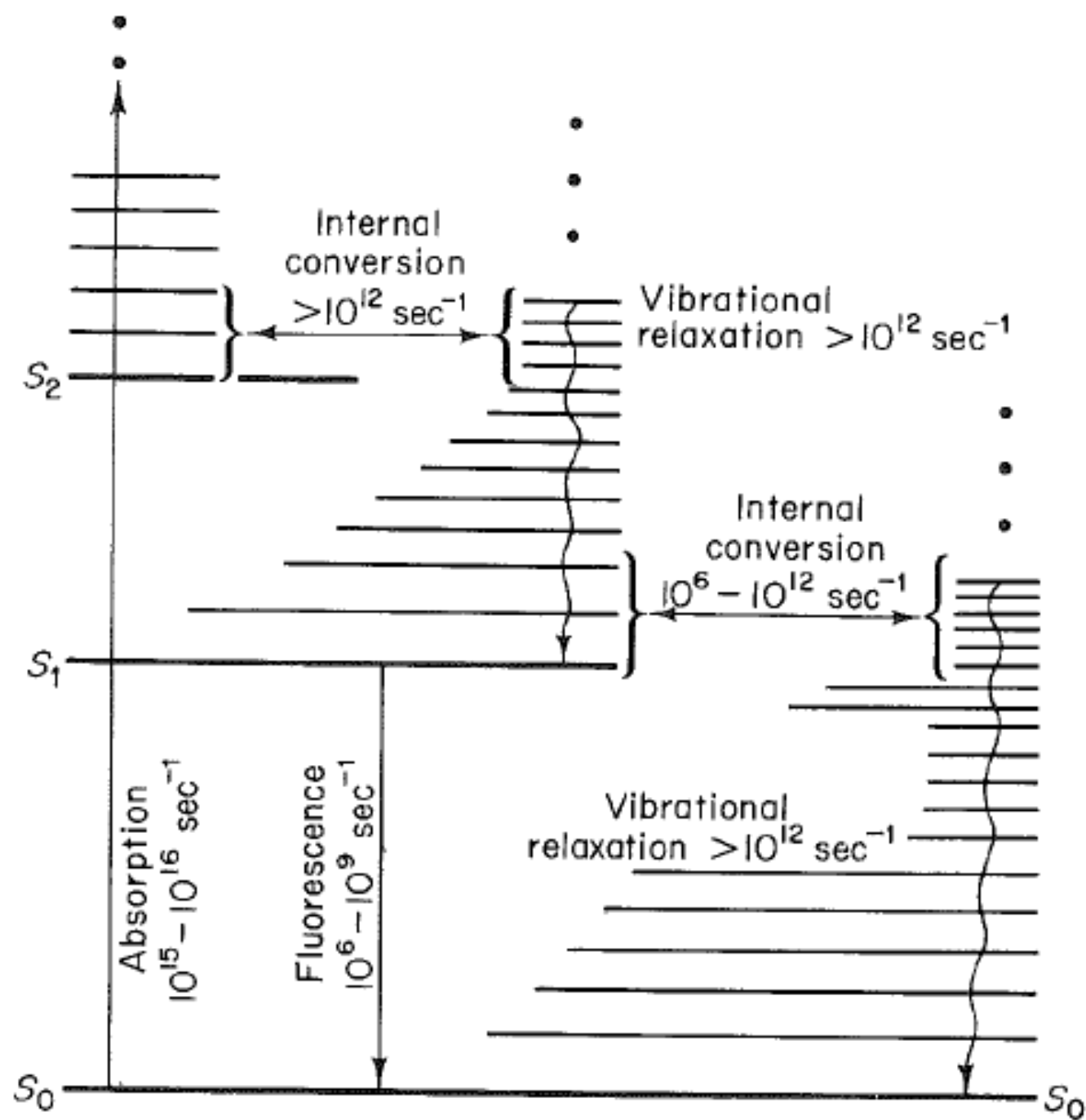
S. Vavilov

# Kasha's rule

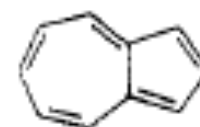
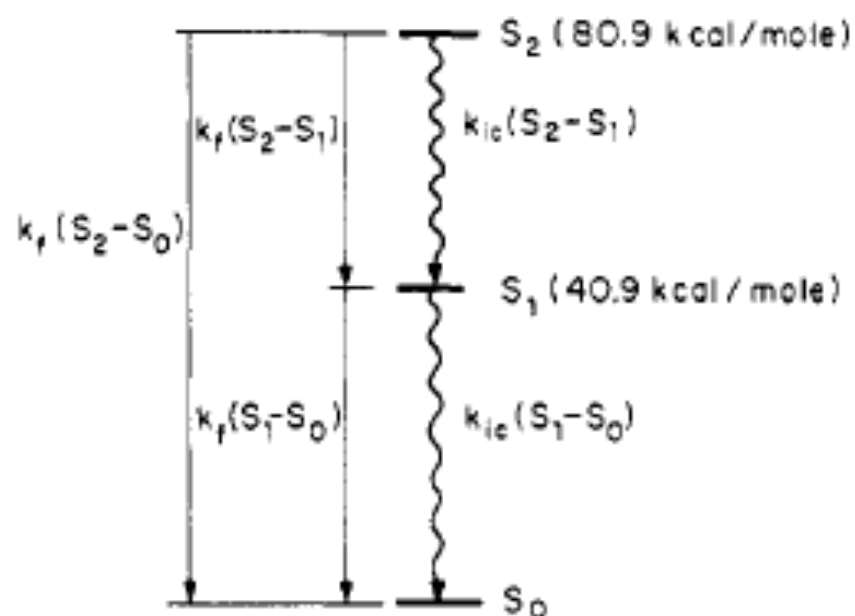
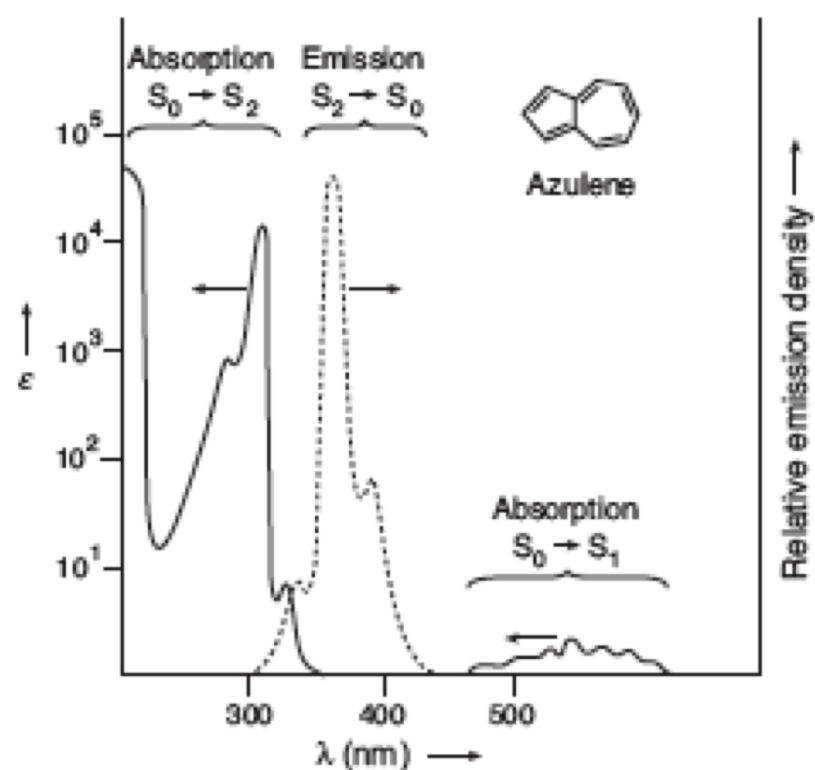
Fluorescence occurs only from  $S_1$  to  $S_0$ ; phosphorescence occurs only from  $T_1$  to  $S_0$ ;  $S_n$  and  $T_n$  emissions are extremely rare.





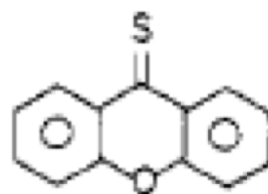
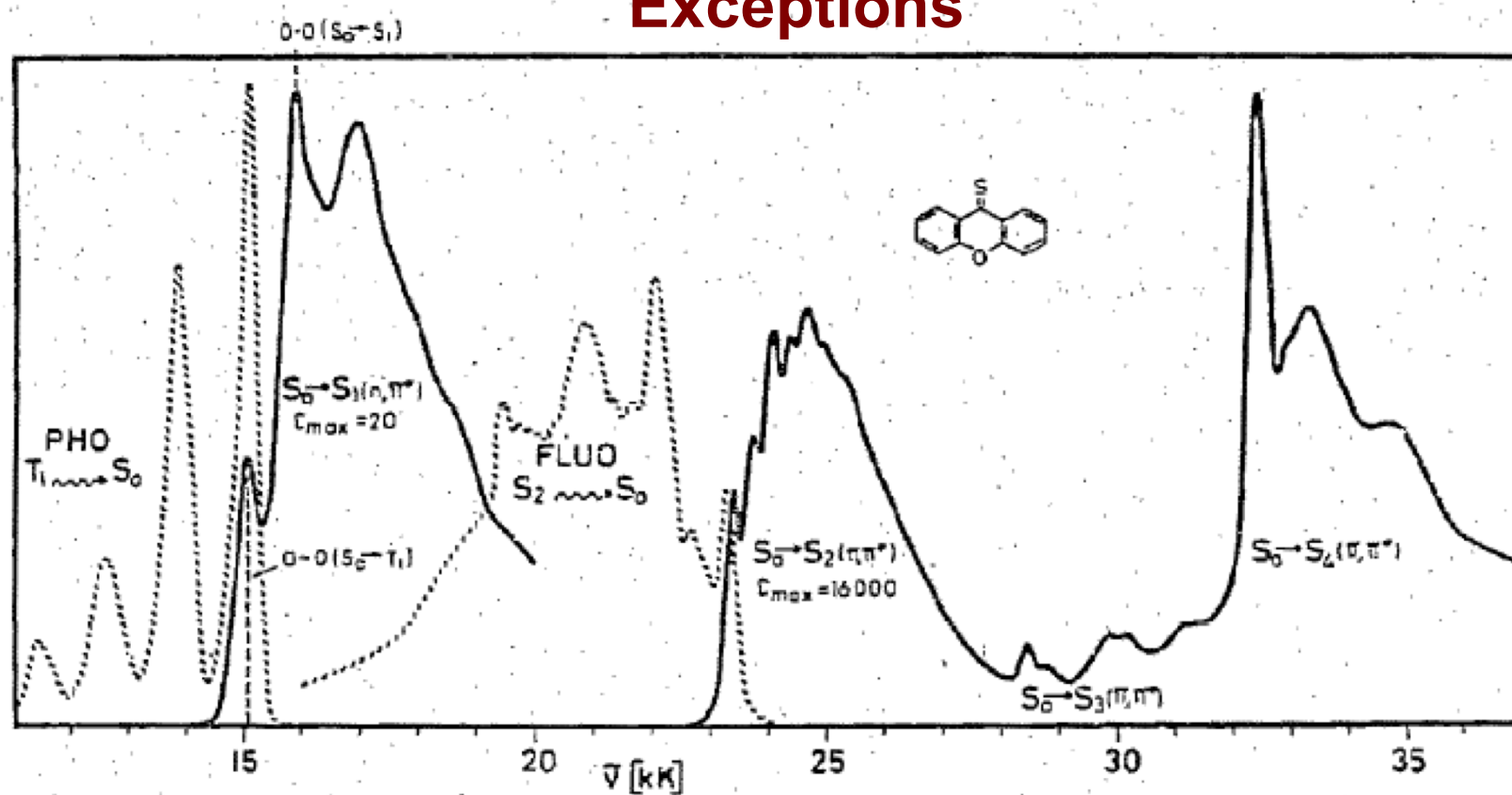


# Exceptions



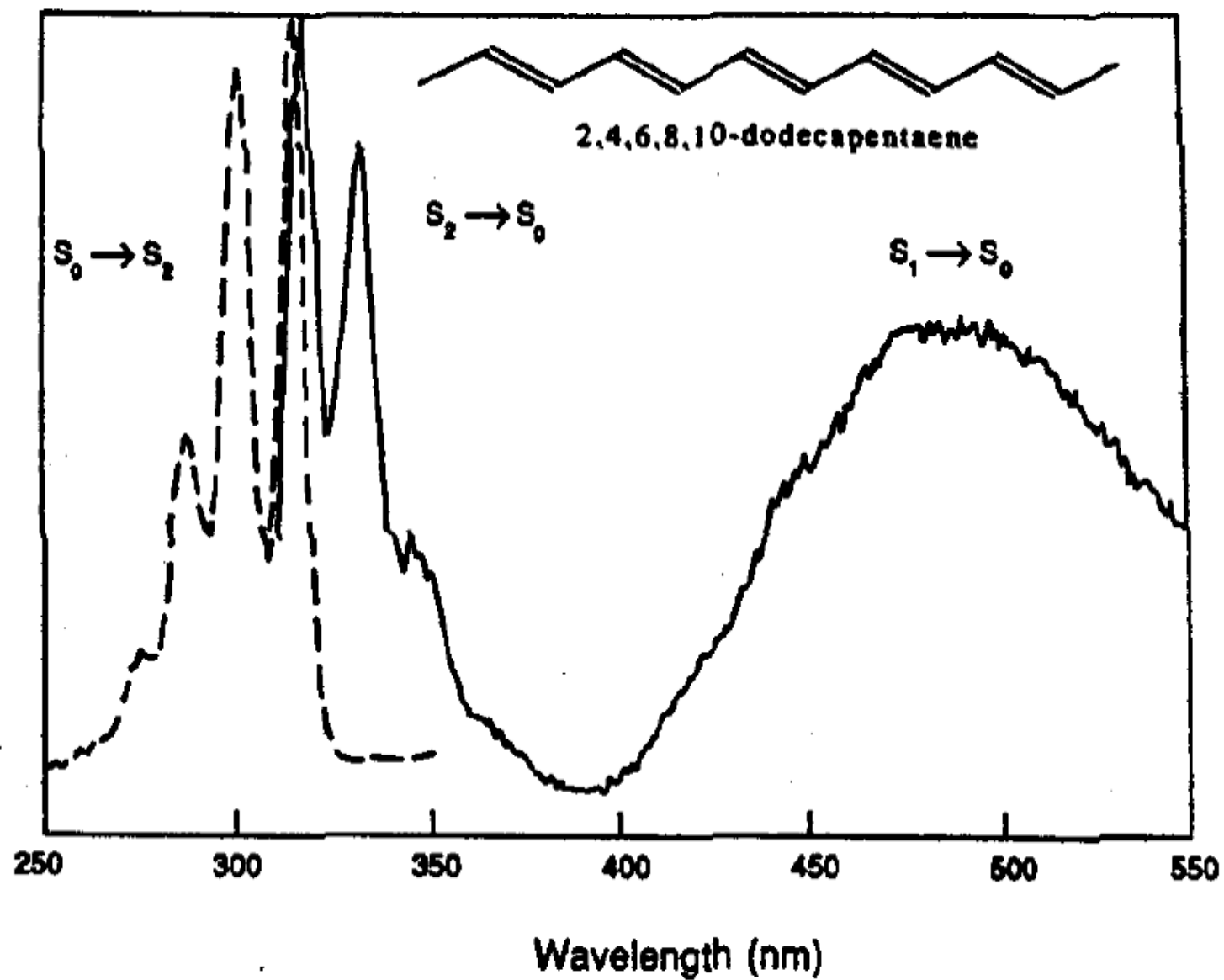
$$\begin{aligned}
 k_f(S_2-S_0) &= 1.4 \times 10^7 \\
 k_f(S_2-S_1) &\approx 1.4 \times 10^4 \\
 k_f(S_1-S_0) &= 1.3 \times 10^6 \\
 k_{ic}(S_2-S_1) &= 7 \times 10^8 \\
 k_{ic}(S_1-S_0) &= 1.2 \times 10^{11}
 \end{aligned}$$

# Exceptions

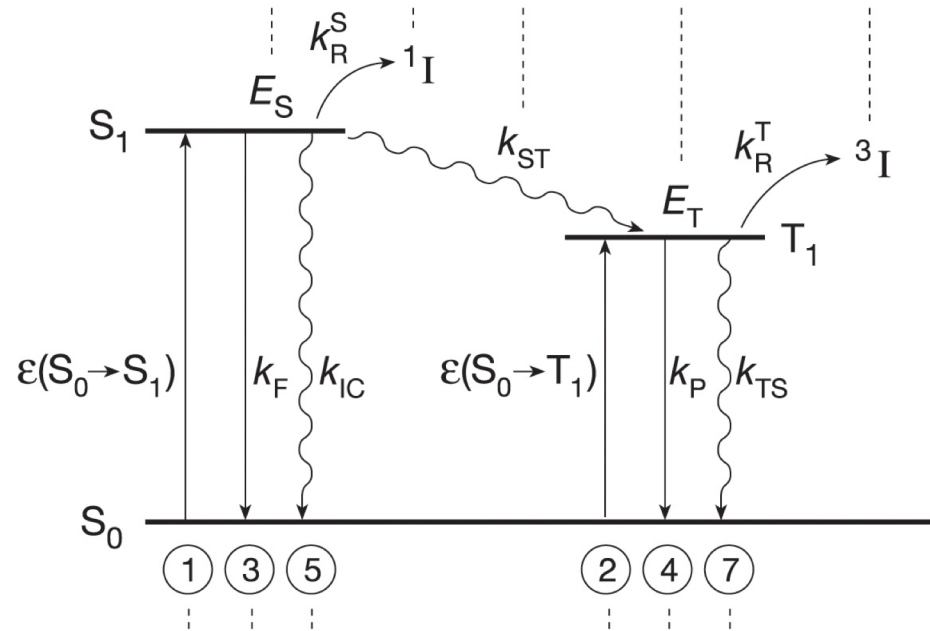
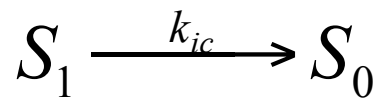
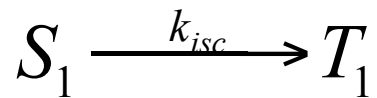
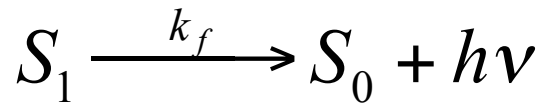
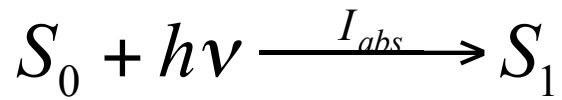


$$\begin{aligned}
 k_1(S_2 - S_0) &\approx 10^8 \\
 k_{ic}(S_2 - S_1) &\approx 10^{10} \\
 k_{isc}(S_1 - T_1) &> 10^{11} \\
 k_{isc}(T_1 - S_0) &= 2 \times 10^4 \\
 k_p(T_1 - S_0) &= 7.5 \times 10^3
 \end{aligned}$$

# Exceptions



## Competition with fluorescence



$$\phi_f = \frac{k_f [S_1]}{(k_f + k_{isc} + k_{ic} + \dots)[S_1]}$$

$$\tau_f = \frac{1}{k_f + k_{isc} + k_{ic} + \dots}$$

# Quantum Yields of Fluorescence

---

$$\Phi_F = k_e^0 (k_e^0 + \sum k_i)^{-1}$$

$k_i$  is very sensitive to experimental conditions:

- Diffusional quenching and thermal chemical reactions may compete with radiative decay
- Certain molecular motions may also provide competitive decay pathways
- Measurements at low temperature (77K) cause  $k_i$  terms to become small relative to  $k_e^0$

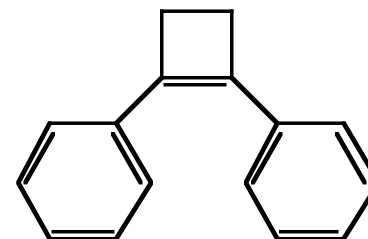
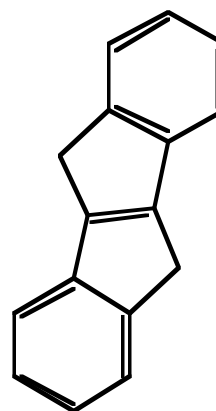
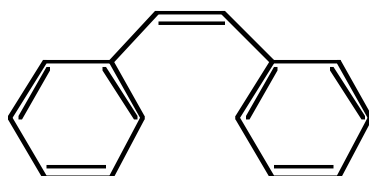
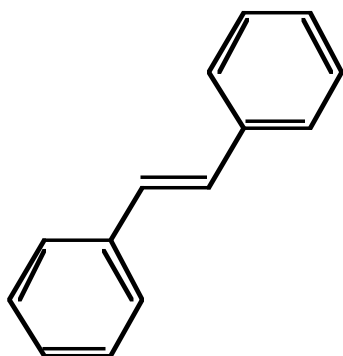
$$\Phi_F = k_F (k_F + k_{ST})^{-1} = k_F \tau$$

# Variations in Quantum Yields of Fluorescence

Compound	$\Phi_F^a$	$\varepsilon_{\max}$	$k_F^0$	$k_{ST}$	Configuration of $S_1$
Benzene	$\sim 0.2$	250	$2 \times 10^6$	$10^7$	$\pi, \pi^*$
Naphthalene	$\sim 0.2$	270	$2 \times 10^6$	$5 \times 10^6$	$\pi, \pi^*$
Anthracene	$\sim 0.4$	8,500	$5 \times 10^7$	$\sim 5 \times 10^7$	$\pi, \pi^*$
Tetracene	$\sim 0.2$	14,000	$2 \times 10^7$	$< 10^8$	$\pi, \pi^*$
9,10-Diphenylanthracene	$\sim 1.0$	12,600	$\sim 5 \times 10^8$	$< 10^7$	$\pi, \pi^*$
Pyrene	$\sim 0.7$	510	$\sim 10^6$	$< 10^5$	$\pi, \pi^*$
Triphenylene	$\sim 0.1$	355	$\sim 2 \times 10^6$	$\sim 10^7$	$\pi, \pi^*$
Perylene	$\sim 1.0$	39,500	$\sim 10^8$	$< 10^7$	$\pi, \pi^*$
Stilbene <sup>b</sup>	$\sim 0.05$	24,000	$\sim 10^8$	$\sim 10^9$	$\pi, \pi^*$
1-Chloronaphthalene	$\sim 0.05$	$\sim 300$	$\sim 10^6$	$5 \times 10^8$	$\pi, \pi^*$
1-Bromonaphthalene	$\sim 0.002$	$\sim 300$	$\sim 10^6$	$\sim 10^9$	$\pi, \pi^*$
1-Iodonaphthalene	$\sim 0.000$	$\sim 300$	$\sim 10^6$	$\sim 10^{10}$	$\pi, \pi^*$
Benzophenone	$\sim 0.000$	$\sim 200$	$\sim 10^6$	$\sim 10^{11}$	$n, \pi^*$
Biacetyl	$\sim 0.002$	$\sim 20$	$\sim 10^5$	$\sim 10^8$	$n, \pi^*$

# Factors Controlling Quantum Yield of Fluorescence

## Rigid vs non-rigid molecules



25°C    0.05  
77 K    0.75

0.00  
0.75

1.0  
1.0

1.0  
1.0

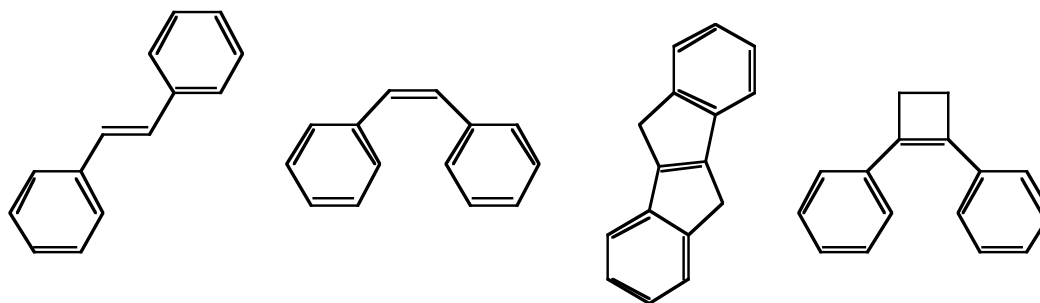
Molecular rigidity enhances  $\Phi_F$



# Factors Controlling Quantum Yield of Fluorescence

## Rigid vs non-rigid molecules

Bond rotation



25°C

0.05

0.00

1.0

1.0

77 K

0.75

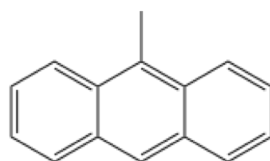
0.75

1.0

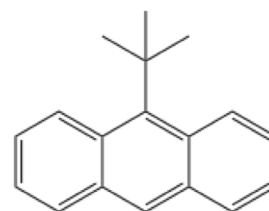
1.0

**Molecular rigidity enhances  $\Phi_F$**

Loose Bolt



0.29



0.011

# Quantum Yields of Fluorescence

---

$$\Phi_F = k_e^0(k_e^0 + \sum k_i)^{-1}$$

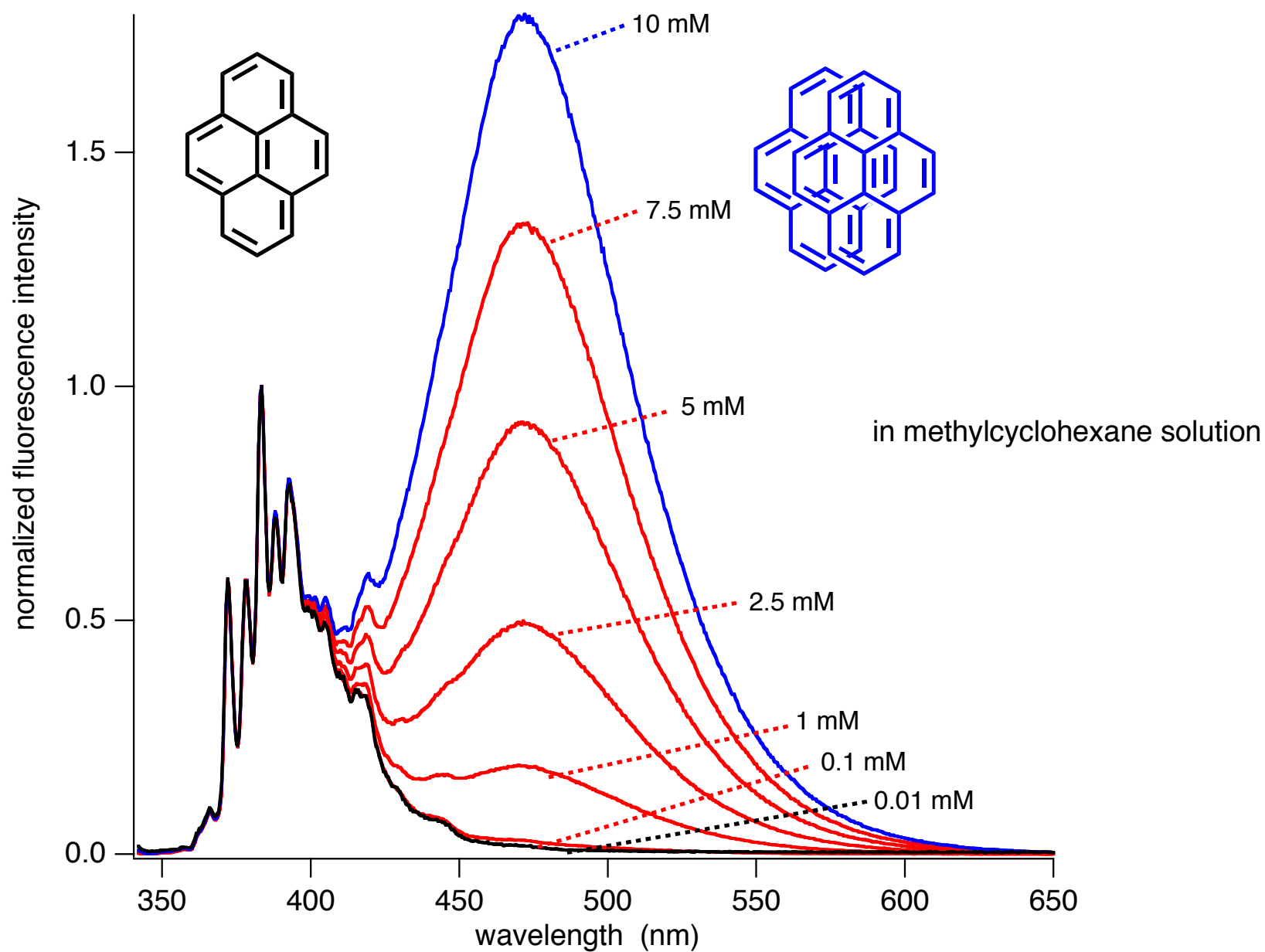
**$k_i$  is very sensitive to experimental conditions:**

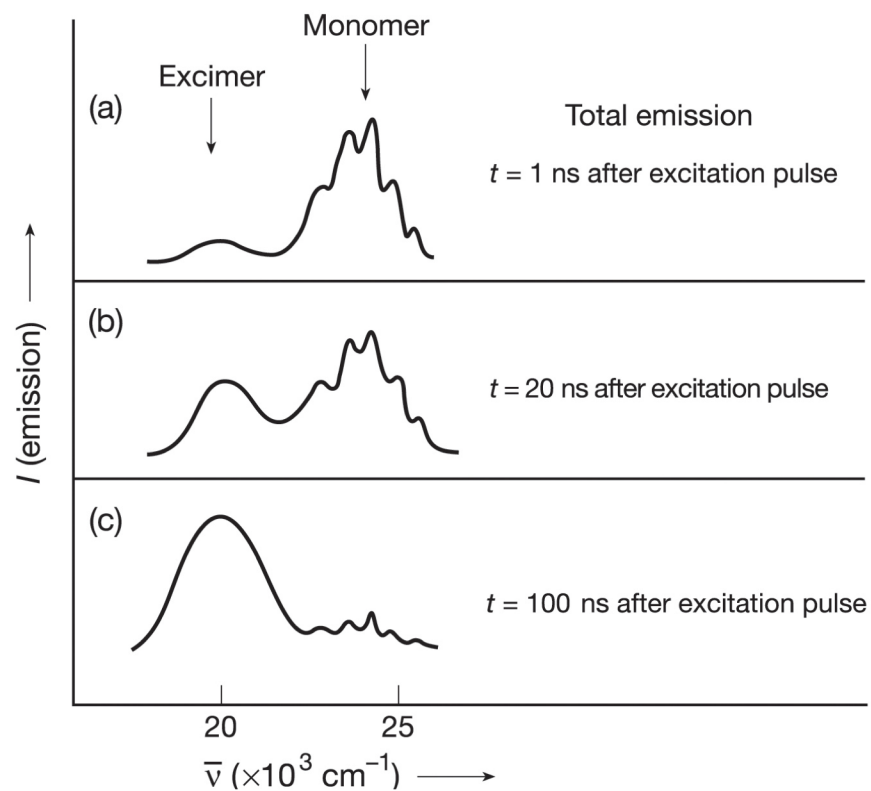
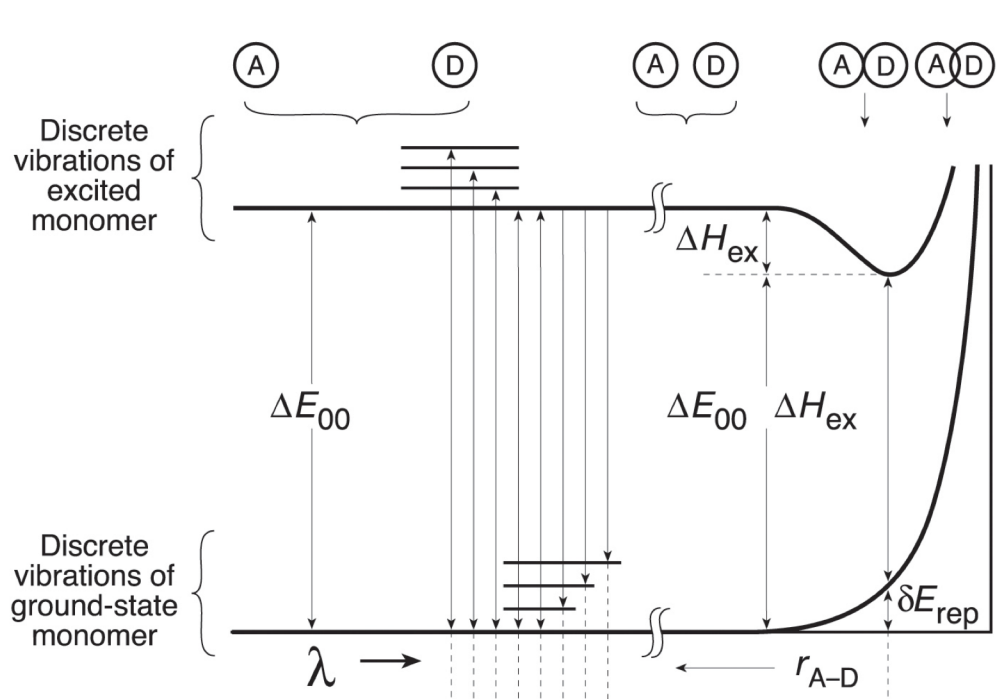
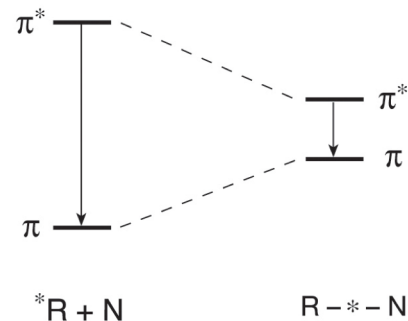
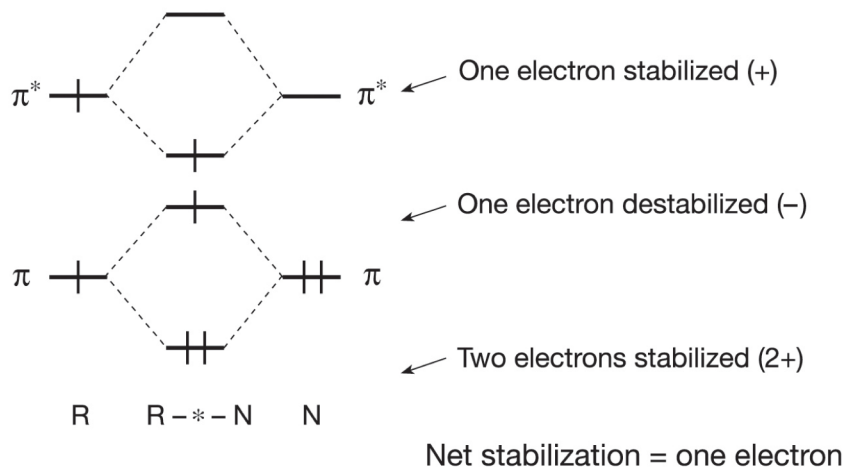
- Diffusional quenching and thermal chemical reactions may compete with radiative decay
- Certain molecular motions may also provide competitive decay pathways
- Measurements at low temperature (77K) cause  $k_i$  terms to become small relative to  $k_e^0$

# Fluorescence generalizations

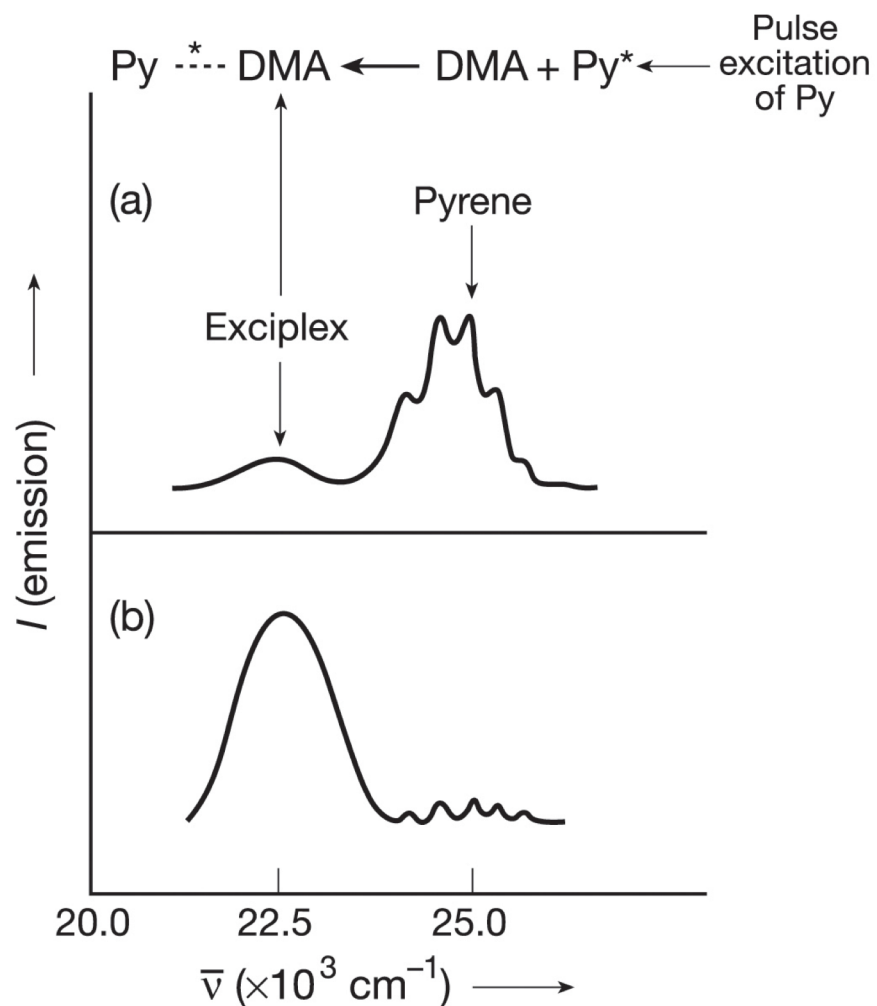
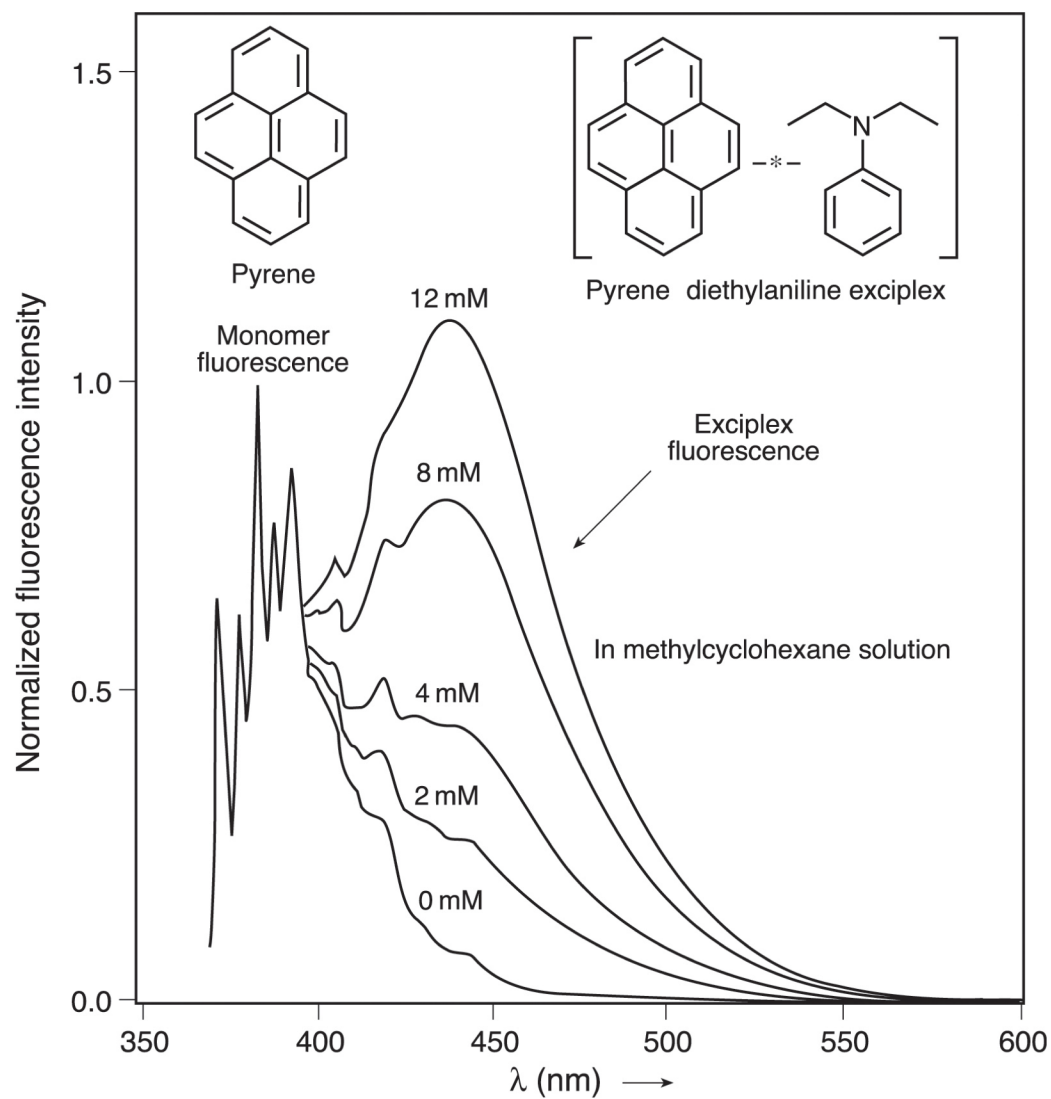
1. Most rigid aromatic hydrocarbons (benzene, naphthalene, anthracene, pyrene, etc.) and their derivatives possess measurable, but variable, fluorescence quantum yields ( $1 > \Phi_F > 0.01$ ), even at 77 K.
2. Substitution of Cl, Br, or I, for H on an aromatic ring generally results in a decrease in  $\Phi_F$  such that  $\Phi_F^H > \Phi_F^{Cl} > \Phi_F^{Br} > \Phi_F^I$  (eg. naphthalene vs. halonaphthalene)
3. Substitution of C=O for H on an aromatic ring generally results in a substantial decrease in  $\Phi_F$  (cf. benzene with benzophenone).
4. Molecular rigidity (due to structural or environmental constraints) enhances  $\Phi_F$  (compare. rigid and flexible with stilbenes).
5. Low values of  $\Phi_F$  for nonrigid aromatic hydrocarbons are common and usually the result of competing internal conversion ( $S_1 \rightarrow S_0$ ) or intersystem crossing ( $S_1 \rightarrow T_1$ ) triggered by molecular motion.

# Bimolecular absorption and emission: Excited state complexes

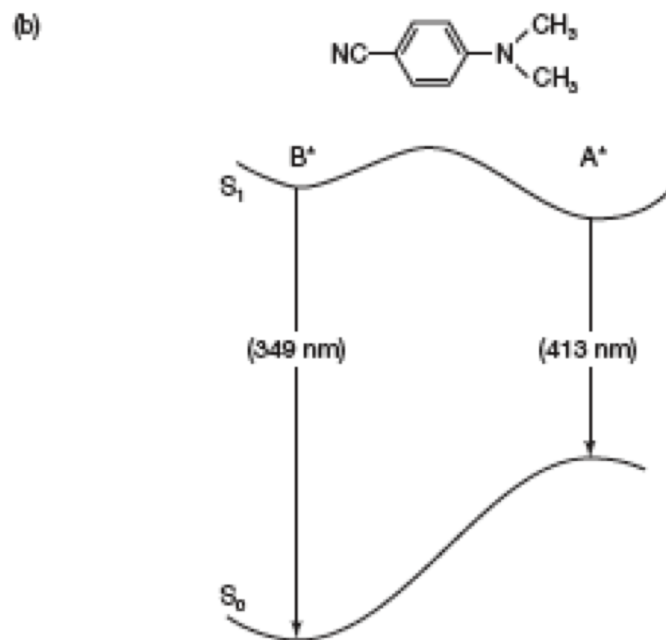
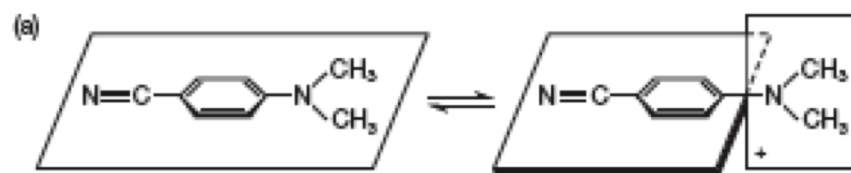
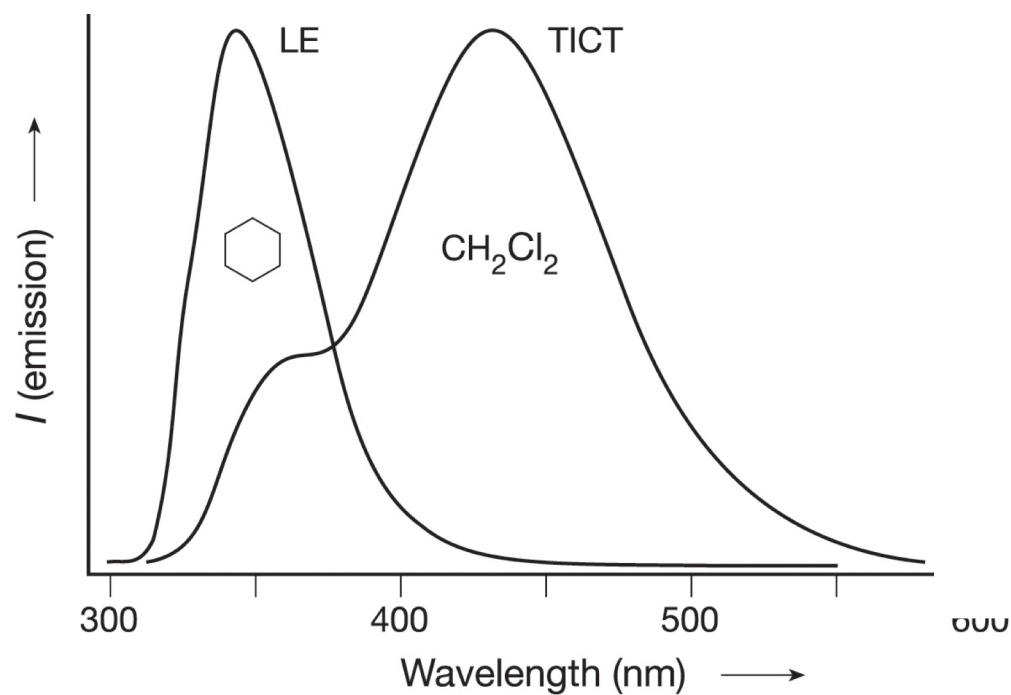
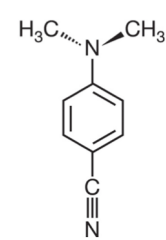
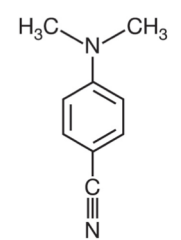
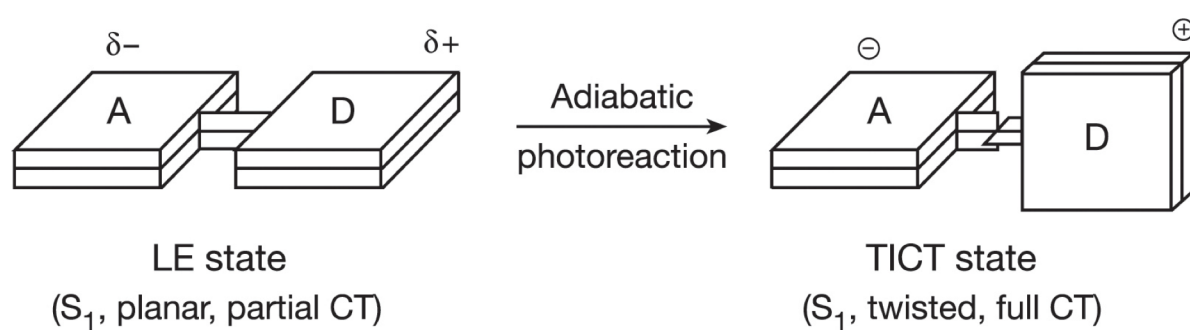




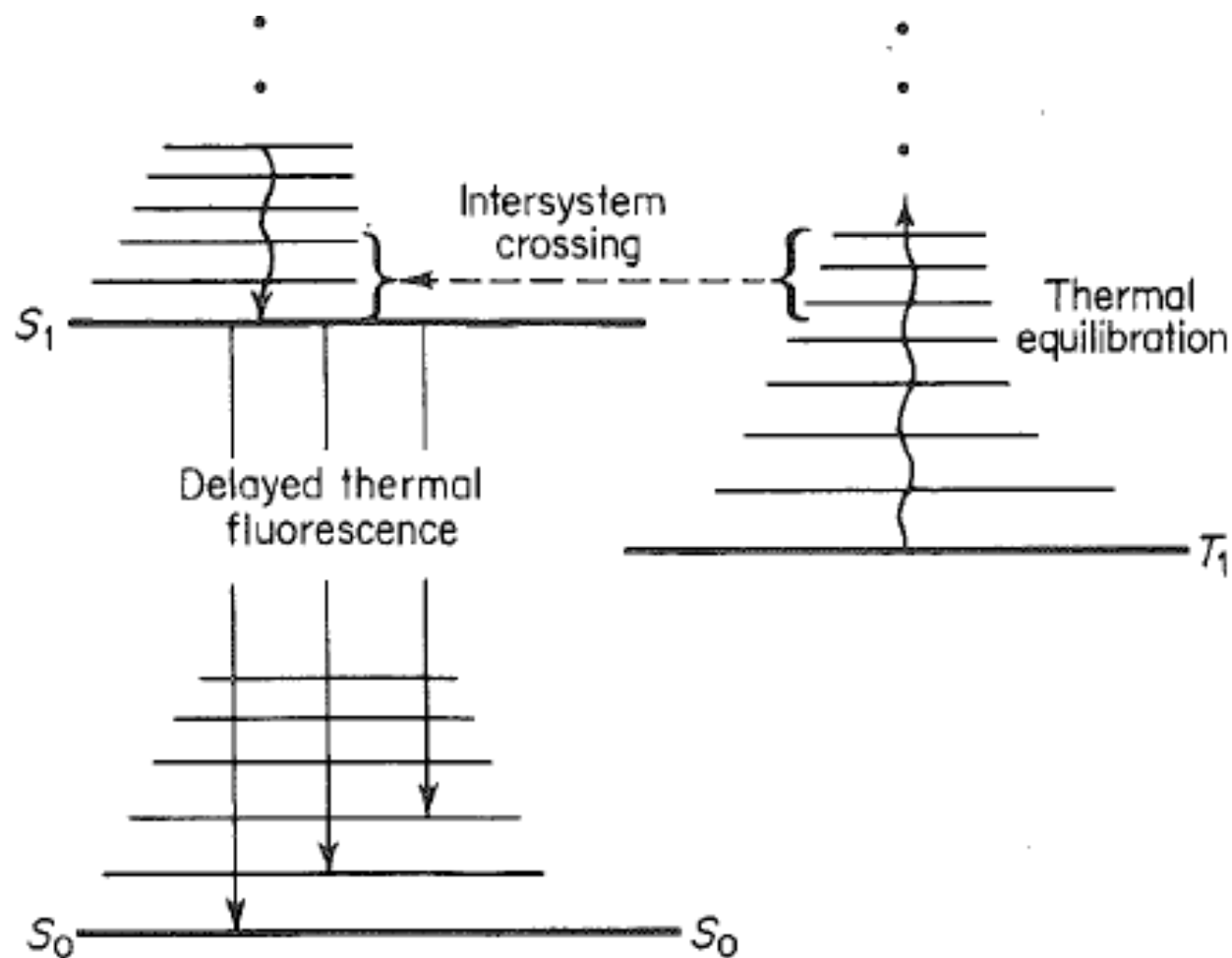
# Bimolecular absorption and emission: Excited state complexes



# TICT Emission



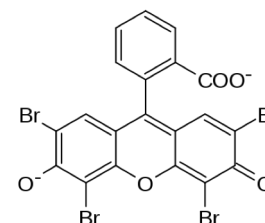
# Delayed Fluorescence



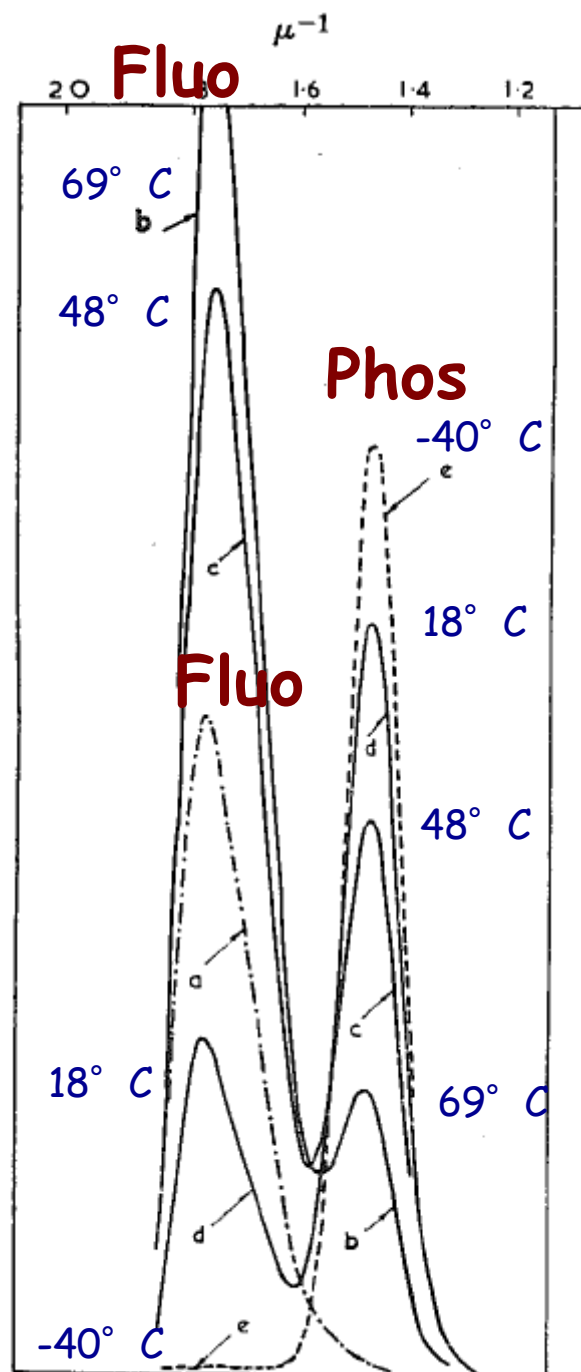
**Fig. 1.11** Illustrating production of delayed thermal fluorescence (DTF).



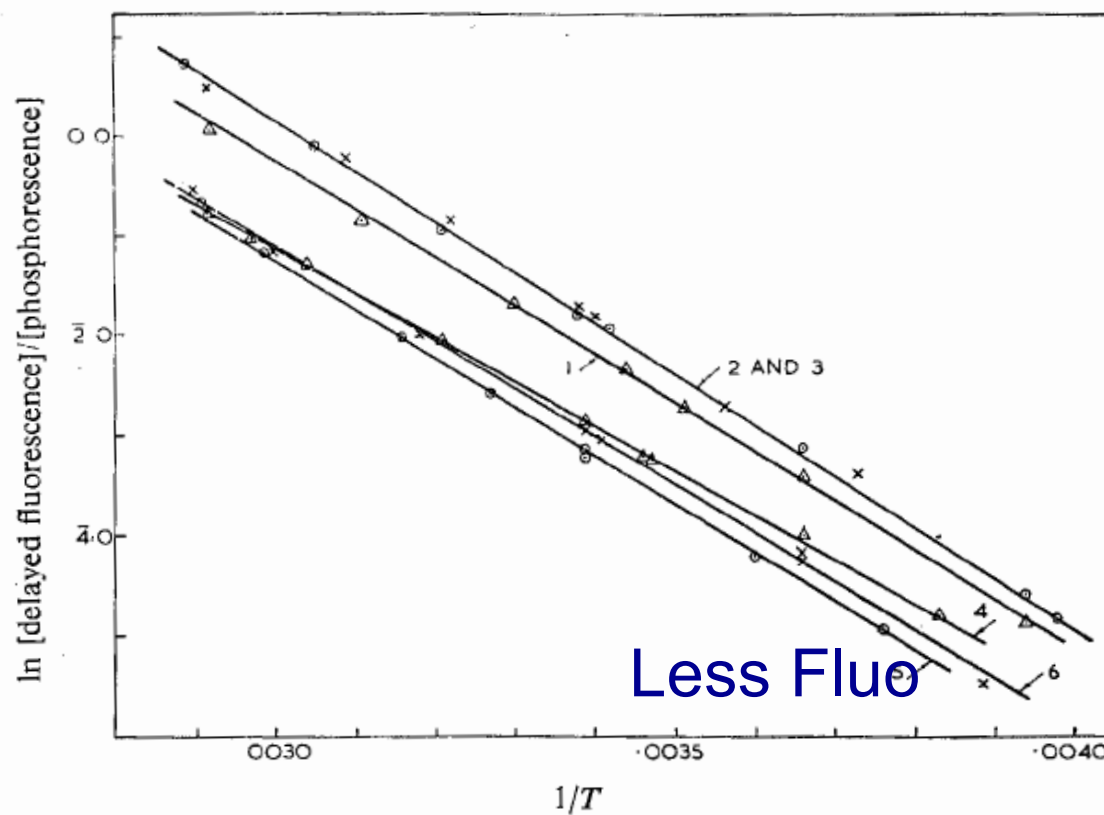
# Delayed Fluorescence



Eosin Y



More Fluo



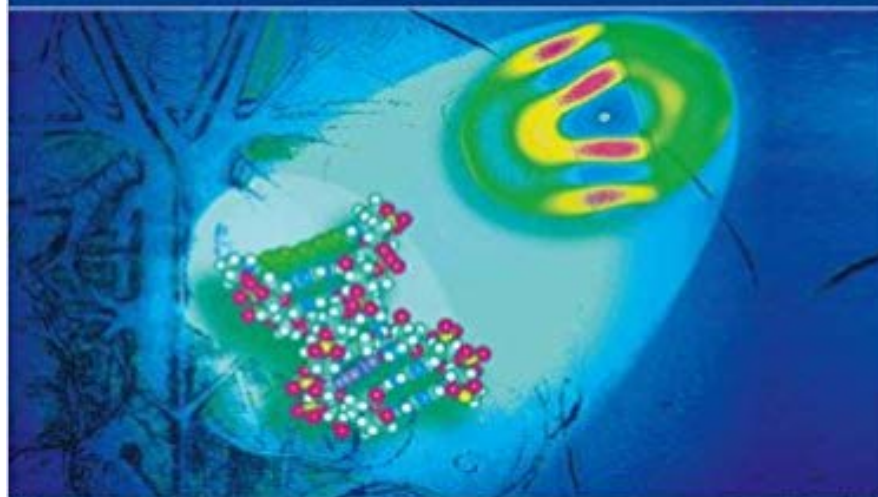
Less Fluo

High Temp

Low Temp

*Third Edition*

# Principles of Fluorescence Spectroscopy



*Joseph R. Lakowicz*



 Springer

Bernard Valeur and  
Mário N. Berberan-Santos

 WILEY-VCH

# Molecular Fluorescence

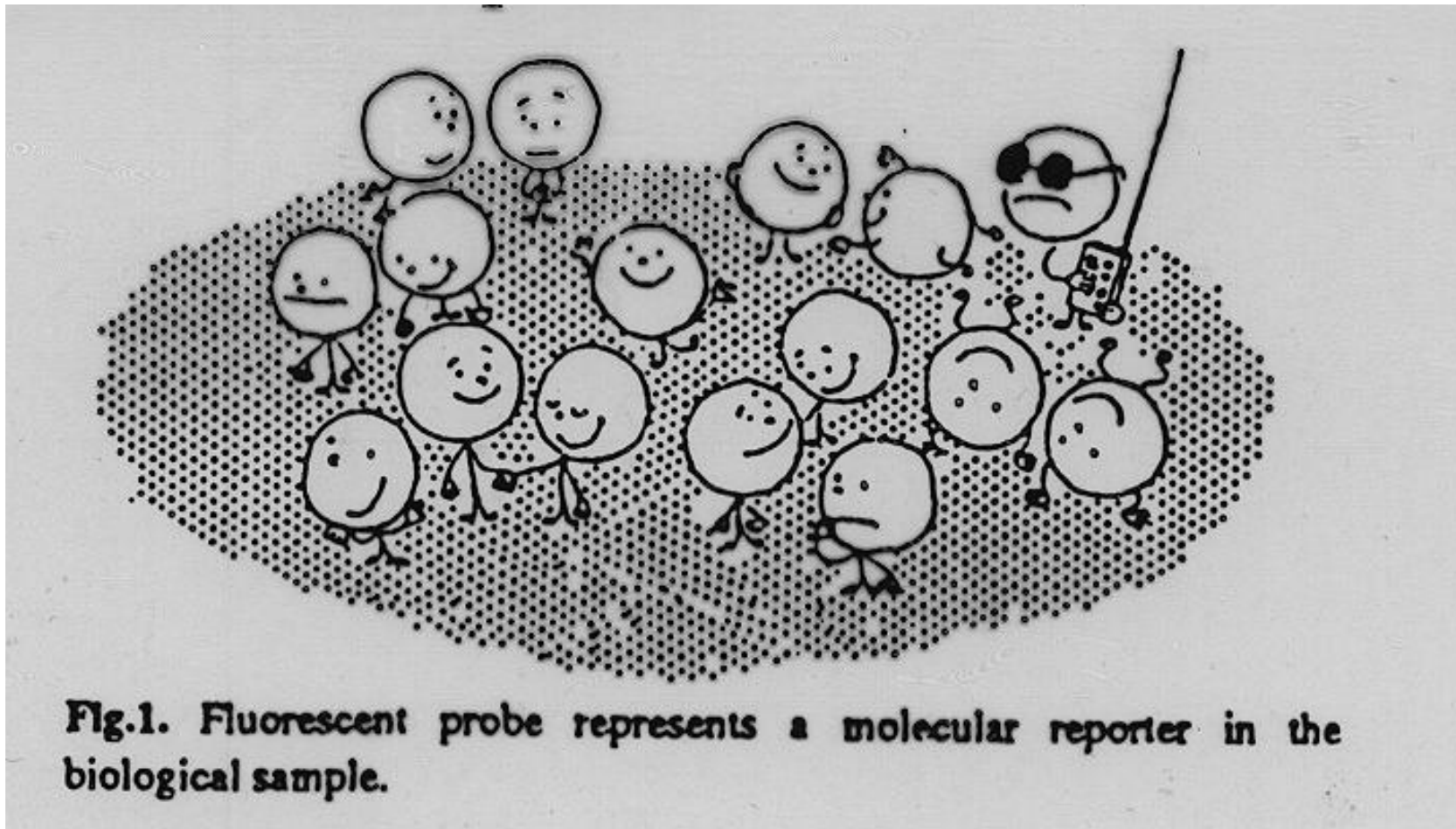
Principles and Applications

*Second Edition*

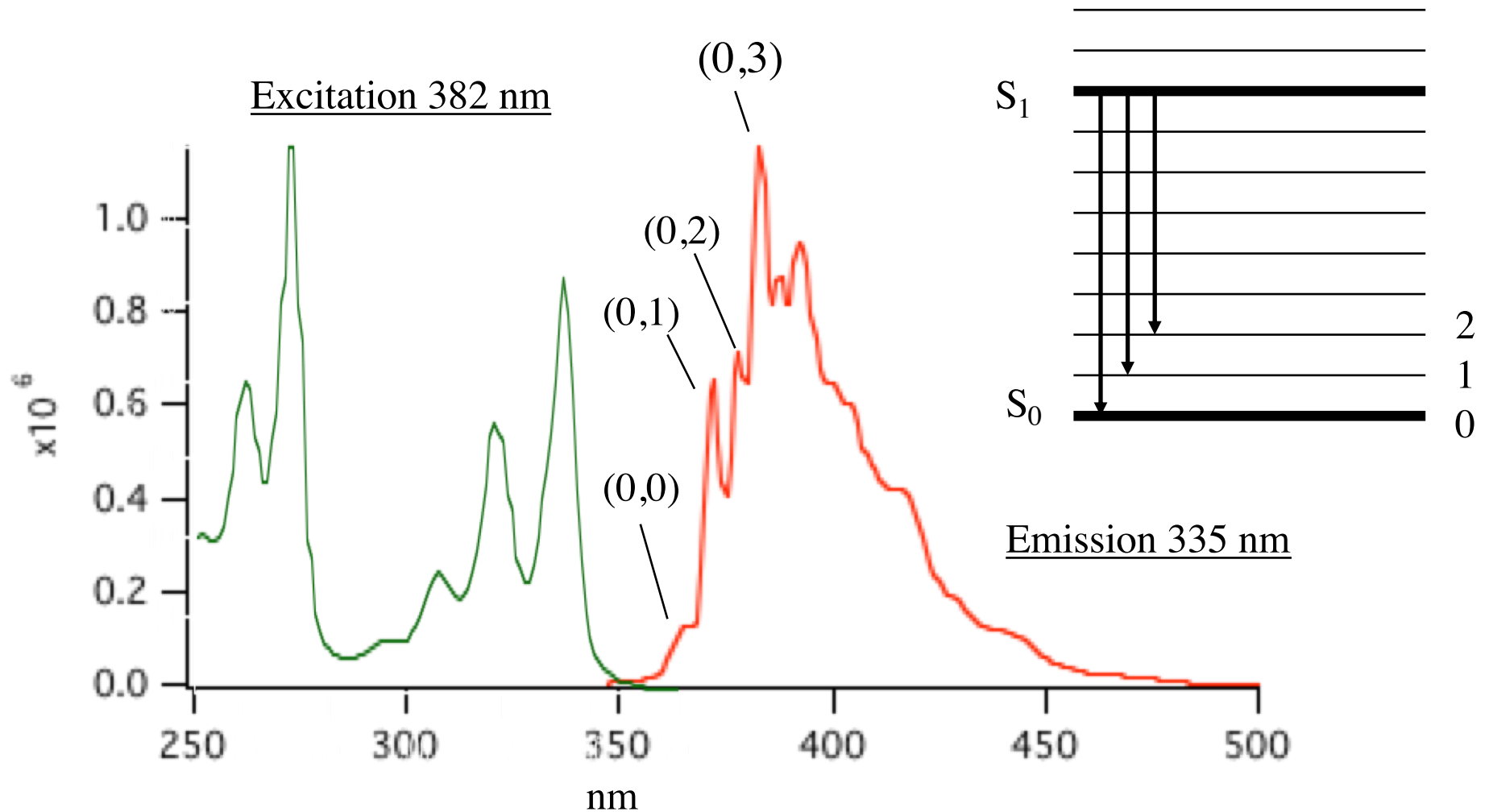


# Intrinsic fluorophore and extrinsic fluorophore

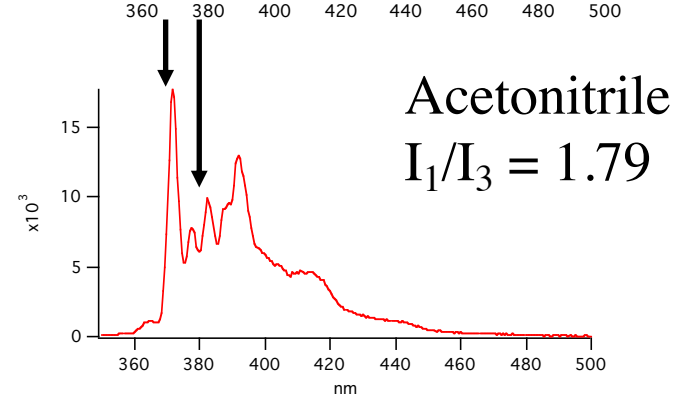
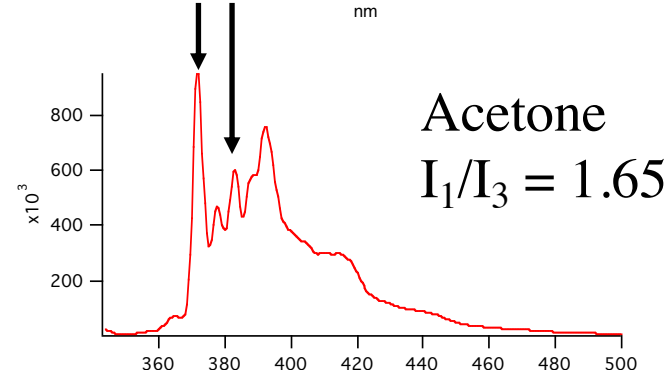
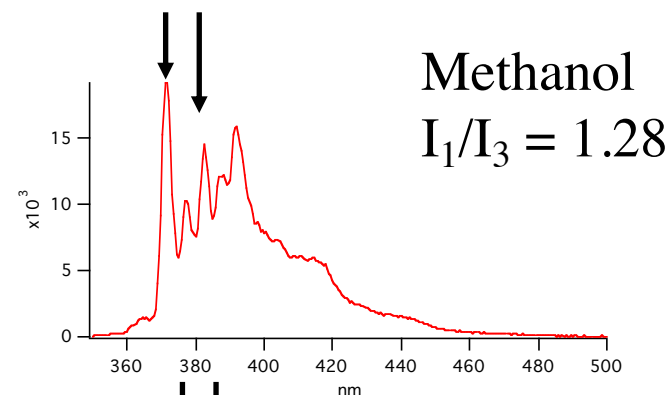
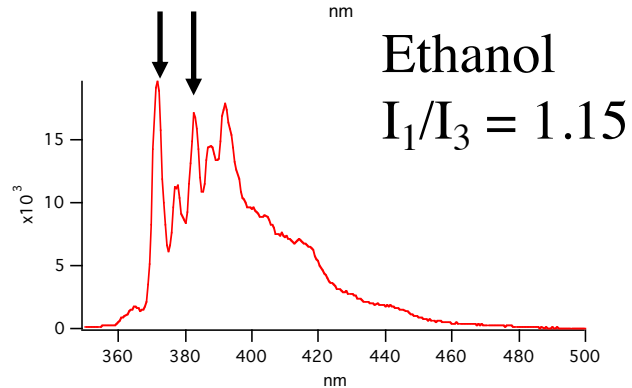
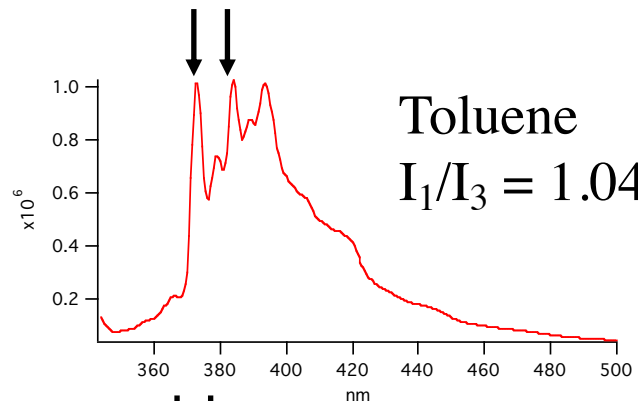
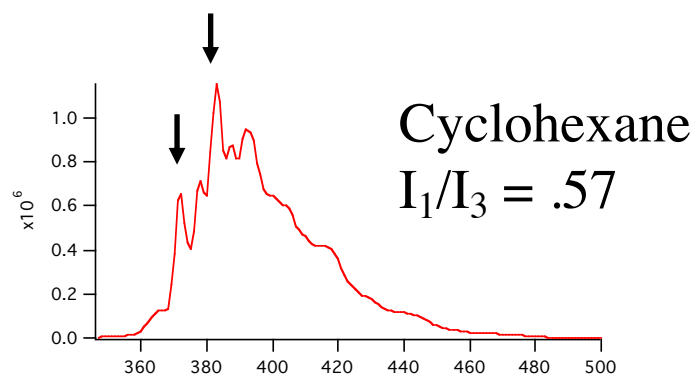
- Intrinsic fluorophores are those which occur naturally
- Extrinsic fluorophores, fluorescence probes



# Pyrene Emission at Room Temperature Vibrational Pattern



# Comparison of Pyrene Emission in Different Solvents: $I_1/I_3$ as Polarity Probe



94 Solvents have been tested, showing ratios from 0.41 to 1.95. *Can. J. Chem.* Vol. 62 1984

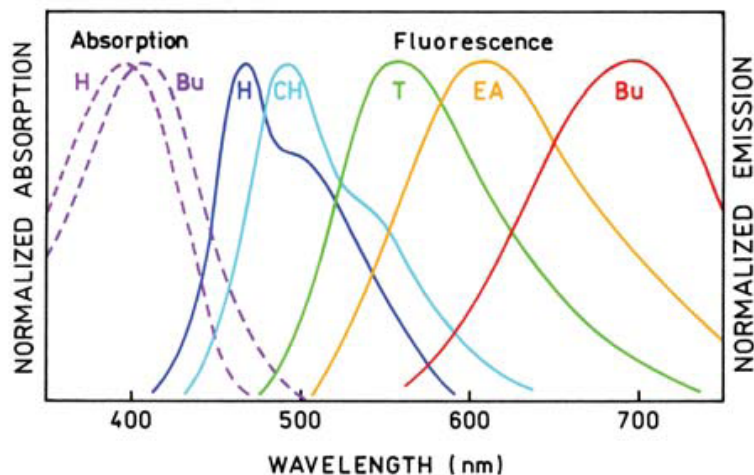
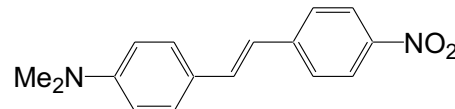


# Polarity Probe

**Dipole Moment** = The dipole moment of a molecule in  $S_1$  is generally greater than that of the same molecule in  $S_0$

**Solvent Polarity** = The energy of  $S_1$  after solvent reorganization generally decrease with solvent polarity

**Emission Wavelength** = The emission wavelength generally increases with solvent polarity



H = Hexane

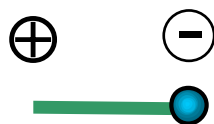
CH = Cyclohexane

T = Toluene

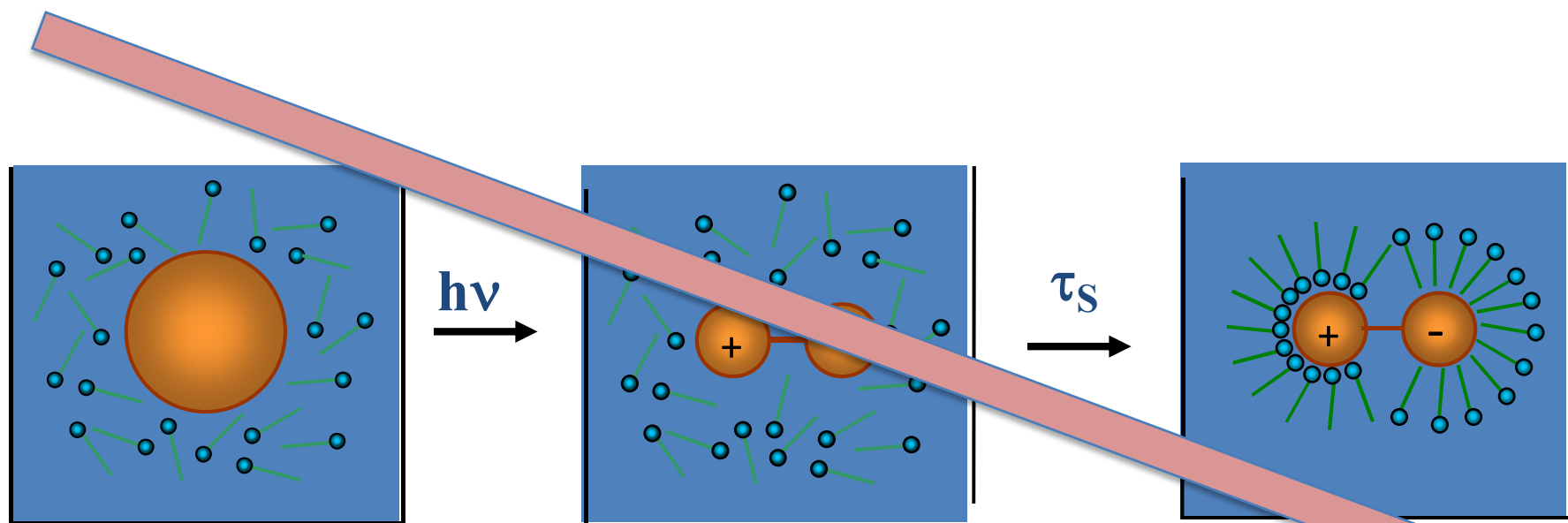
EA = Ethyl acetate

Bu = Butanol

# Solvation Dynamics



**SOLVENT  
DIPOLE**

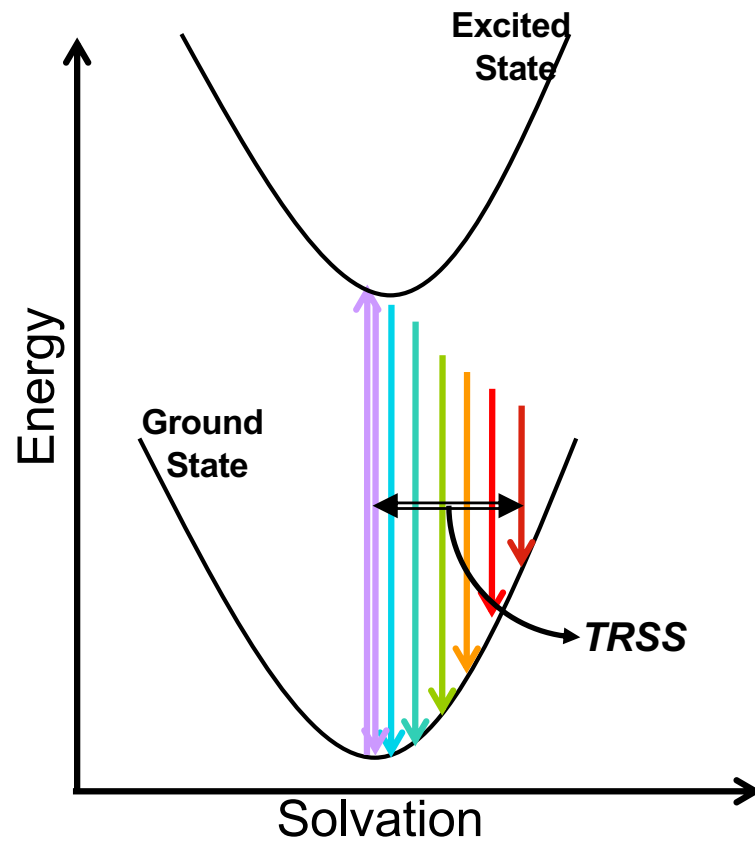


**NONPOLAR SOLUTE  
RANDOM SOLVENT**

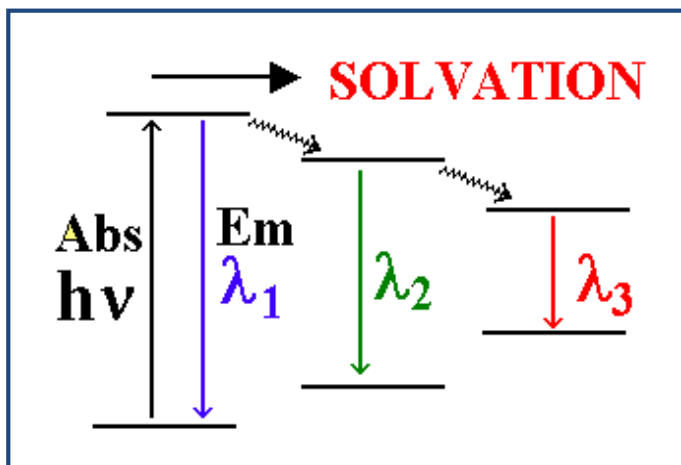
**UNSOLVATED  
HIGH ENERGY**

**SOLVATED  
LOW ENERGY**

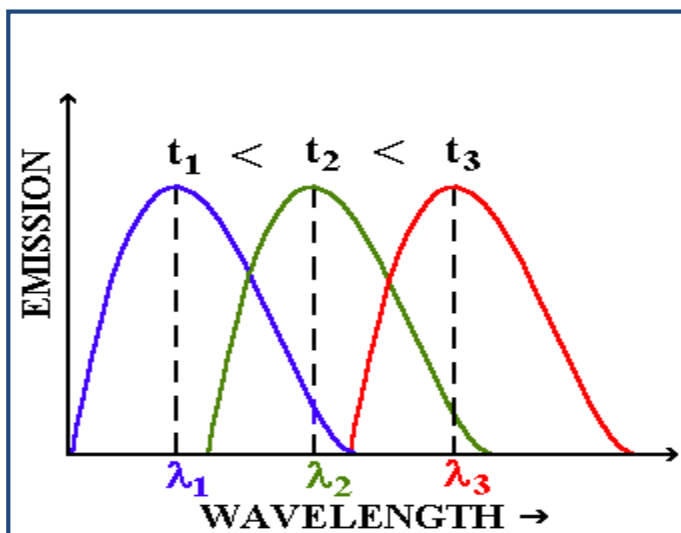
# Solvation Dynamics



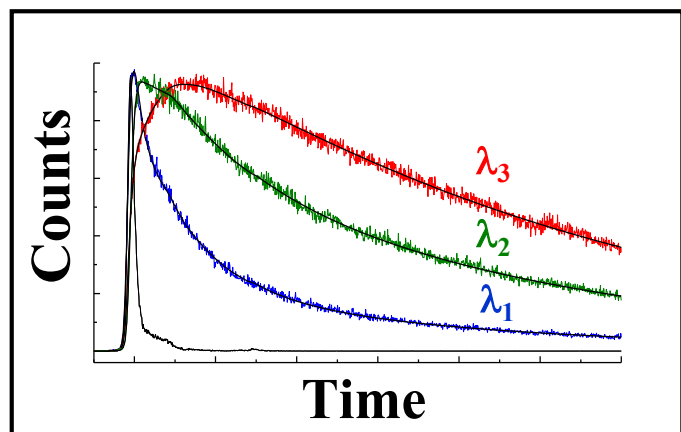




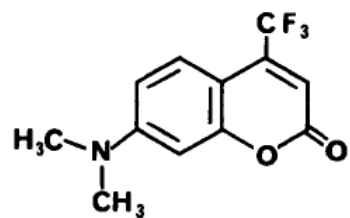
**DECREASE OF ENERGY  
OF EXCITED DIPOLE**



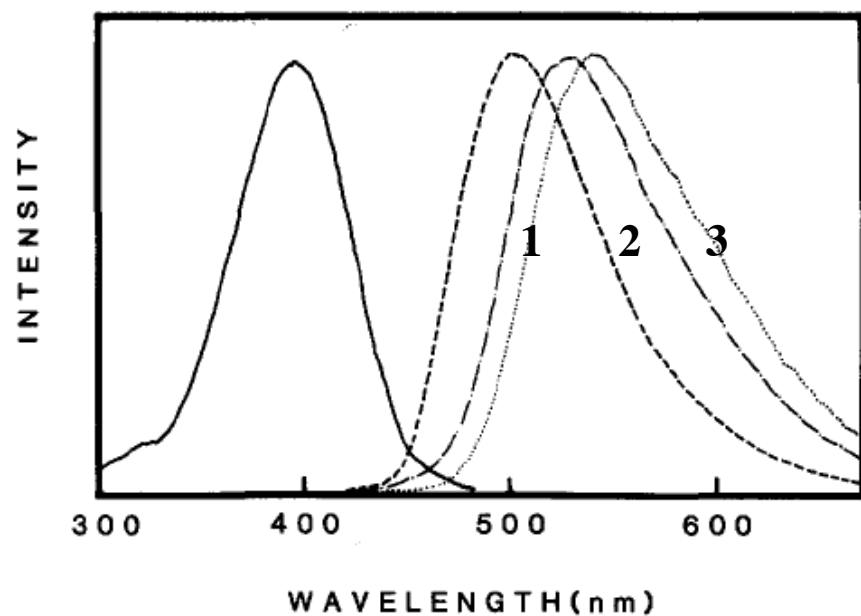
**TIME DEPENDENT  
FLUORESCENCE  
STOKES SHIFT**



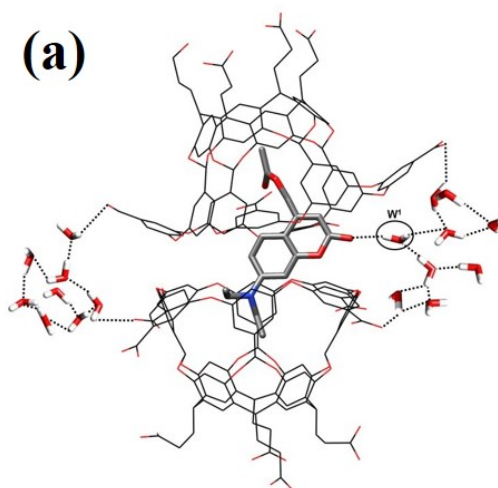
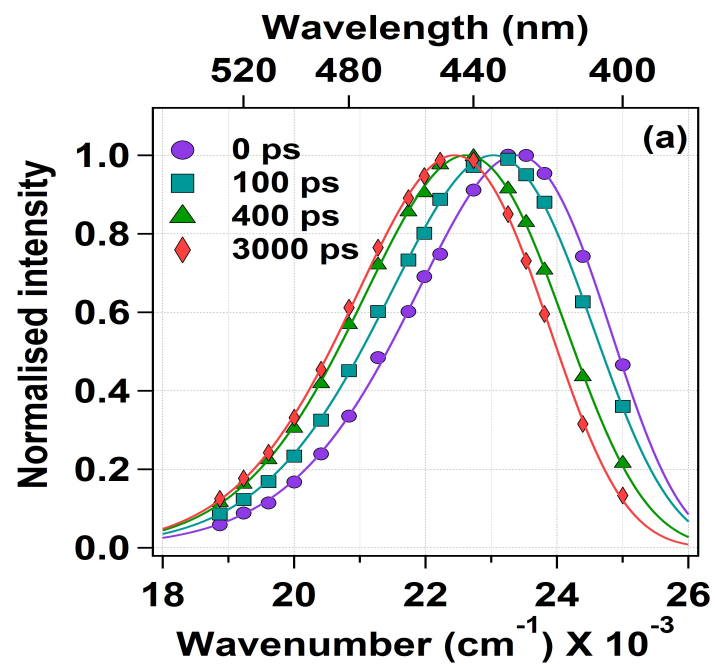
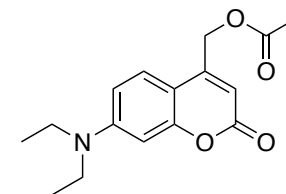
**EM. WAVELENGTH  
DEPENDENT FL.  
DECAYS**



Coumarin 152

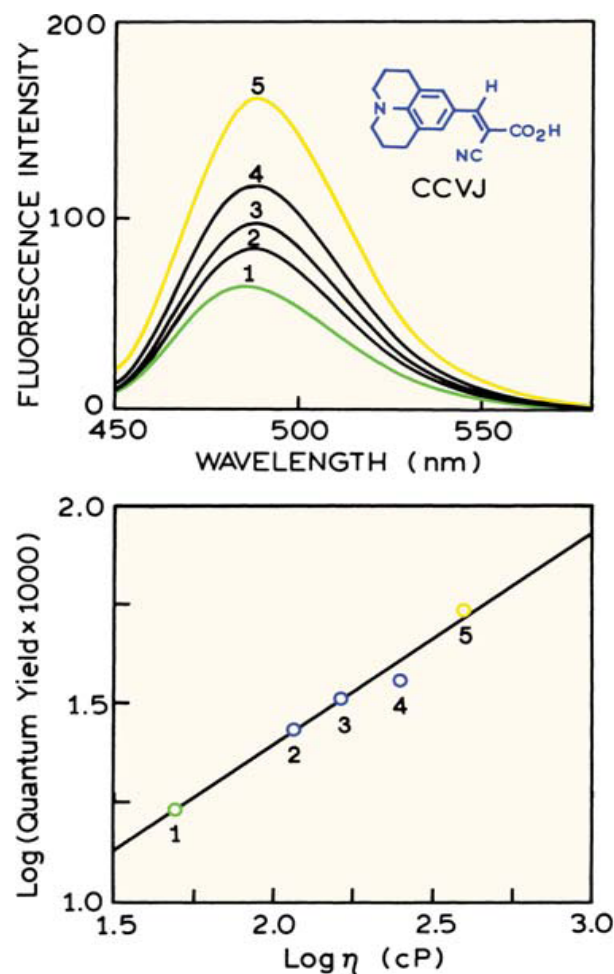


1: ethyl acetate; 2: propylene carbonate  
3: methanol

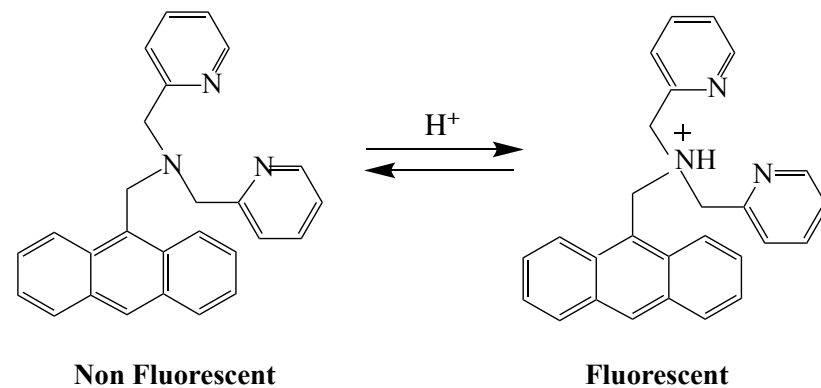
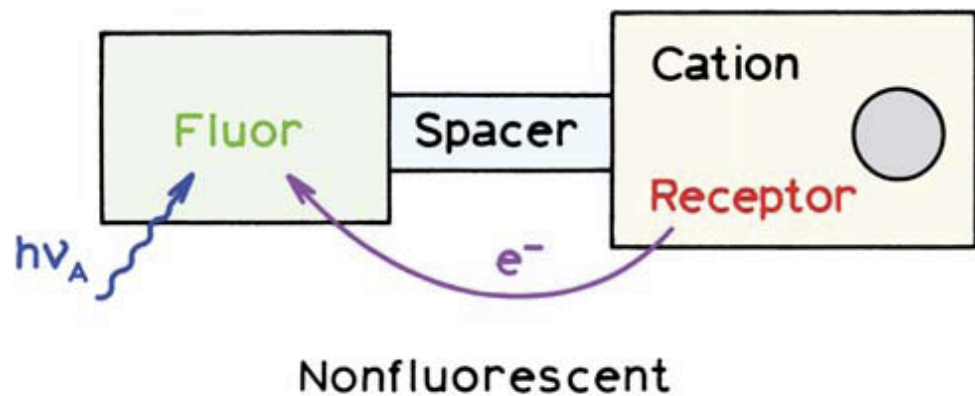


# Viscosity Probes

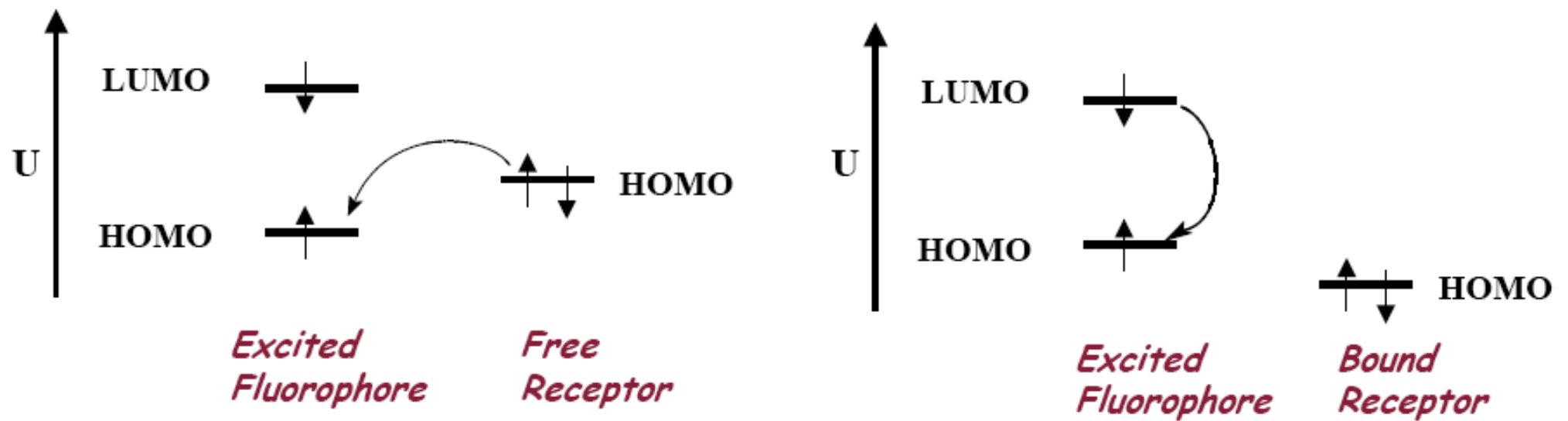
**Viscosity Probes** = An increase in the viscosity of the medium surrounding a fluorophore can restrict conformational freedom and alter the quantum yield



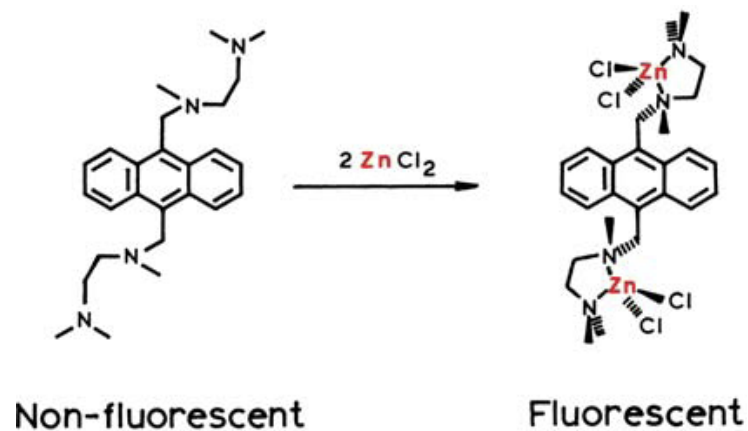
# Supramolecular Sensors: Proton



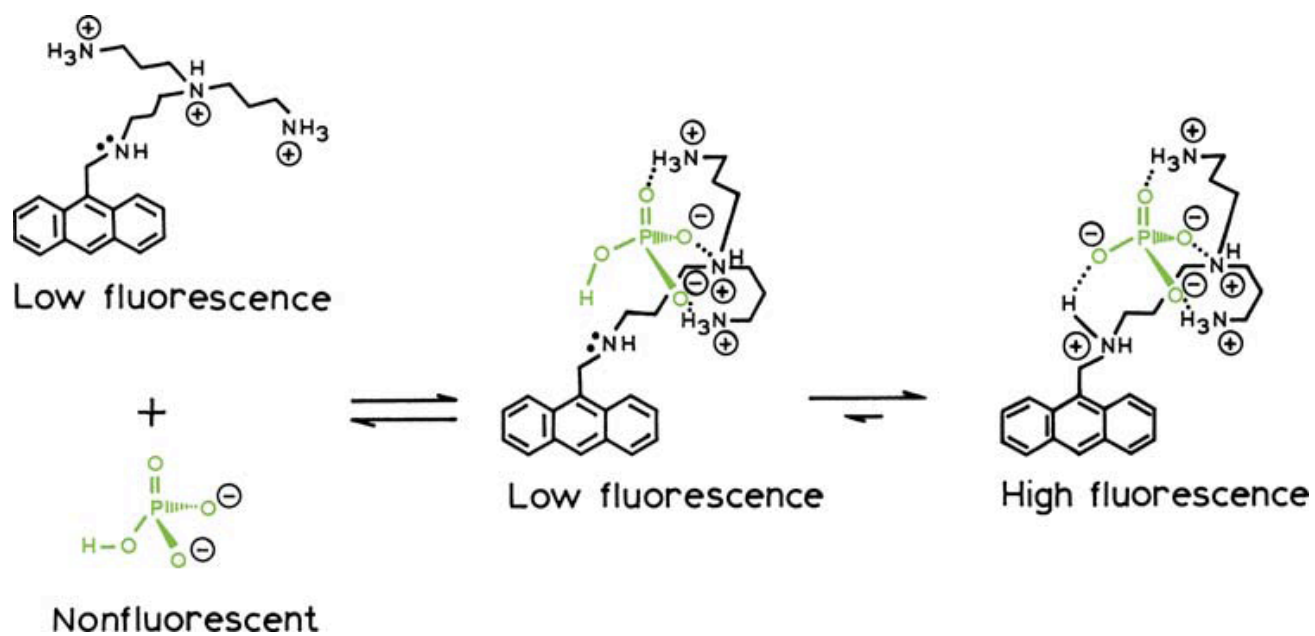
# Mechanism of PET Signaling



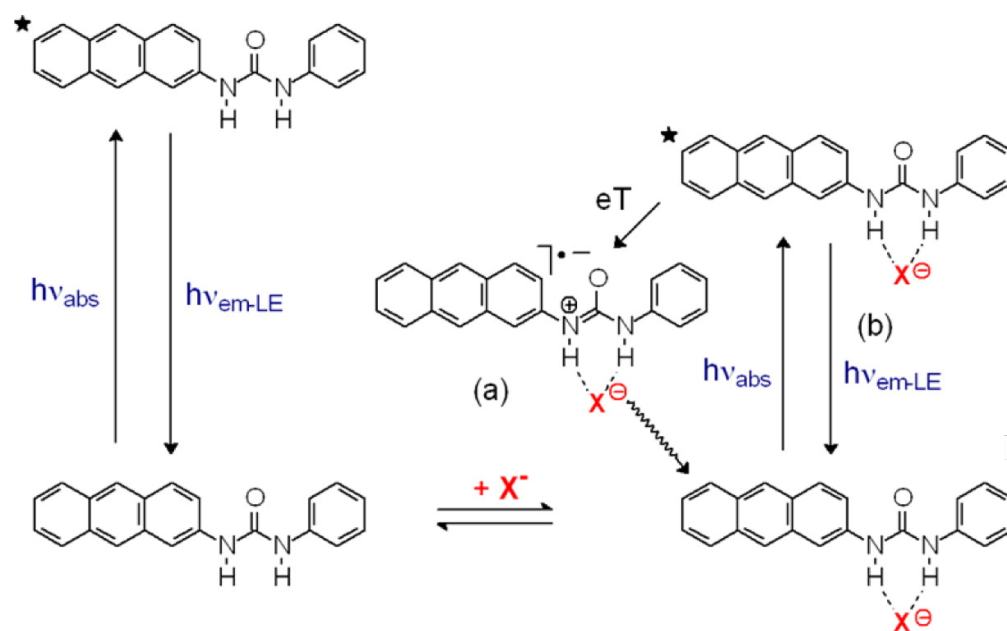
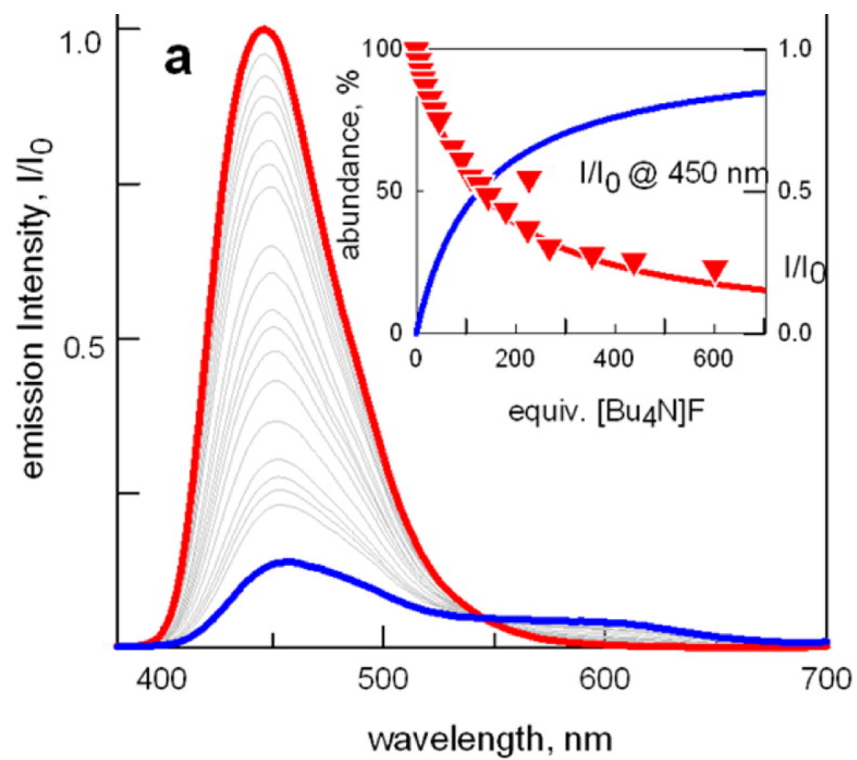
## Metal ion sensing



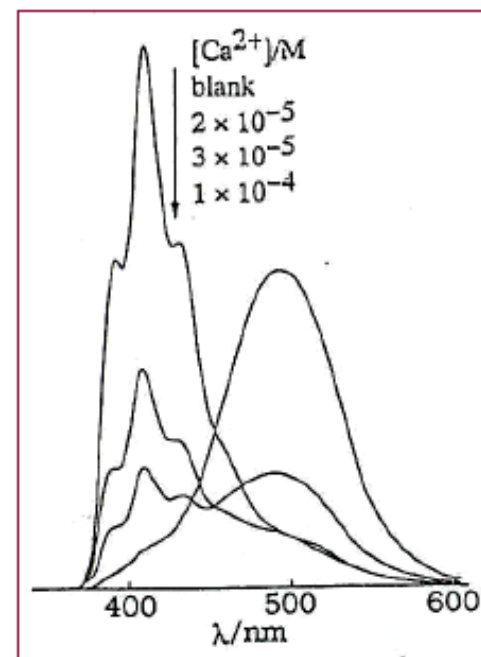
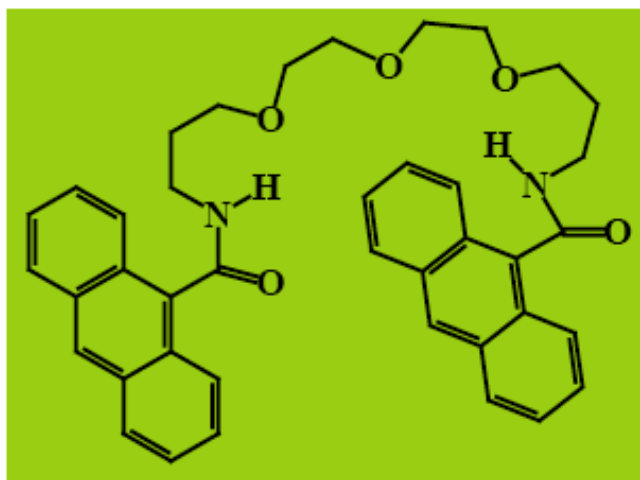
## Anion sensing



# Fluoride ion sensing



# Use of Excimer Emission in $\text{Ca}^{2+}$ Sensing

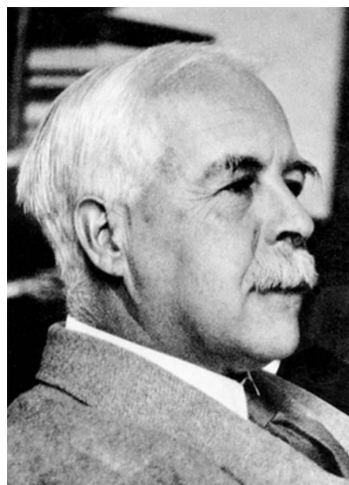


**Nakamura et al. J. Phys. Chem. B, 2001, 105, 2923**

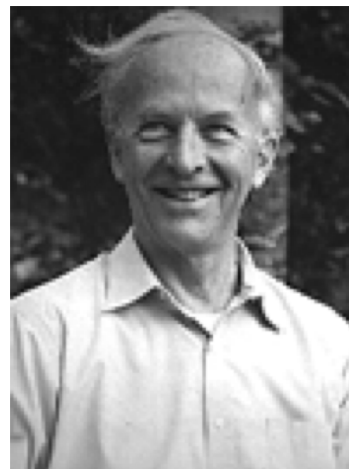
- ➡ *A fluorescent host with anthracene moiety at each end of a linear polyether chain*
- ➡ *Upon addition of  $\text{Ca}^{2+}$  fluorescence spectrum changes from monomer emission to excimer emission*



# Triplet State and Phosphorescence



G. N. Lewis



Kasha



S. Vavilov



A. Terenin

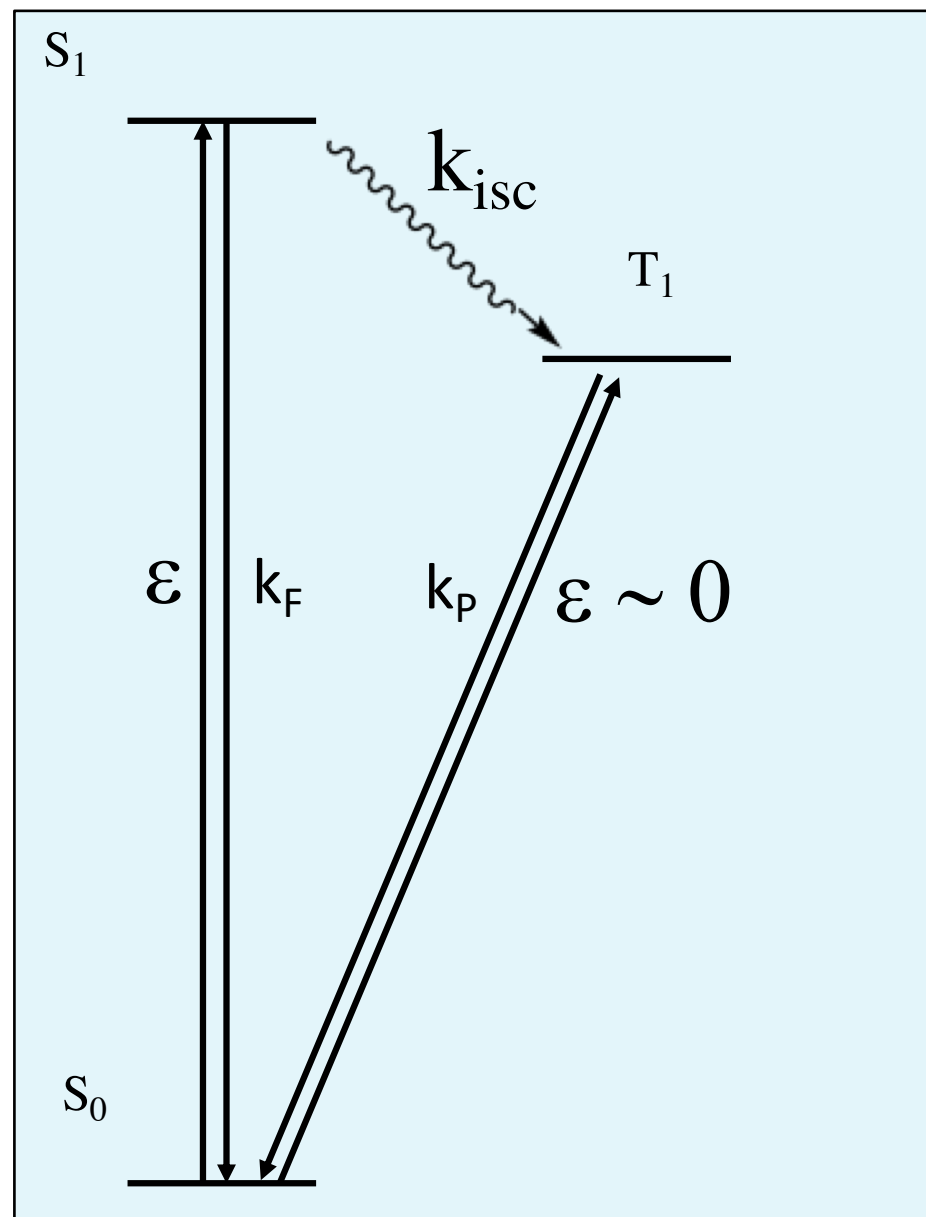


Porter

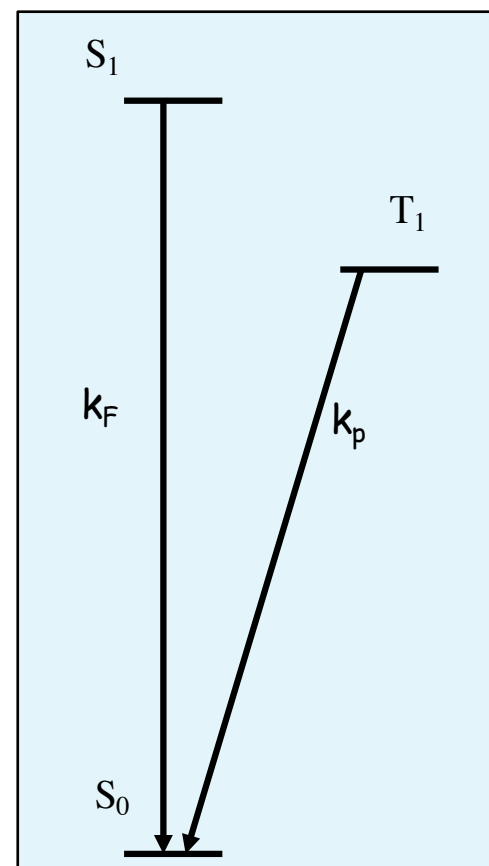
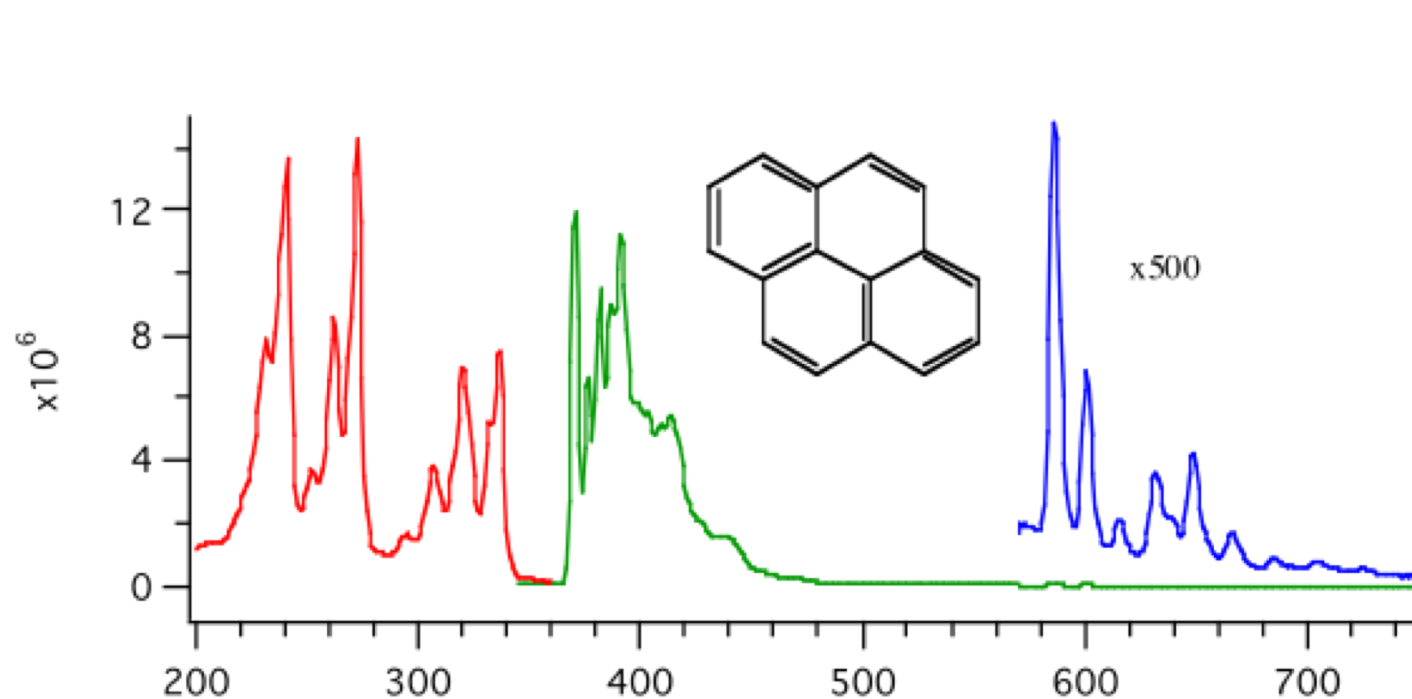
# Triplet State

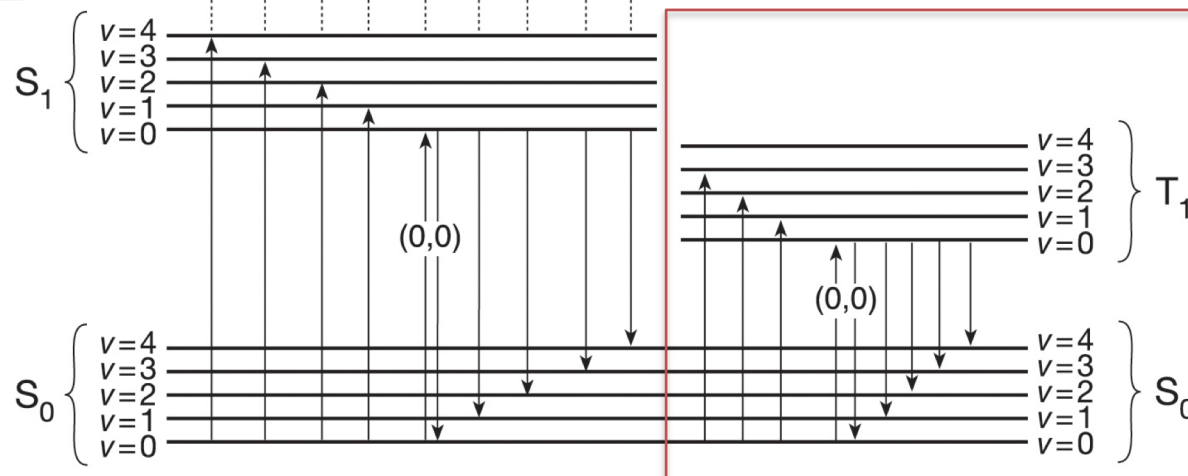
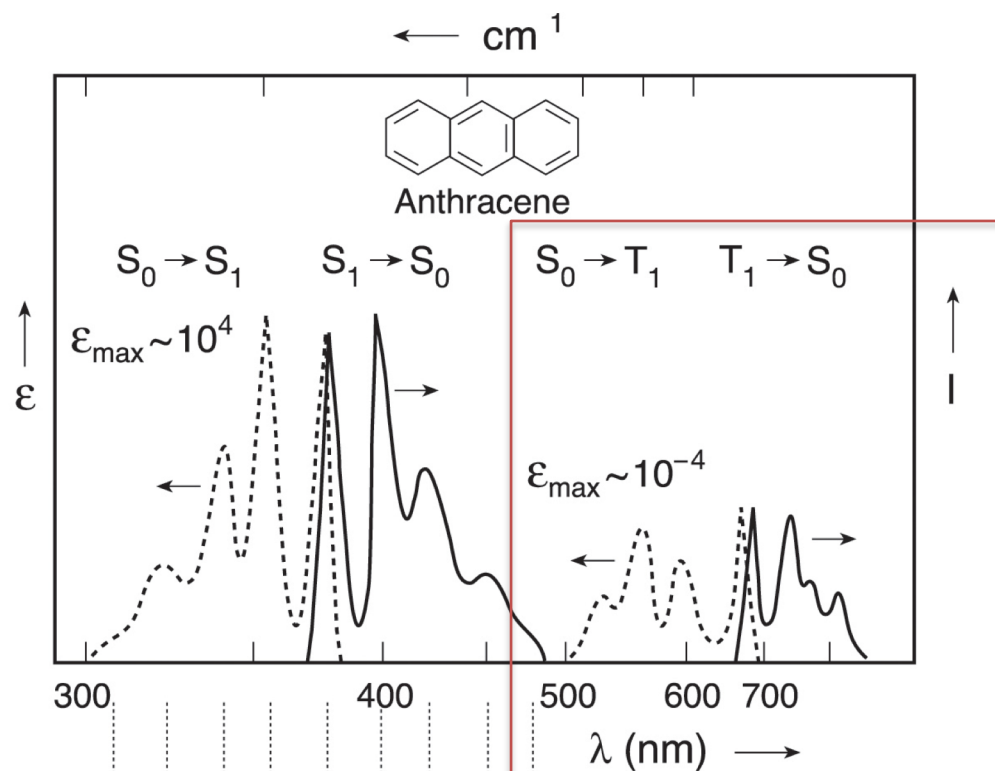
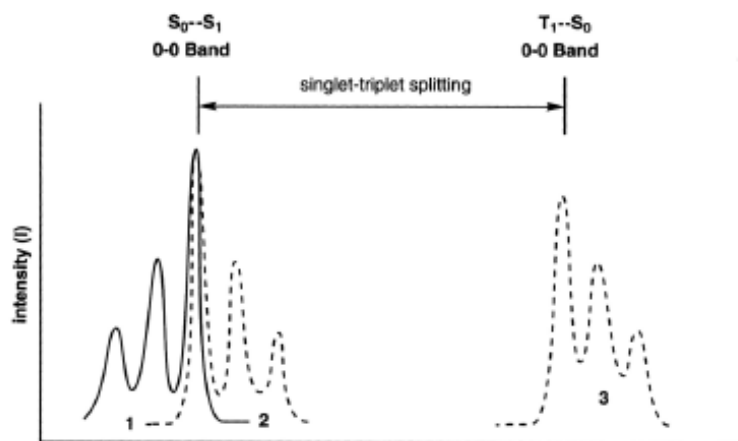
- Two electrons with the same spin
- Always lower in energy than the corresponding singlet state with the same electronic configuration
- The gap between  $S_1$  and  $T_1$  depends on the electronic configuration
- Emits (phosphorescence) at a longer wavelength than from  $S_1$  (fluorescence)
- Has a longer lifetime than  $S_1$
- Is paramagnetic, shows EPR signals

# Fluorescence and Phosphorescence

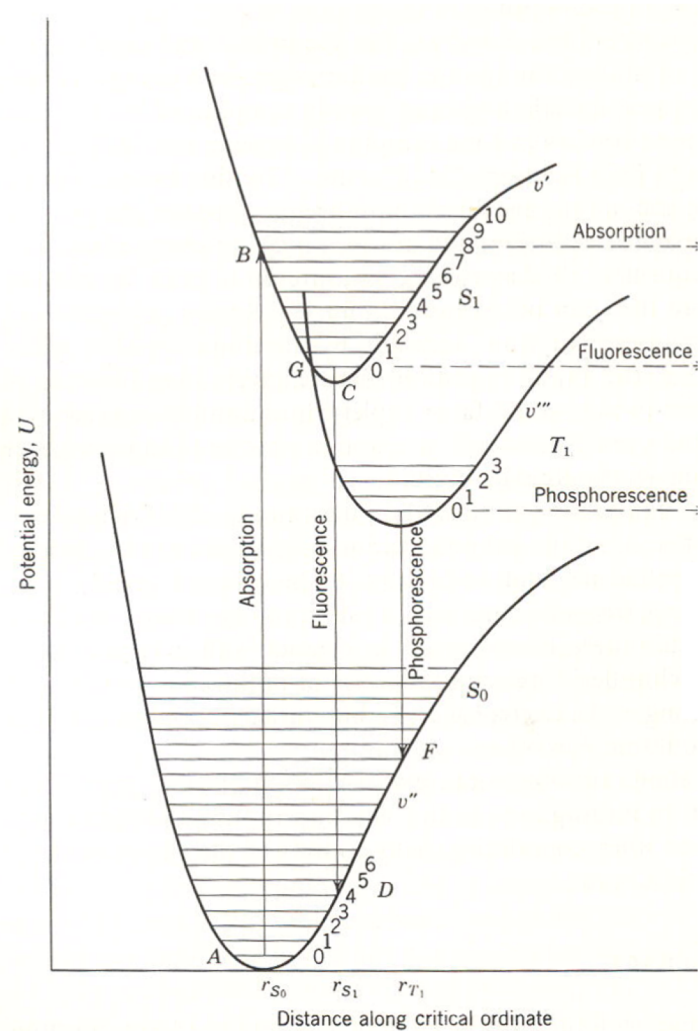
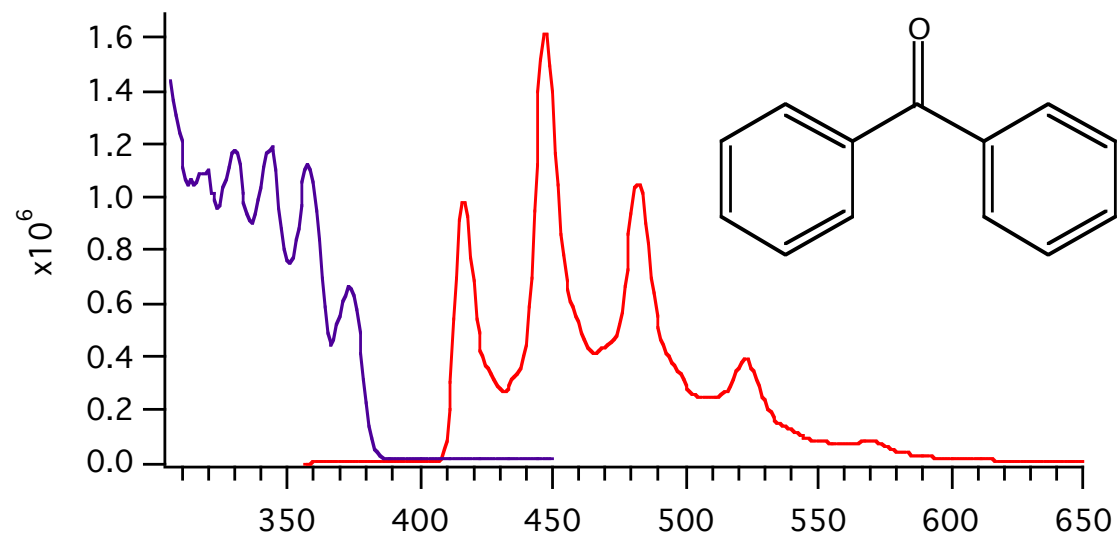
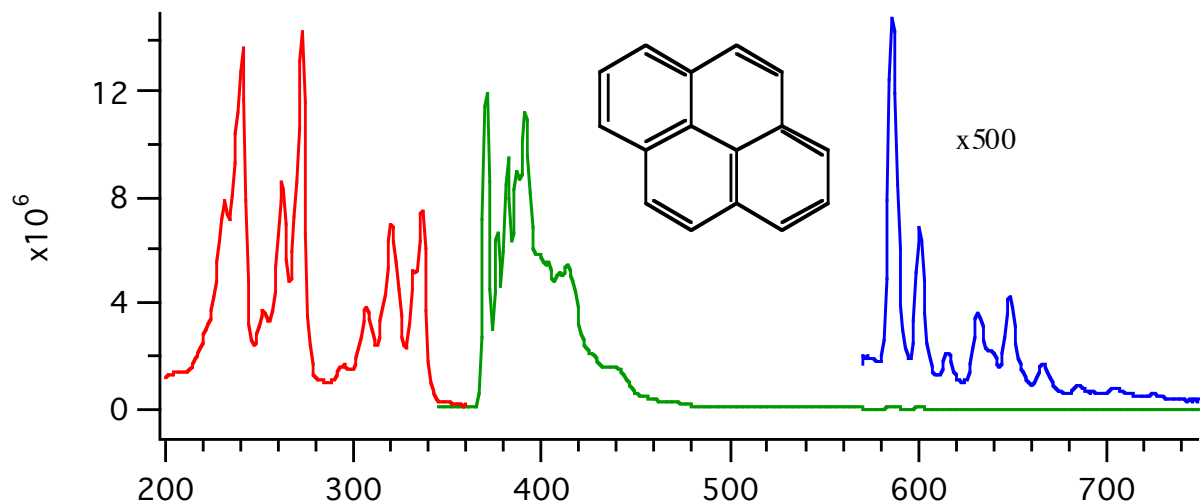


# Fluorescence and Phosphorescence





# Fluorescence and Phosphorescence



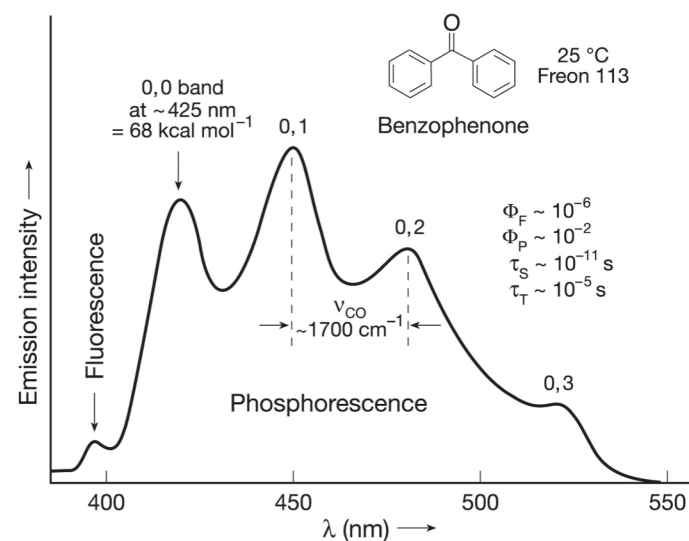
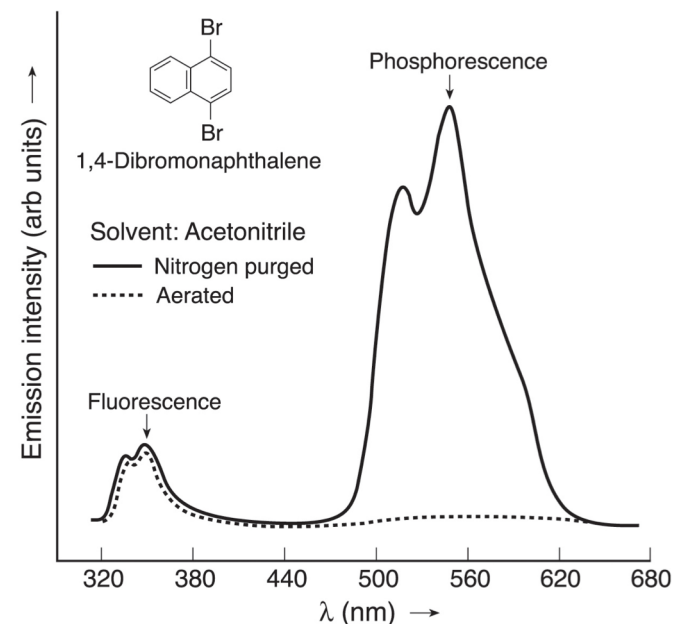
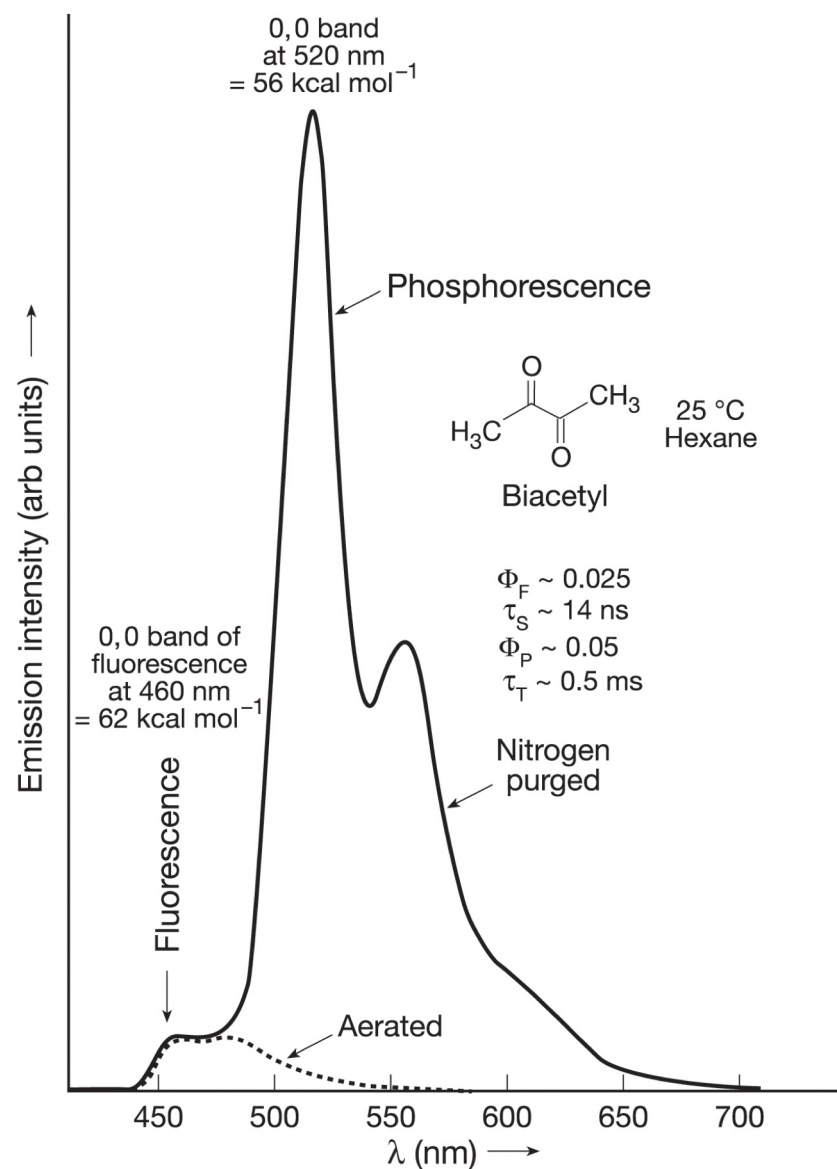
# Organic Glass for Phosphorescence

VISCOSITY OF LOW TEMPERATURE GLASSES  
(Adapted from Greenspan and Fischer<sup>208</sup>)

Solvent	Approximate viscosity in poise at $-180^{\circ}\text{C}$
1-Propanol/2-propanol (2:3)	$6 \times 10^{12}$
Ethanol/methanol	$2 \times 10^{12}$
Ethanol/methanol + 4.5% water	—
Ethanol/methanol + 9% water	—
Iso-octane/isononane	$3 \times 10^{10}$
Methylcyclohexane/cis/trans-decalin	$1 \times 10^{14}$
Methylcyclohexane/toluene	$7 \times 10^9$
Methylcyclohexane-isohehexanes (3:2)	$3 \times 10^6$
Methylcyclohexane/methylcyclopentane	$2 \times 10^5$
Methylcyclohexane/iso-pentane	—
Methylcyclohexane-iso-pentane (1:3)	$1 \times 10^3$
2-Methylpentane	$7 \times 10^4$
2-Methyl tetrahydrofuran	$4 \times 10^7$
Ether/iso-pentane/ethanol (5:5:2)	$9 \times 10^3$

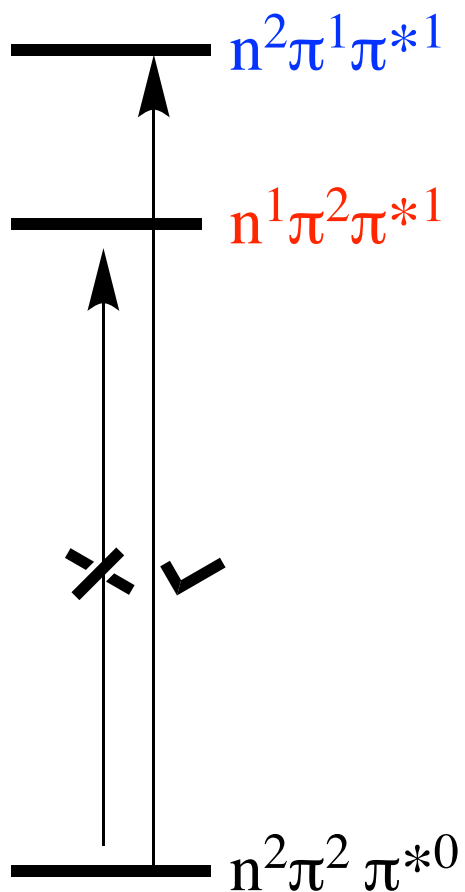
- Be chemically inert
- Have no absorption in the region of optical pumping
- Have a large solubility for the studied material
- Be stable (don't crack) to the action of light
- Have a good optical quality

# Phosphorescence at RT in a solvent: Role of oxygen

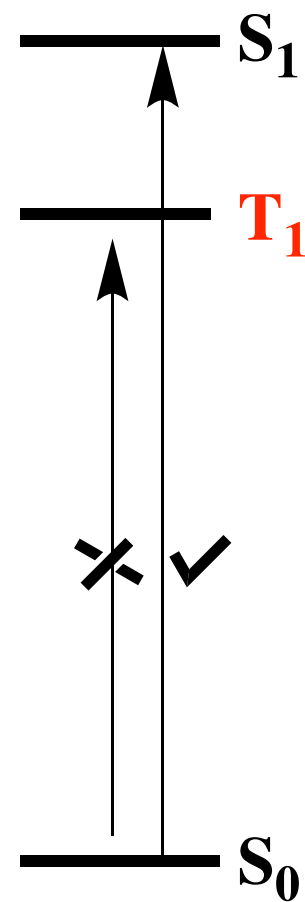




# Forbidden Transitions

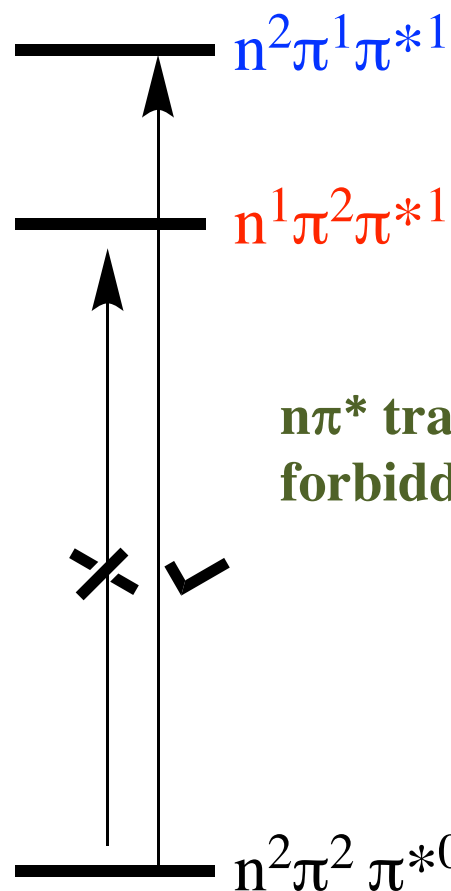


**Orbital change**

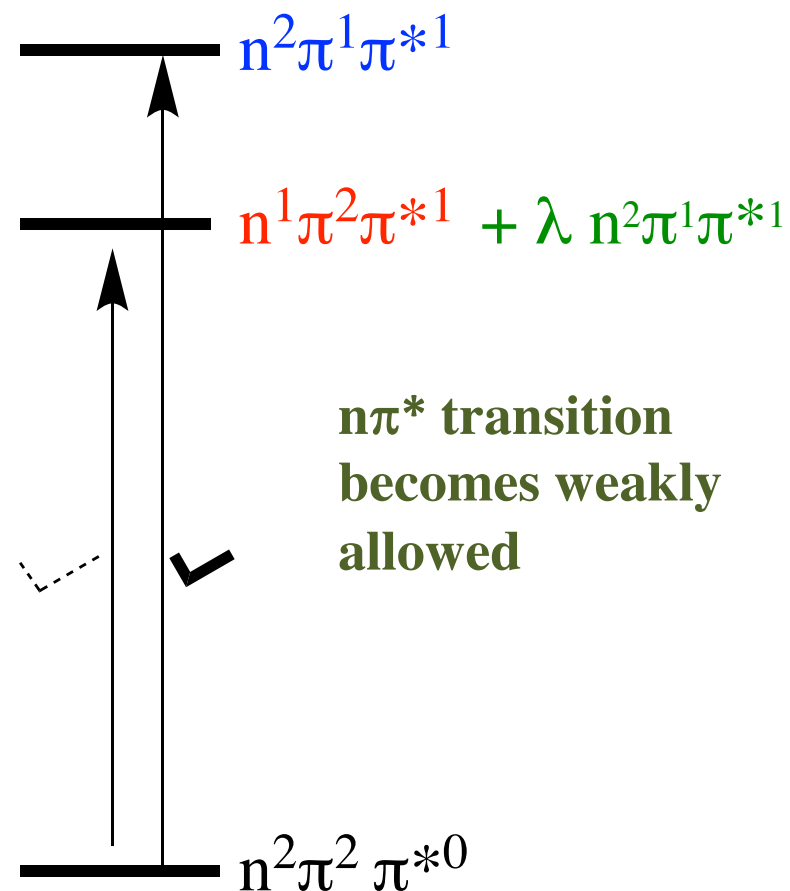


**Spin change**

## Result of vibrational - electronic mixing



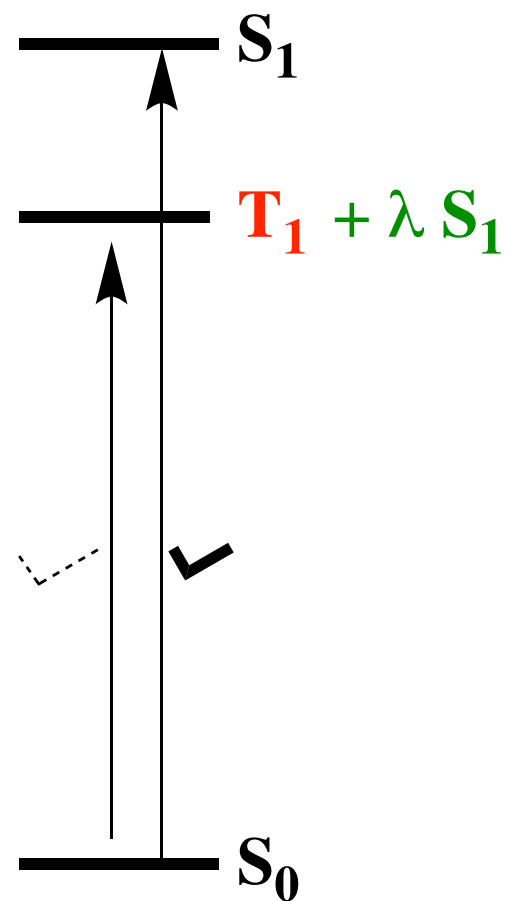
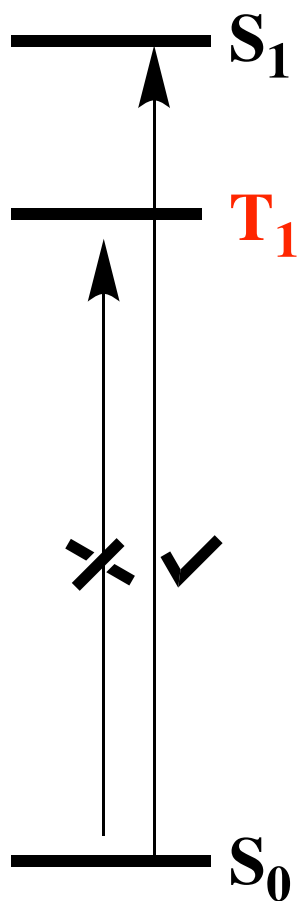
$n\pi^*$  transition  
forbidden



$n\pi^*$  transition  
becomes weakly  
allowed

Vibration mixes the states,  
no longer pure states

## Singlet-Triplet Transitions: Role of Spin-Orbit Coupling

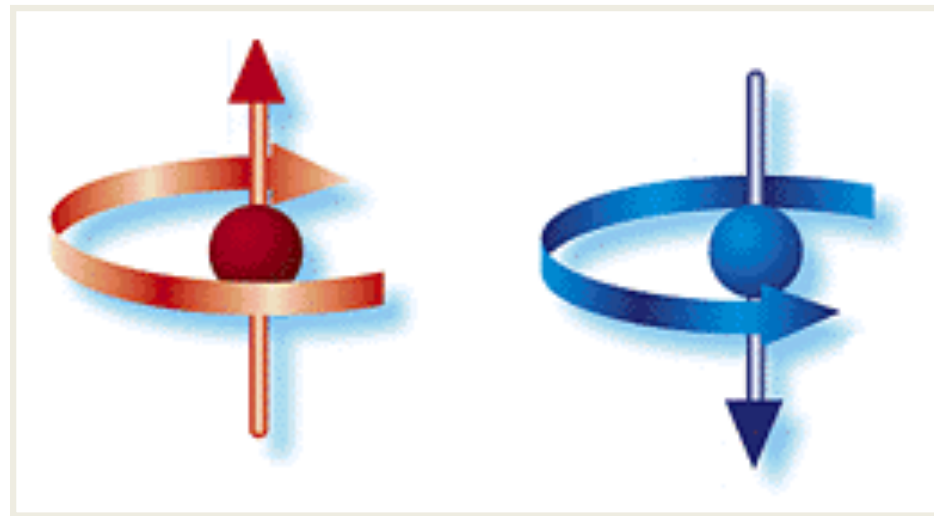


Spin-Orbit coupling mixes the states,  
no longer pure states

# Spin Quantum Number ( $m_s$ )

Electron spin  $\Rightarrow +\frac{1}{2}$  or  $-\frac{1}{2}$

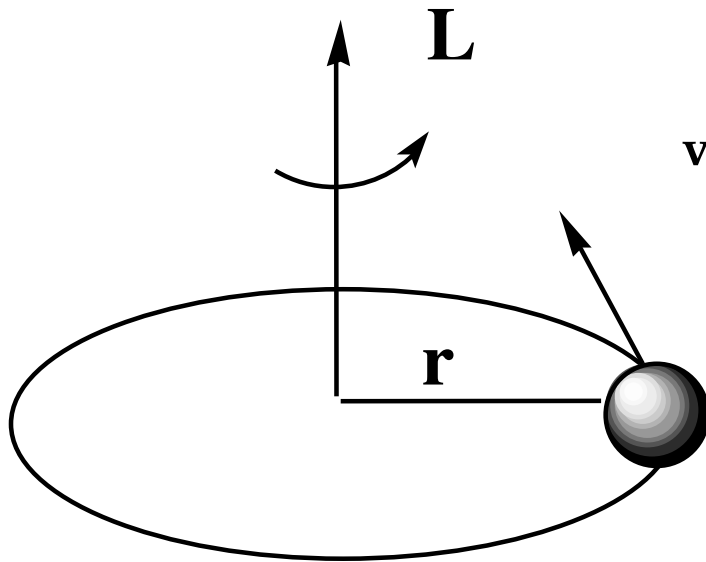
An orbital can hold 2 electrons that spin in opposite directions.



# Electron spin and orbital angular momenta

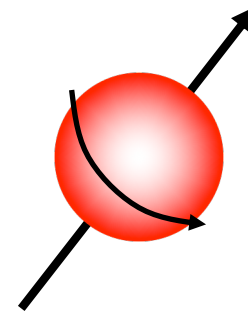
orbital angular  
momentum vector,

$\mathbf{L}$



spin angular  
momentum vector,

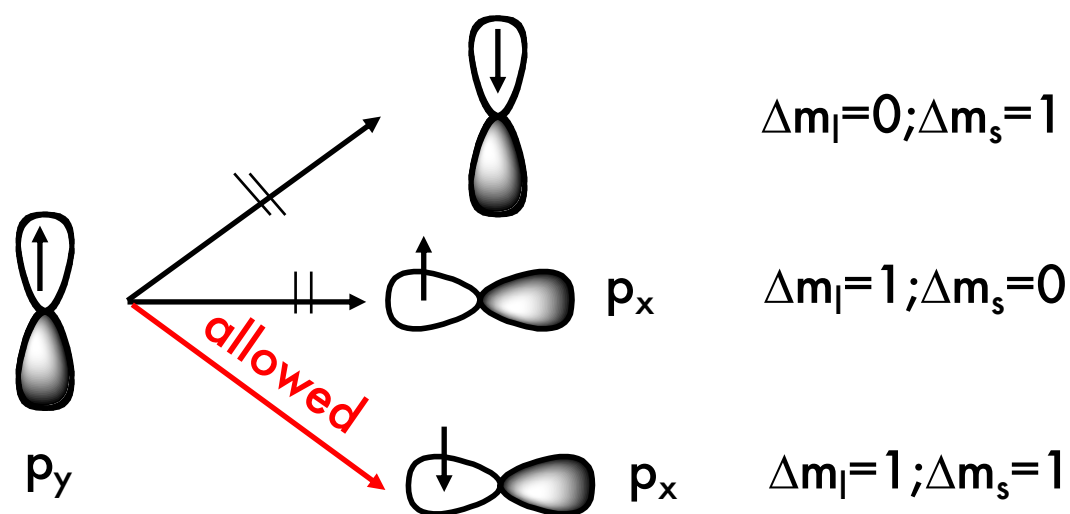
$\mathbf{S}$



# Selection rules for spin change in organic molecule

## Conservation of angular momentum

- The electron spin must either remain unchanged or *change by one unit of angular momentum*,  $\hbar$  (say,  $+1/2 \hbar \rightarrow -1/2 \hbar$ ).
- A spin change is exactly compensated by an equal and opposite change of angular momentum which occurs from some other (coupled) interaction with another source of angular momentum.



# Spin-forbidden $n\pi^*$ transition becomes allowed due to spin-orbit coupling

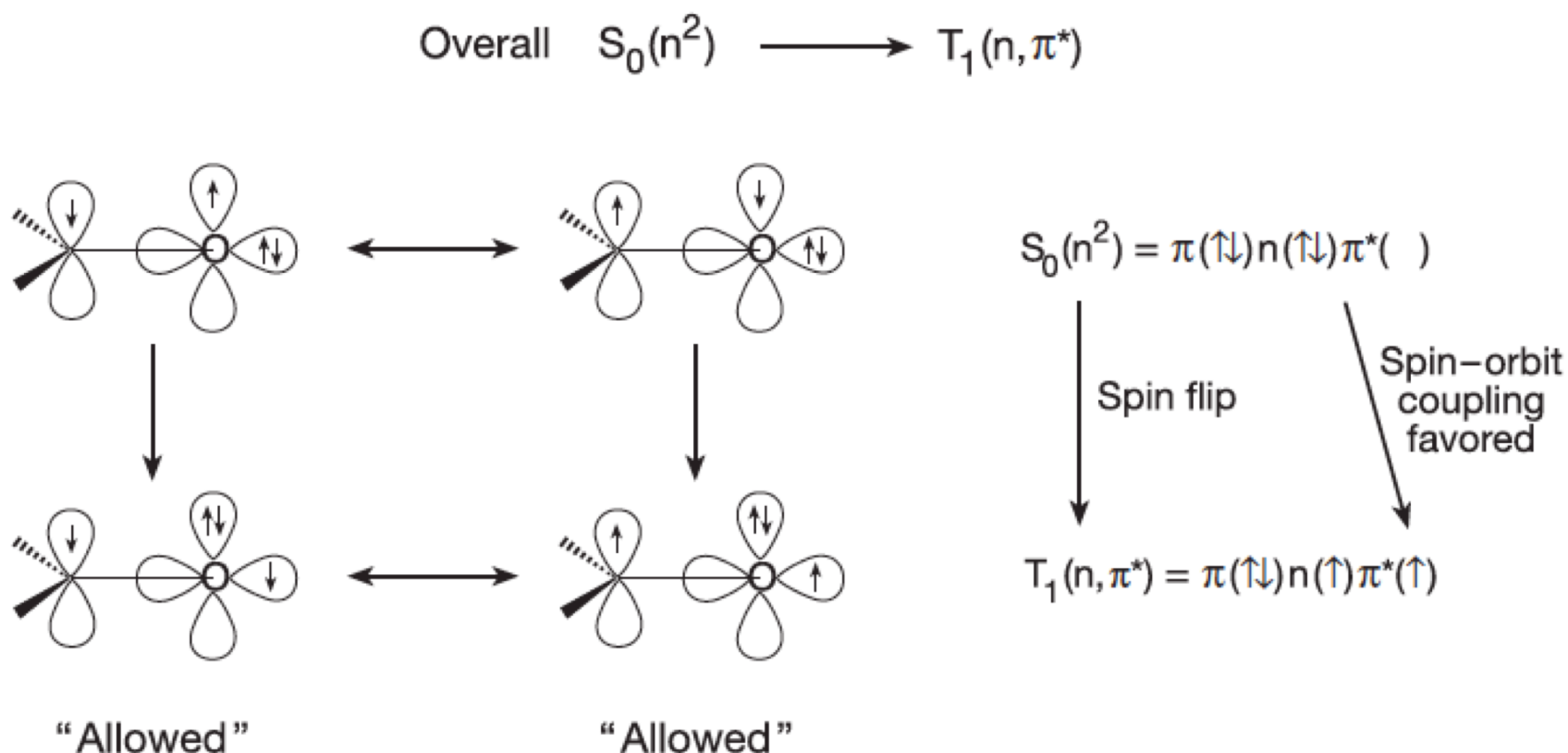


Figure 4.21 Orbital description of the spin-orbit selection rules for a radiative transition involving a spin flip. The  $n^2 \rightarrow n, \pi^*$  transition involves an orbital angular momentum change that can be coupled with a spin momentum change on a single (oxygen) atom and is therefore spin-orbit "allowed."

## Spin-forbidden $\pi\pi^*$ transition

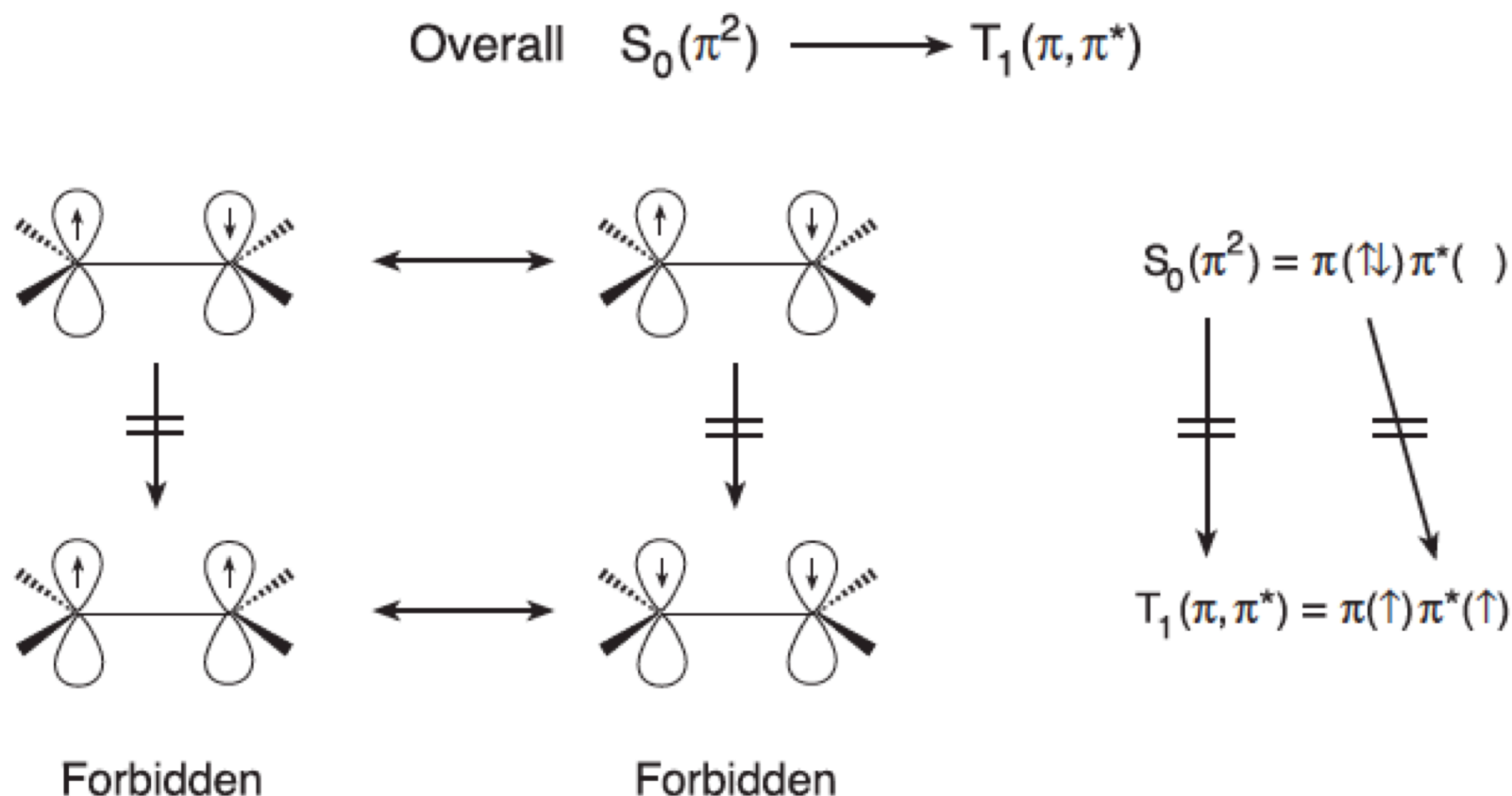


Figure 4.22 Orbital description of the spin-orbit selection rules for a radiative transition involving a spin flip. The  $\pi^2 \rightarrow \pi, \pi^*$  transition does not involve an orbital angular momentum change and is spin-orbit “forbidden.”



## Triplet State: Singlet $\longleftrightarrow$ Triplet Interconversion

$\epsilon_{\text{max}}$  ( $S_0$  to  $T_1$ ) and  $k_p^0$  ( $T_1$  to  $S_0$ ) values – depend on the orbital configuration of  $T_1$  ( $\pi\pi^*$ ,  $n\pi^*$ )

Molecules possessing pure  $\pi$ ,  $\pi^*$  configurations

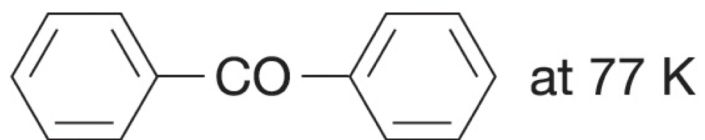
the value of  $\epsilon_{\text{max}}$  is  $\sim 10^{-5}$  to  $10^{-6}$

$k_p^0$  is  $\sim 10^1$  to  $10^{-1} \text{ s}^{-1}$

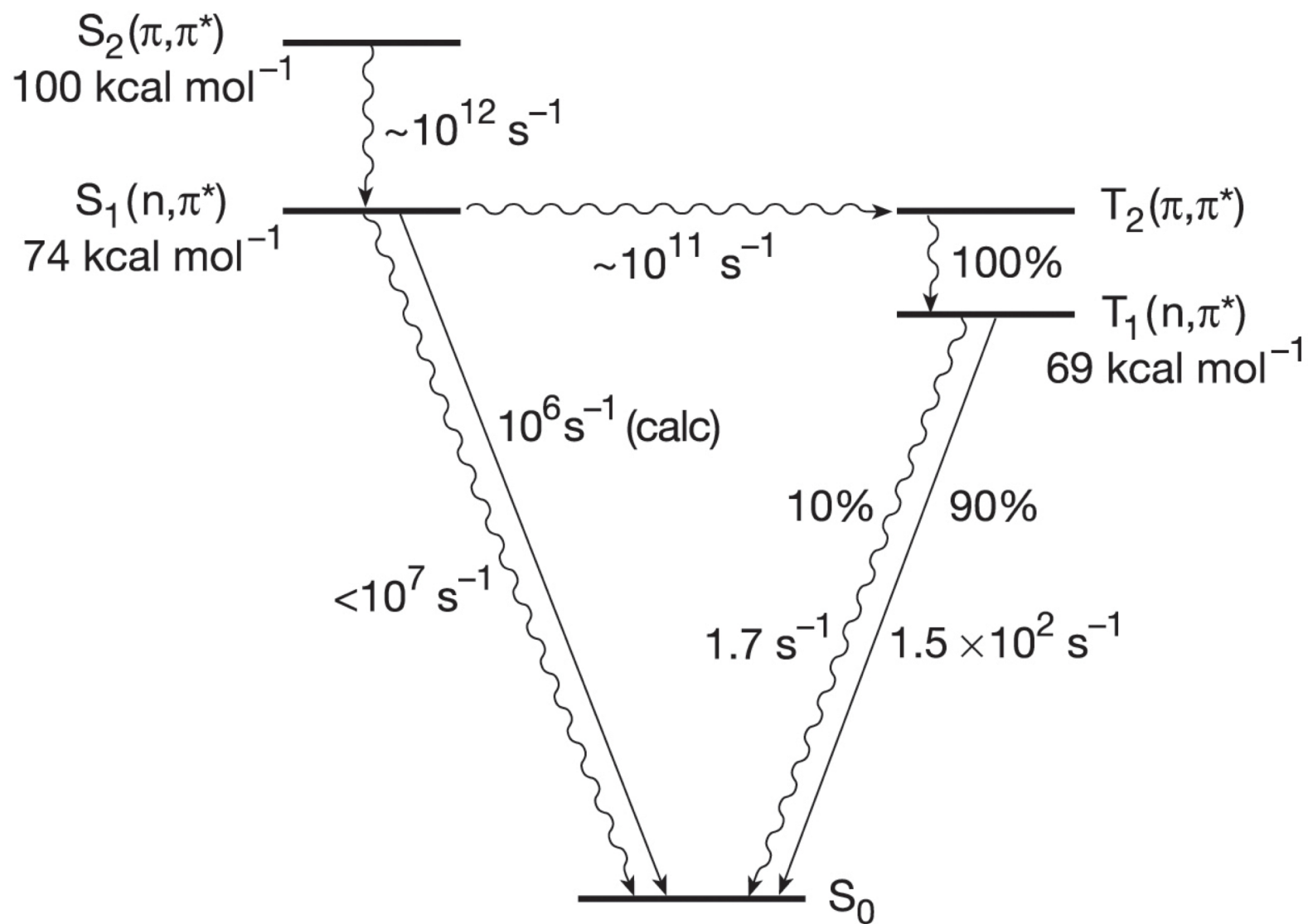
Molecules possessing pure  $n$ ,  $\pi^*$  configurations

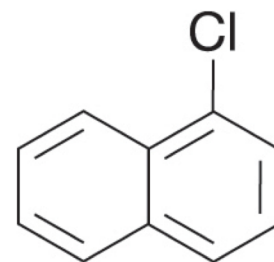
the value of  $\epsilon_{\text{max}}$  is  $\sim 10^{-1}$  to  $10^{-2}$

$k_p^0$  is  $\sim 10^3$  to  $10^2 \text{ s}^{-1}$



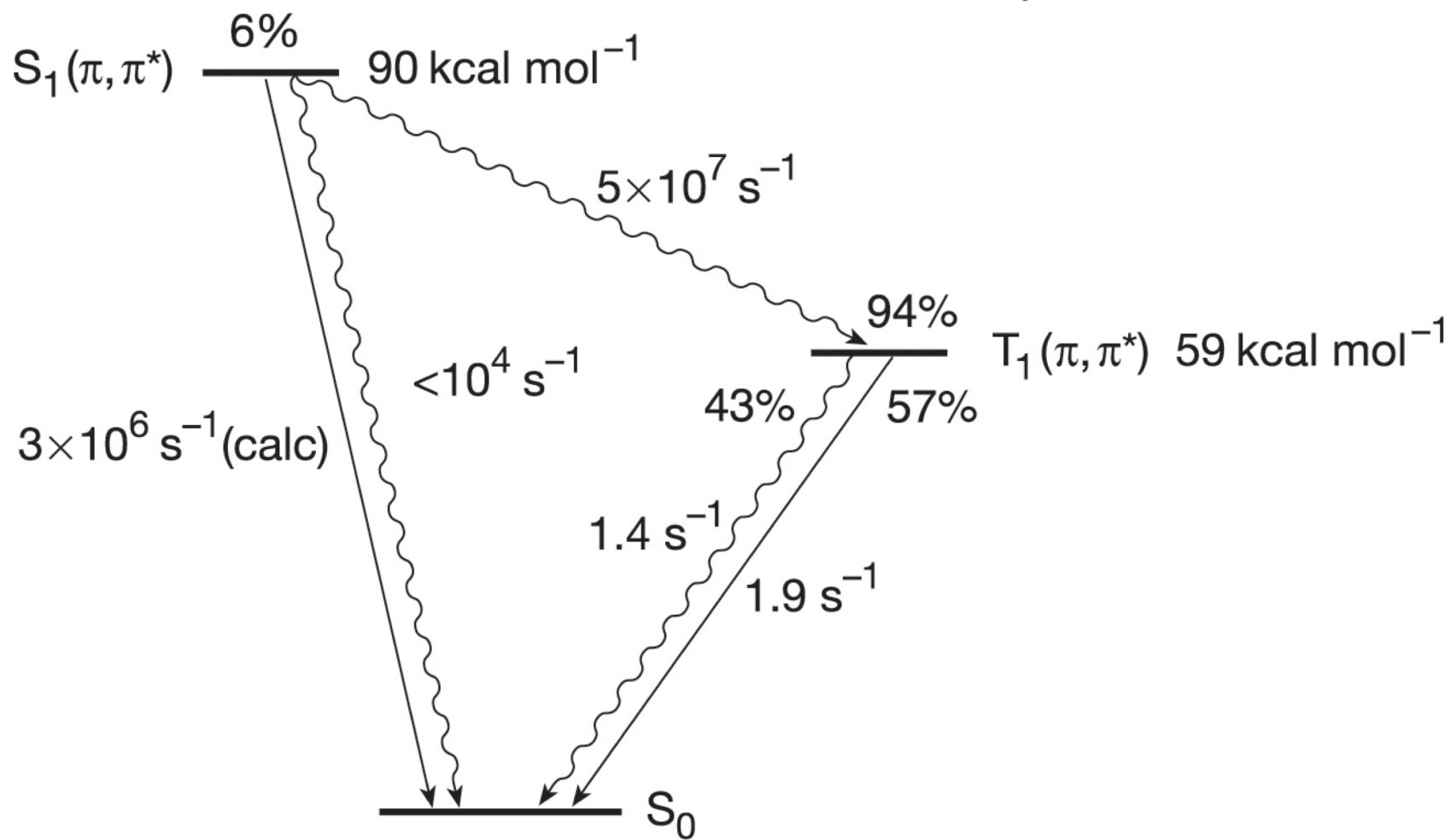
Benzophenone





at 77 K

1-Chloronaphthalene



## Strength of coupling of an electron spin with internal magnetic fields

If L is coupled to S, they both precess around their resultant. If they are strongly coupled they precess rapidly, if they are weakly coupled they precess slowly.

Strength of L-S coupling is defined by

$$\hat{H}_{SO} = \xi \mathbf{l} \cdot \mathbf{s}$$
$$\xi_{n,l} \propto \frac{Z^4}{n^3 l(l+1/2)(l+1)}$$

the magnitude of the spin orbital coupling operator ( $H_{SO}$ ) depends on  $\xi$

the spin-orbit coupling parameter  $\xi$  increases with the nuclear magnetic field seen by the electron (with the nuclear charge)



$\xi$  increases with the atomic number Z

# Spin-orbit coupling energies for selected atoms

Table 4.7 Spin–Orbit Coupling in Atoms<sup>a,b</sup>

Atom	Atomic number	$\zeta$ (kcal mol <sup>-1</sup> )	Atom	Atomic number	$\zeta$ (kcal mol <sup>-1</sup> )
C <sup>c</sup>	6	0.1	I	53	14.0
N <sup>c</sup>	7	0.2	Kr	36	15
O <sup>c</sup>	8	0.4	Xe	54	28
F <sup>c</sup>	9	0.7	Pb	82	21
Si <sup>c</sup>	14	0.4	Hg	80	18
P <sup>c</sup>	15	0.7	Na	11	0.1
S <sup>c</sup>	16	1.0	K	19	0.2
Cl <sup>c</sup>	17	1.7	Rb	37	1.0
Br	35	7.0	Cs	55	2.4

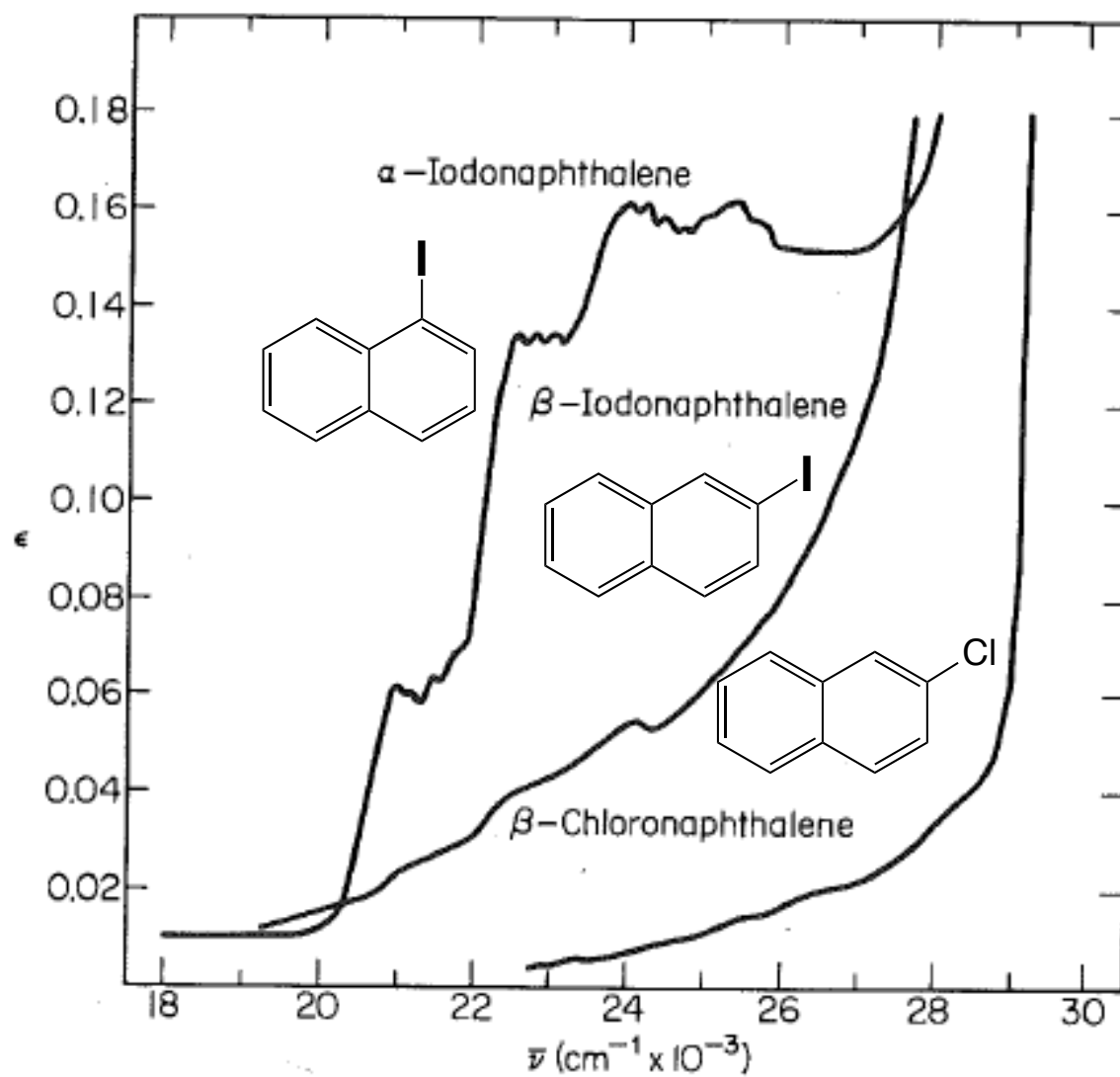
# The heavy atom effect on spin transitions

The “heavy atom” effect is an “atomic number” effect that is related to the coupling of the electron spin and electron orbital motions (spin-orbit coupling, SOC).

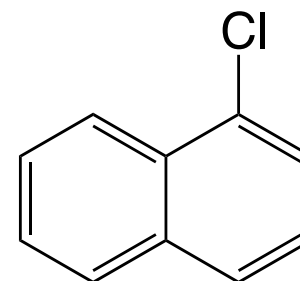
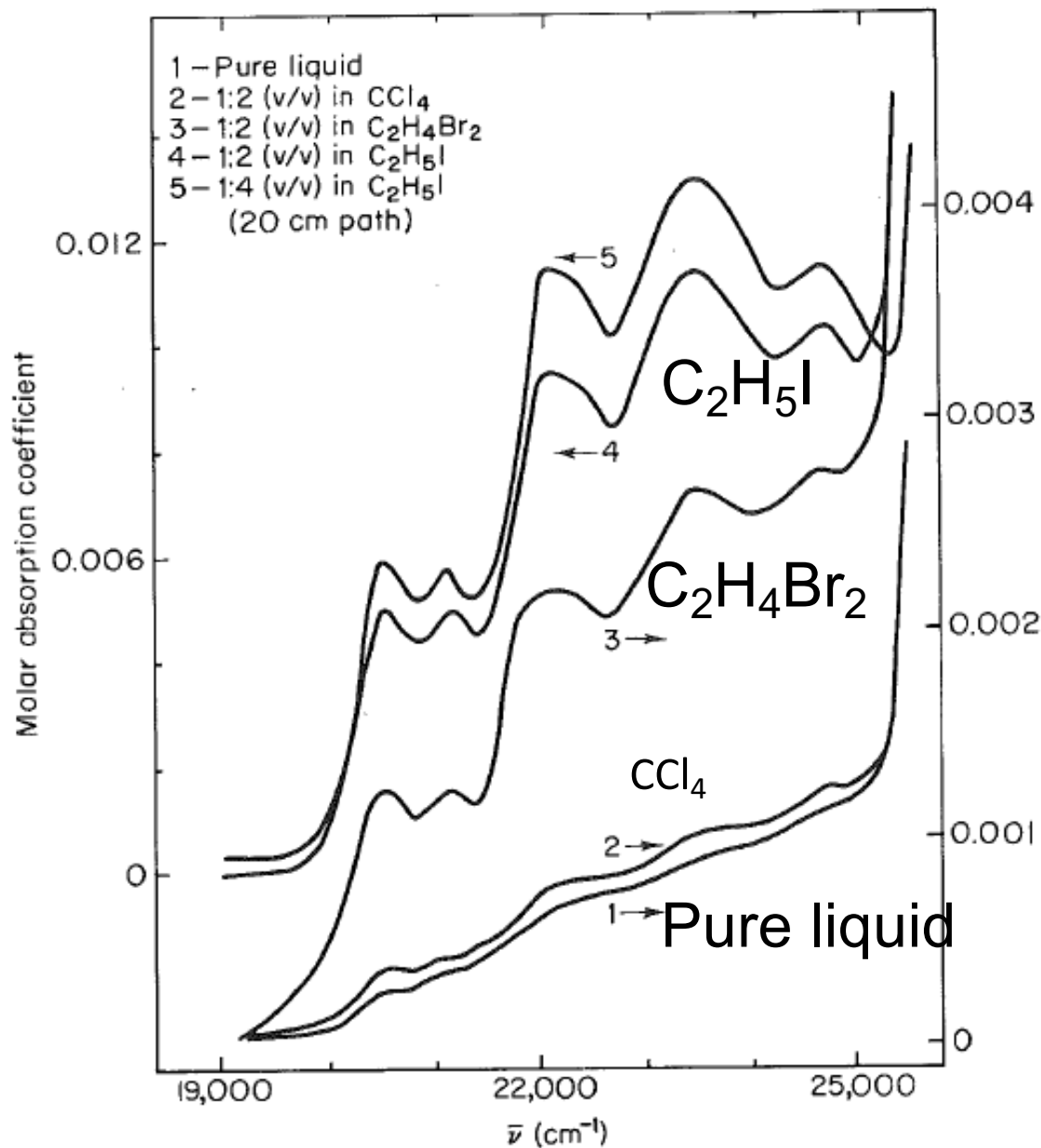
Most commonly, the HAE refers to the rate enhancement of a spin forbidden photophysical radiative or radiationless transition that is due to the presence of an atom of high atomic number,  $Z$ .

The heavy atom may be either internal to a molecule (molecular) or external (supramolecular).

# Internal Heavy Atom Effect

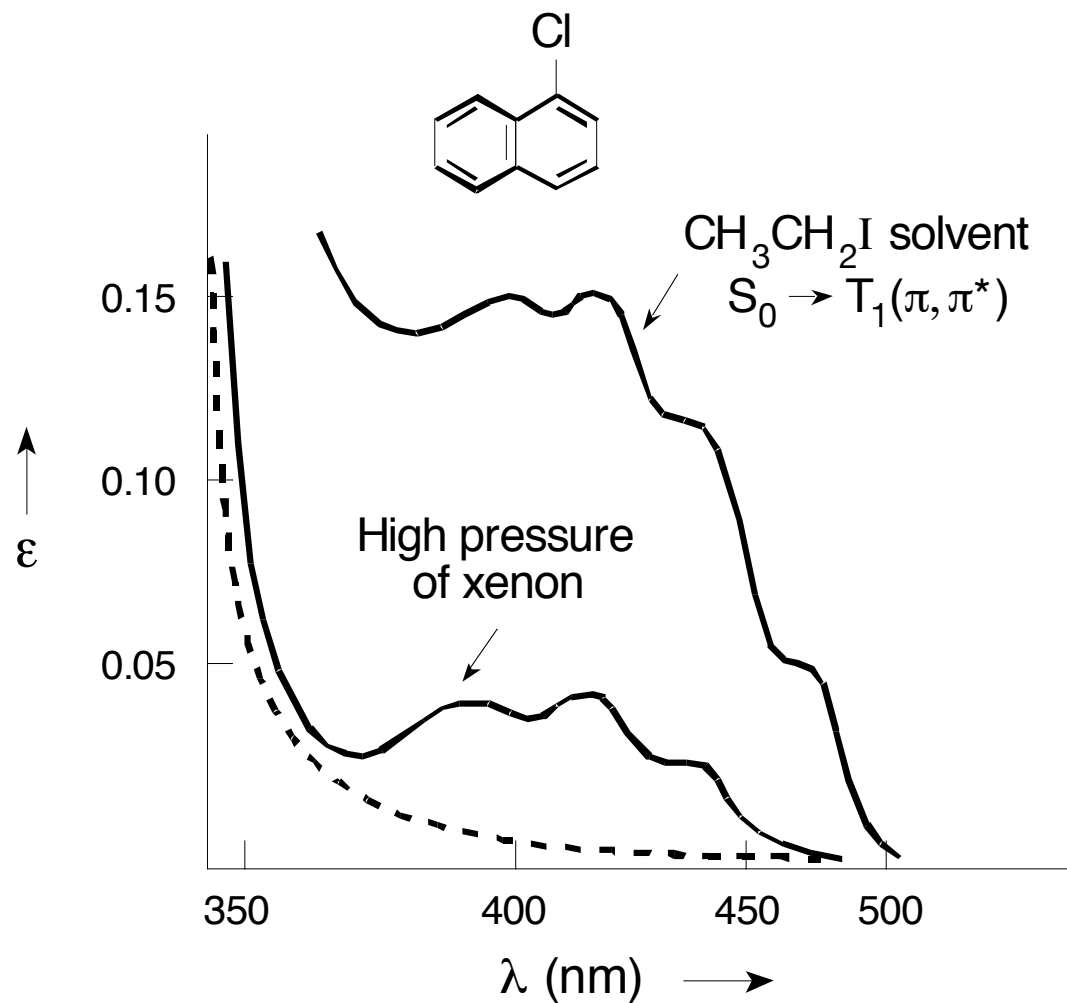


# External Heavy Atom Effect



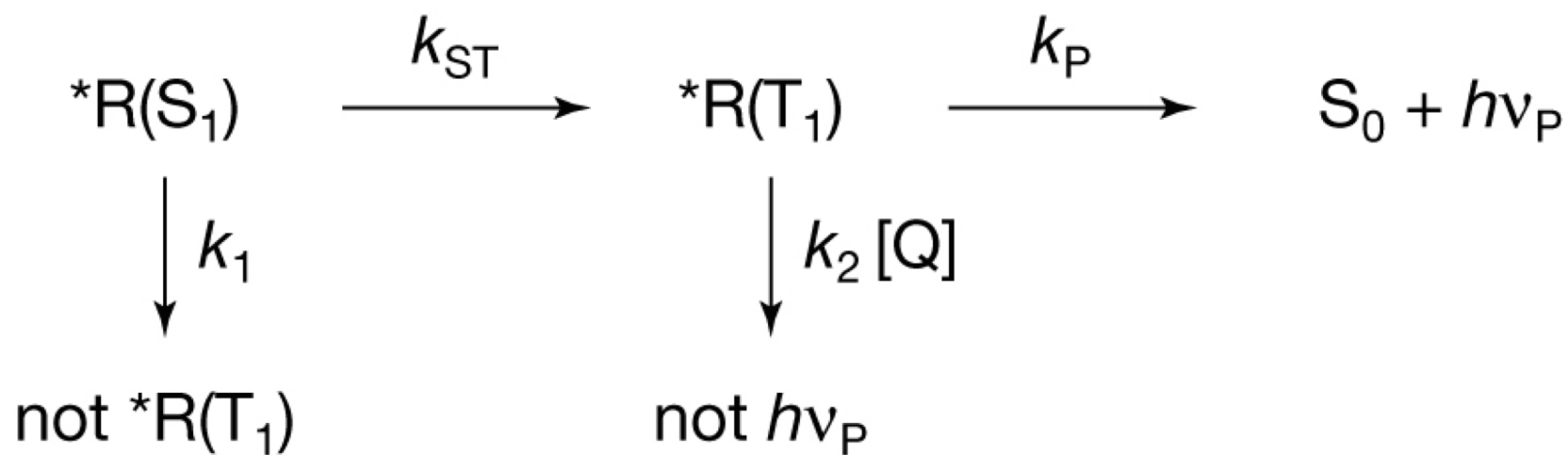
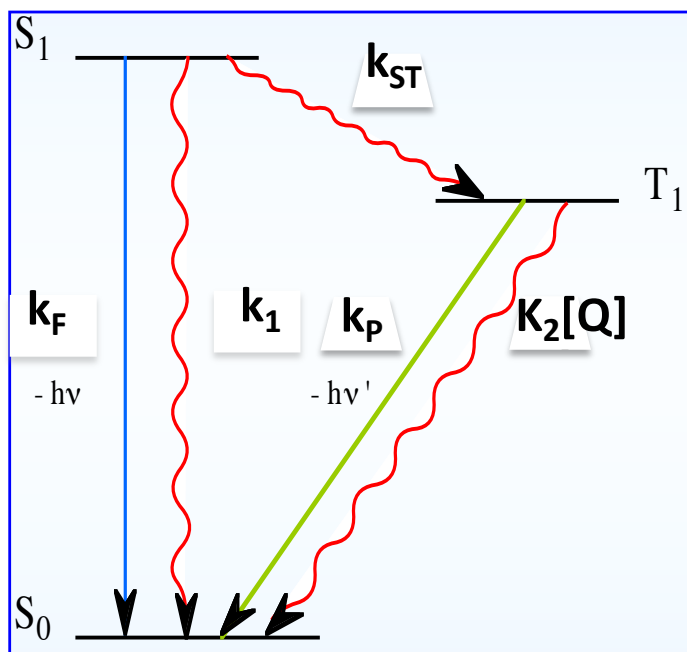


# External Heavy Atom Effect



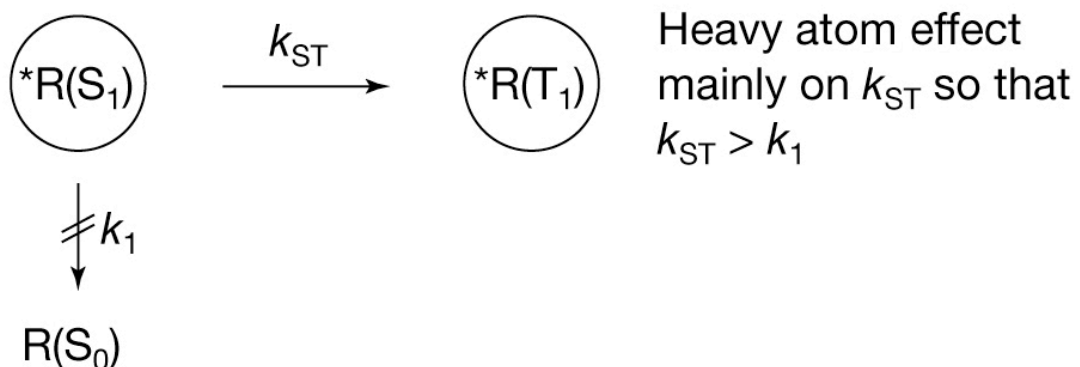
## Examples of internal heavy atom effect

Molecule	$k_F^0$	$k_{ST}$	$k_P^0$	$k_{TS}$	$\Phi_F$	$\Phi_P$
Naphthalene	$10^6$	$10^6$	$10^{-1}$	$10^{-1}$	0.55	0.05
1-Fluoronaphthalene	$10^6$	$10^6$	$10^{-1}$	$10^{-1}$	0.84	0.06
1-Chloronaphthalene	$10^6$	$10^8$	10	10	0.06	0.54
1-Bromonaphthalene	$10^6$	$10^9$	50	50	0.002	0.55
1-Iodonaphthalene	$10^6$	$10^{10}$	500	100	0.000	0.70



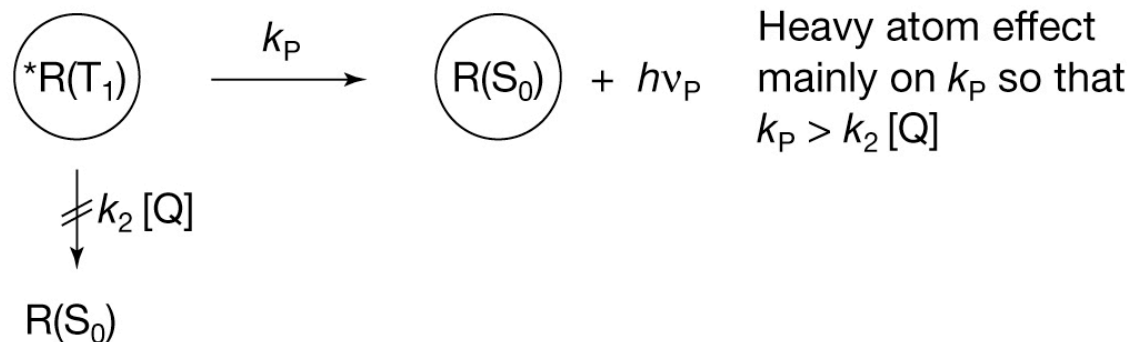
# Strategy to record phosphorescence at room temperature through supramolecular approach

## Stage 1



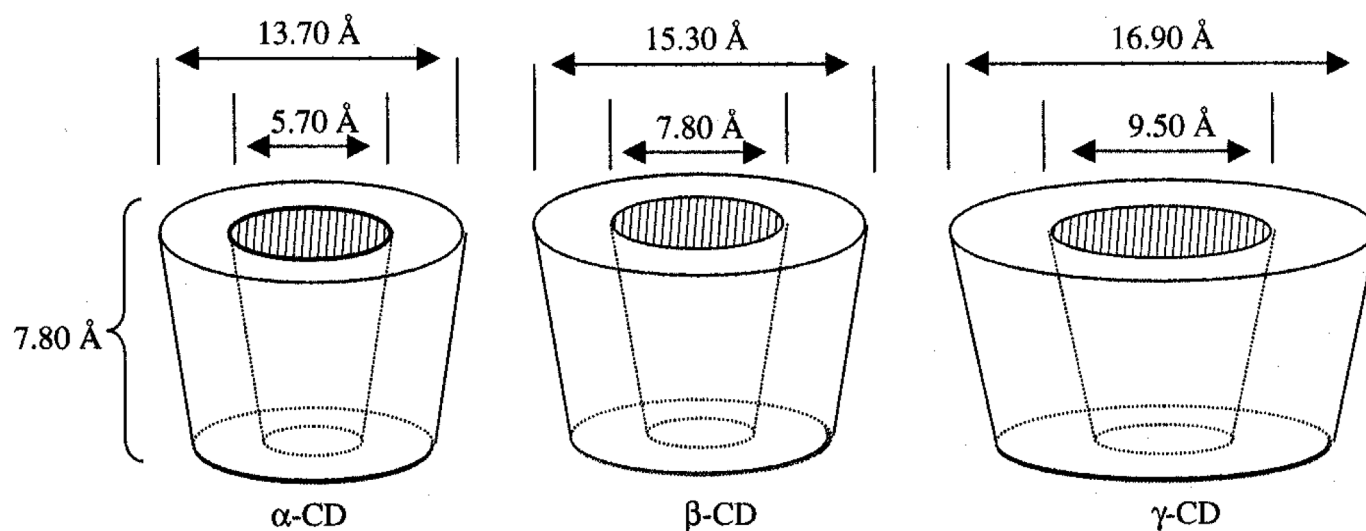
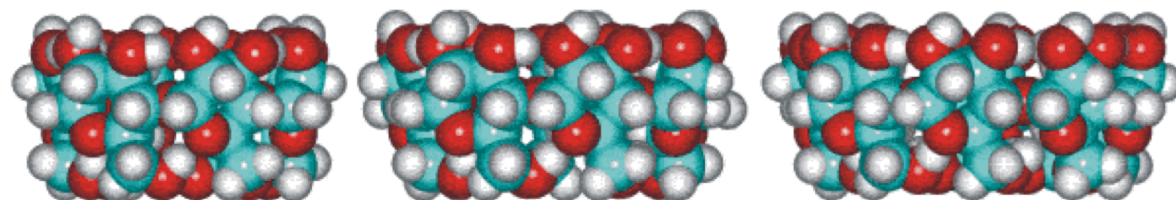
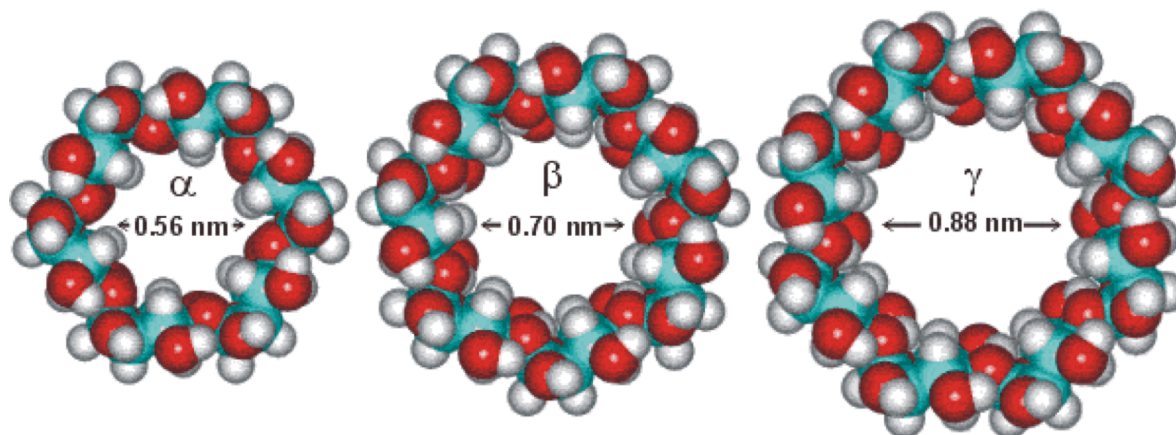
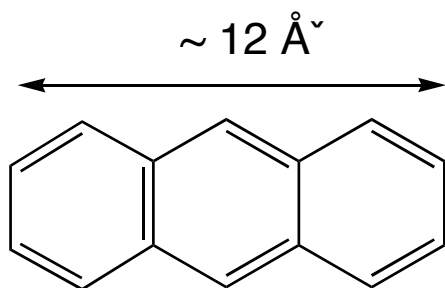
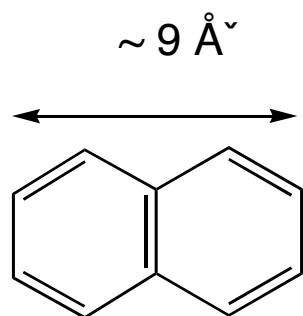
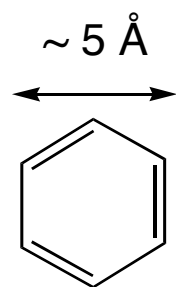
Make more triplets through the heavy atom effect

## Stage 2



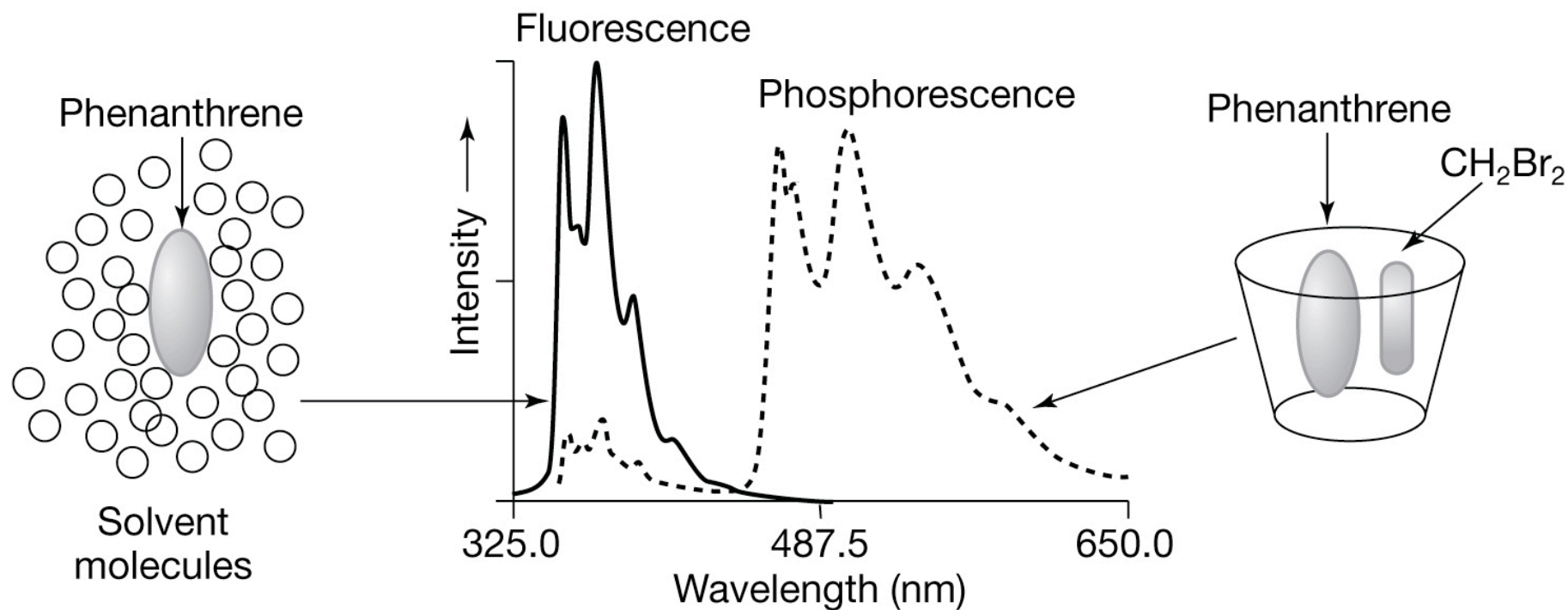
Make triplets emit faster in competition with quenching processes

# Water soluble organic hosts: Cyclodextrins

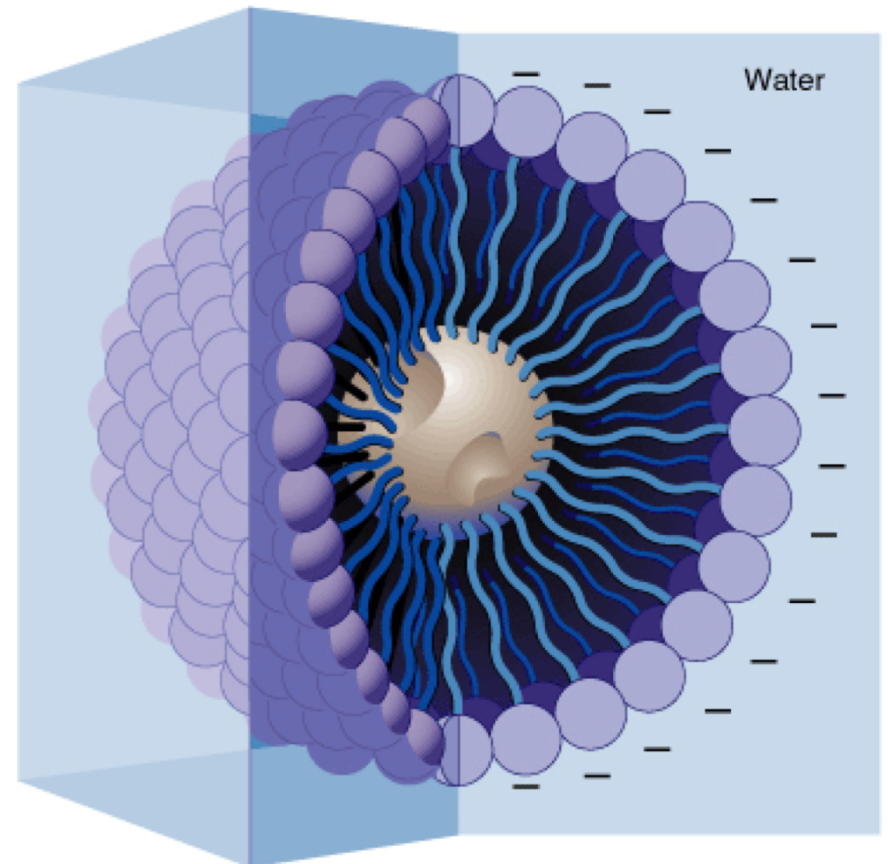
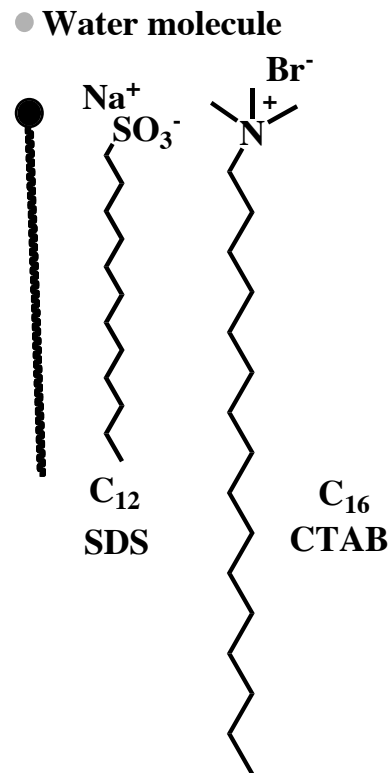
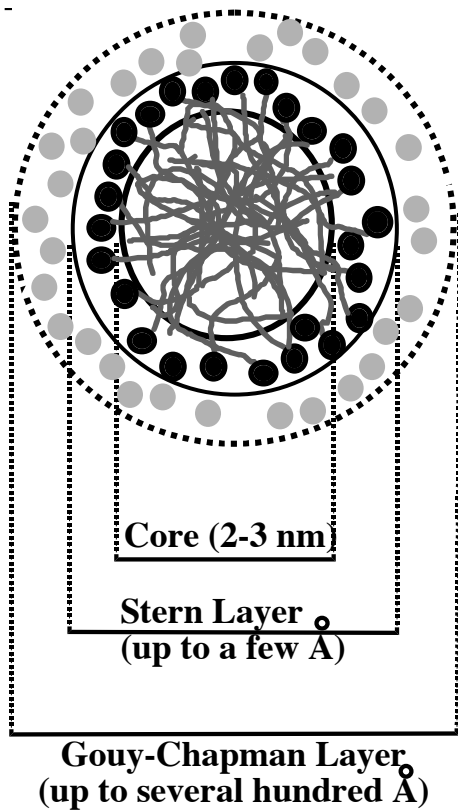


# Cyclodextrins as hosts

Phenanthrene@Cyclodextrin: effect of  $\text{CH}_2\text{Br}_2$  as co-guest



# Micelles



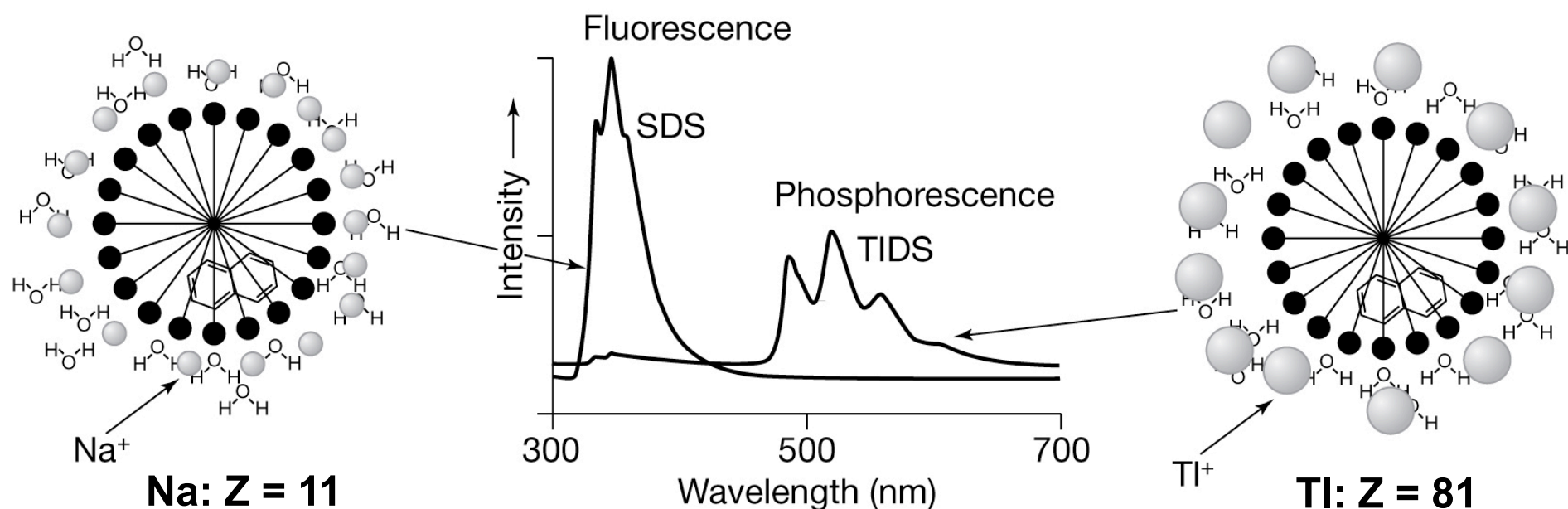
# Induced Intersystem Crossing Depends on the SOC: Cations as the heavy atom perturber

Atom	Ionic Radius of the Cation (Å)	Spin-Orbit Coupling $\zeta$ cm <sup>-1</sup>
Li	0.86 (+)	0.23
Na	1.12	11.5
K	1.44	38
Rb	1.58	160
Cs	1.84	370
Tl	1.40	3410
Pb	1.33 (2+)	5089



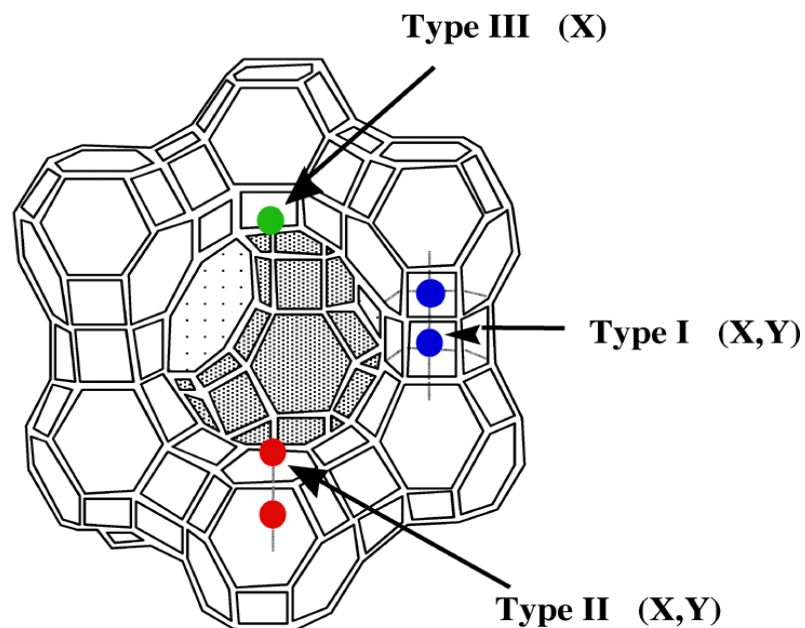
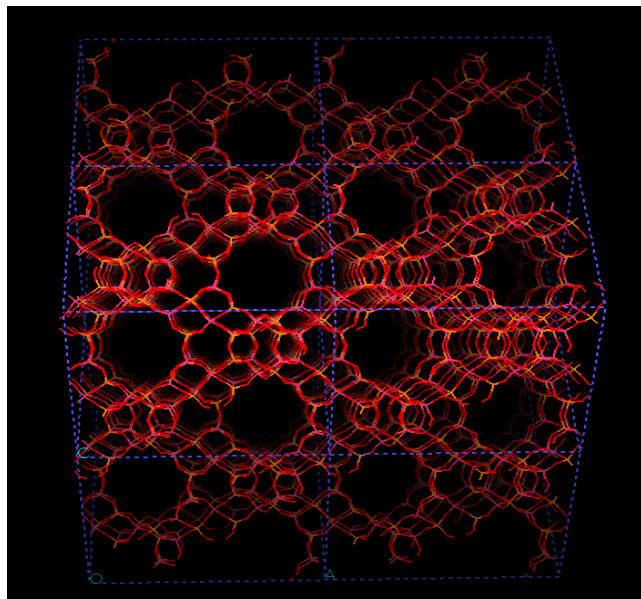
# Micelles as hosts

## Naphthalene@SDS micelle: effect of heavy atom counterions



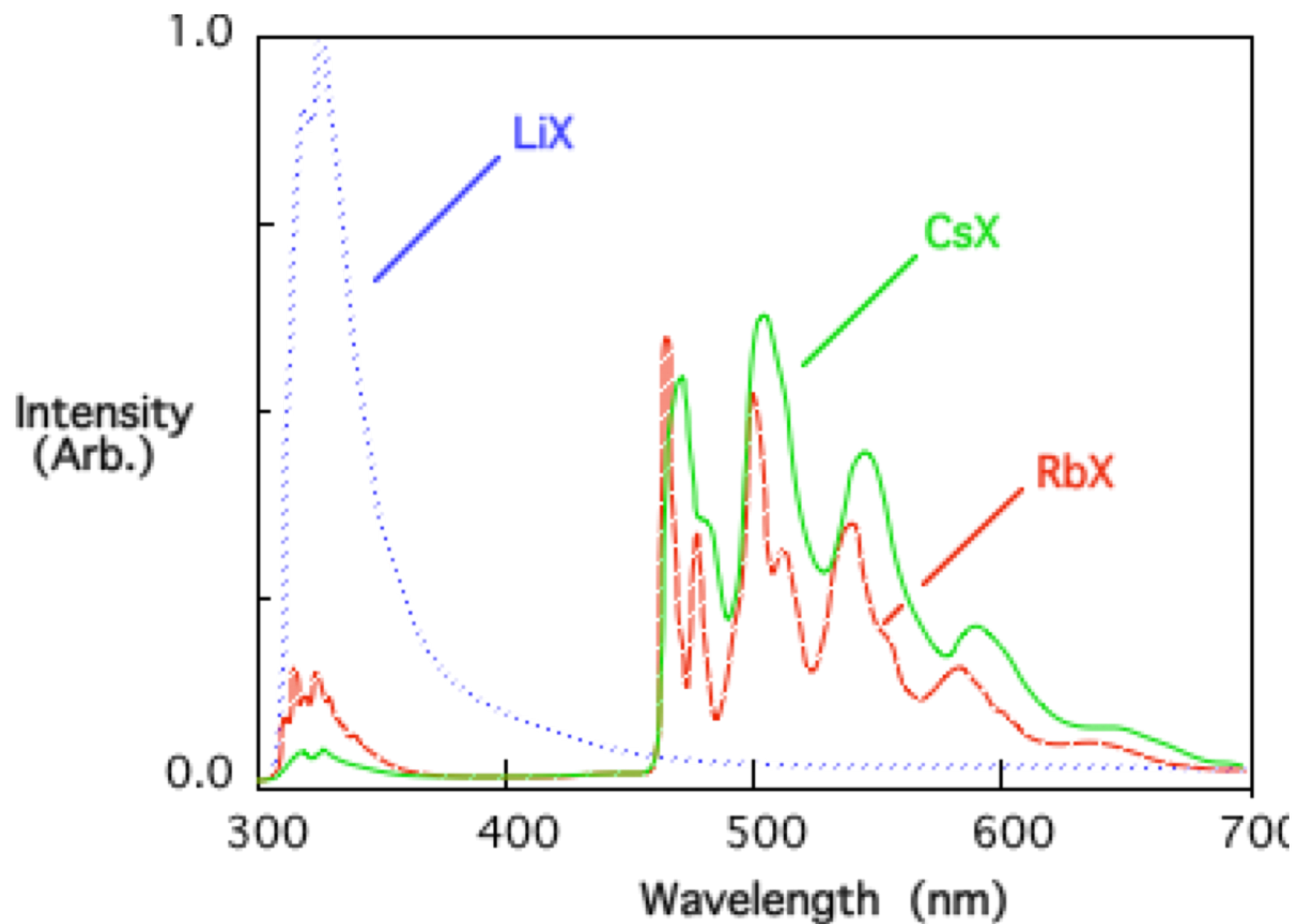
Heavy atom produces more triplets and the triplets produced phosphoresce at a faster rate

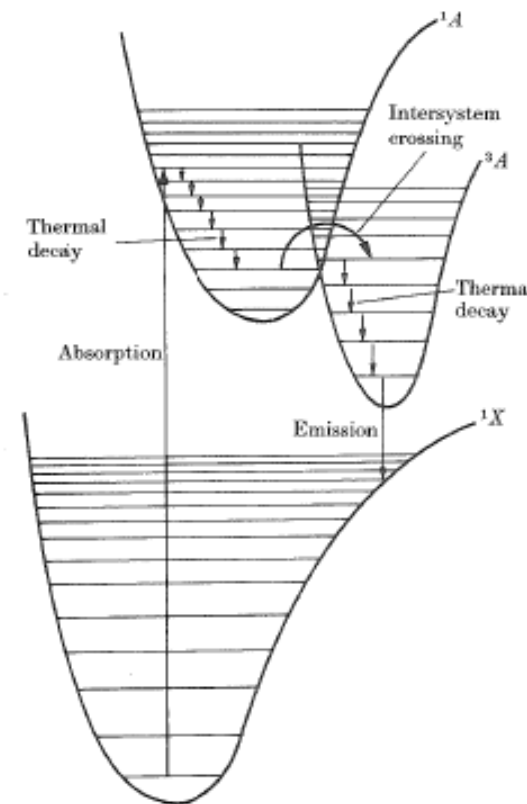
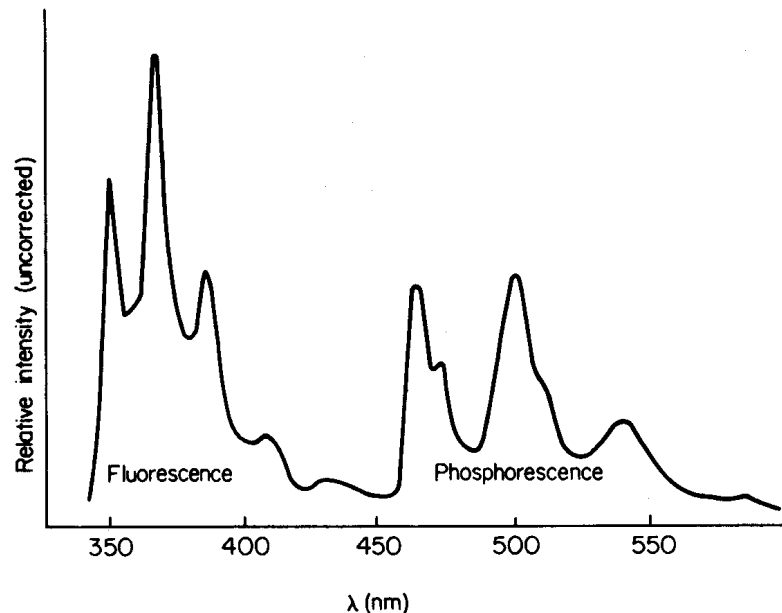
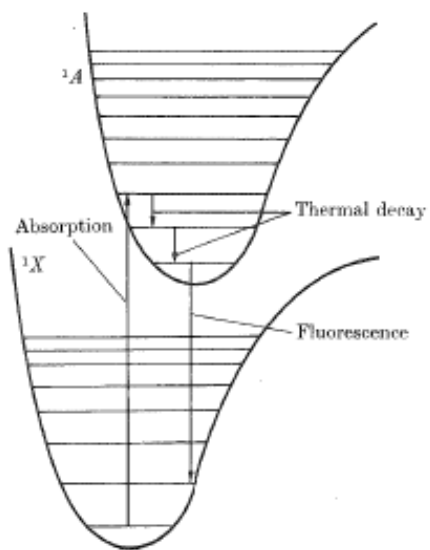
# Characteristics of Faujasites (Zeolites)



- Microporous solid
- Large surface area
- Well defined channels/cages
- Si/Al ratio = 2.4
- Type I - 4 cations /supercage
- Type II- 4 cations /supercage

## Emission Spectra of Naphthalene Included in MY Zeolites





## Fluorescence:

- High radiative rate constant,  $10^{-10}$  to
- Precursor state ( $S_1$ ) has short lifetime
- Generally not susceptible to quenching

## Phosphorescence:

- Low radiative rate constant,  $10^{-6}$  to  $10$  s $^{-1}$
- Precursor state ( $T_1$ ) has long lifetime
- Very much susceptible to quenching
- Emission quantum yield depends on  $S_1$  to  $T_1$  crossing

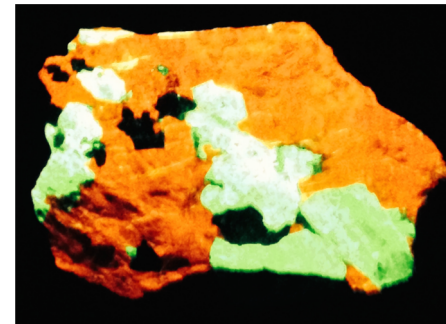
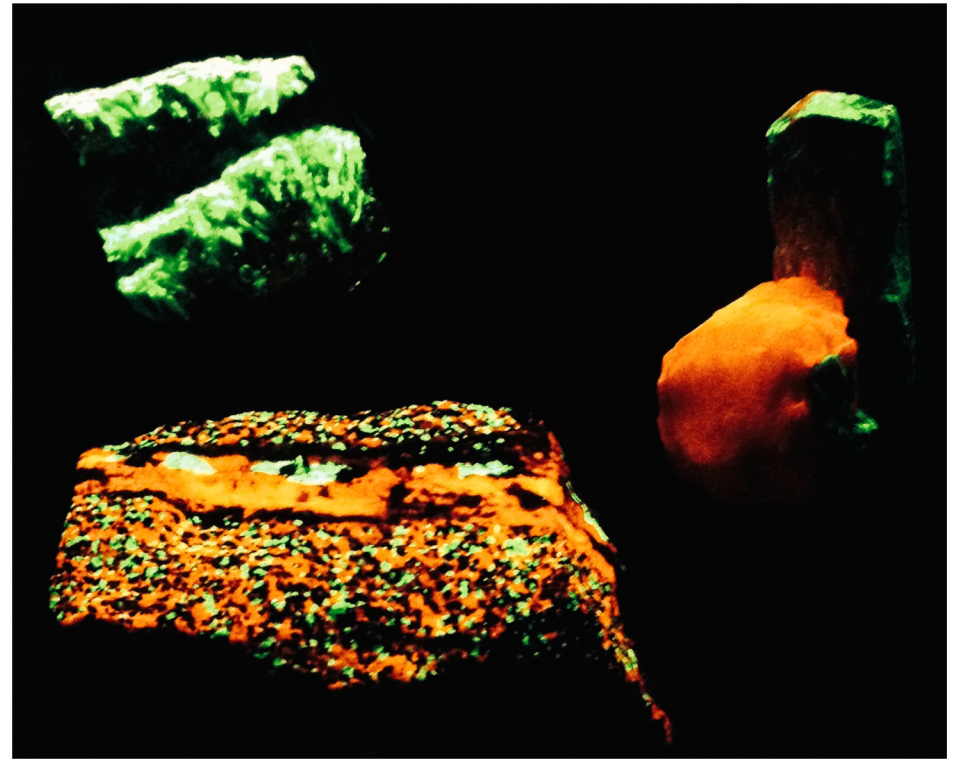
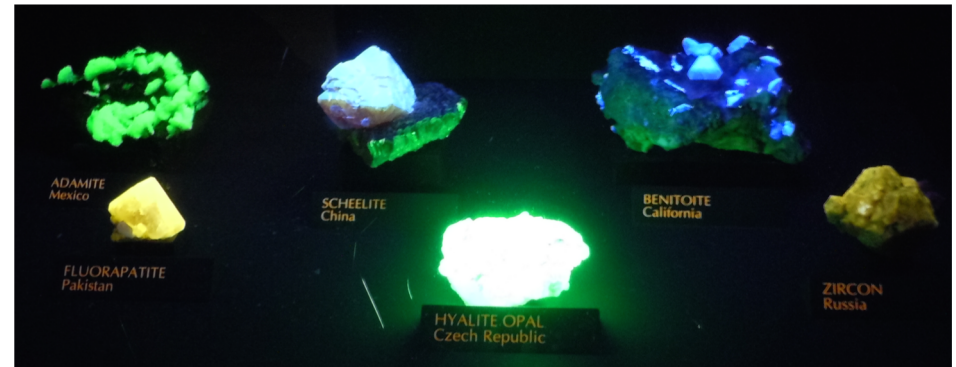


# FLUORESCENCE & PHOSPHORESCENCE

Luminescence is a property exhibited by minerals that emit visible light, usually as a result of irradiation by invisible light. Luminescence includes both **FLUORESCENCE**, the emission of light at the same time as the irradiation, and **PHOSPHORESCENCE**, the continued emission of light after irradiation has ceased. Luminescence is best shown in a darkened exhibit, using invisible ultraviolet radiation to demonstrate the property.

As ultraviolet radiation strikes the atoms of luminescent minerals, the energy causes electrons to move from their normal orbits to paths farther out. The return of the displaced electrons to their normal orbits is accompanied by a release of energy in the form of light. Thus, a fluorescent mineral will glow while being irradiated. In a phosphorescent mineral, return of the electrons is slower, so that a lingering emission of light occurs.

Luminescence is often related to a defect in the mineral's crystal lattice or to the presence of foreign ions. Thus, a typically fluorescent mineral may also occur in a non-fluorescent form.



# Types of emissions

- ☐ Fluorescence
- ☐ Phosphorescence
- ☐ Emission from upper excited states
- ☐ Excimer emission
- ☐ Exciplex emission
- ☐ TICT emission
- ☐ Delayed emission

# Points to Remember

- Electronic Configuration of States,  $n\pi^*$ ;  $\pi\pi^*$
- Spin Configuration of States (S and T)
- Singlet-Triplet Gap,  $\Delta E$  (S-T)
- Rules of Intersystem Crossing (El-Sayed's Rule)
- Heavy Atom Effect
- Absorption and Emission
- Fluorescence and Phosphorescence
- Radiative and Radiationless Transitions
- Kasha's Rule